



ambition for biodiversity

**BIODEV**  
2030

# ASSESSING THE BIODIVERSITY IN VIET NAM

## ANALYSIS OF IMPACTS FROM ECONOMIC SECTORS

OCTOBER 2021 | FINAL REPORT

In cooperation  
to facilitate  
biodiversity  
engagement



IMPLEMENTATION IN VIET NAM



VIETNAM  
BIODIVERSITY



**AFD**  
AGENCE FRANÇAISE  
DE DÉVELOPPEMENT

FUNDING



**EXPERTISE  
FRANCE**

COORDINATION



This independent assessment report is one of the research activities under the BIODEV2030 Initiative. The scientific diagnosis is conducted by an independent consortium led by Oréade-Brèche firm.

The views expressed in this report are those of the authors and do not necessarily reflect the views of the Nature and Biodiversity Conservation Agency (BCA) and the World Wide Fund for Nature in Viet Nam (WWF-Viet Nam). This publication serves as a reference for management agencies and organizations involved in biodiversity conservation including BCA and WWF-Viet Nam, and is considered for the development of appropriate policies and regulations on biodiversity conservation in the coming time

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**World Wide Fund for Nature (WWF):** One of the world's largest and most experienced independent conservation organizations. WWF's mission is to stop the degradation of the planet's natural environment and to build a future in which humans live in harmony with nature by conserving the world's biological diversity, ensuring the sustainable use of renewable natural resources, and promoting the reduction of pollution and wasteful consumption.

**Nature and Biodiversity Conservation**

**Agency (BCA):** BCA is a functional agency under the Viet Nam Environment Administration (VEA), the Ministry of Natural Resources and Environment of Viet Nam. BCA provides the advisory function to help the Director General of the VEA in government management and law enforcement for nationwide nature and biodiversity conservation.

*The original report is in English. The Vietnamese version is an unofficial translation.*



# FOREWORD

The health of the ecosystem on which we and all other species rely for their survival has been deteriorating at an unpredictable rate. WWF's Living Planet Report 2020 shows an average 68% decrease in monitored vertebrate species populations between 1970 and 2016 of which status is considerably more serious in some key biodiversity hotspots. Biodiversity erosion has been affecting the livelihoods, food security, health and quality of life of people around the world, and also triggering our economic and financial risks. The urgent task now is to reverse the biodiversity loss and preserve ecosystems if we want to achieve the Sustainable Development Goals by 2050.

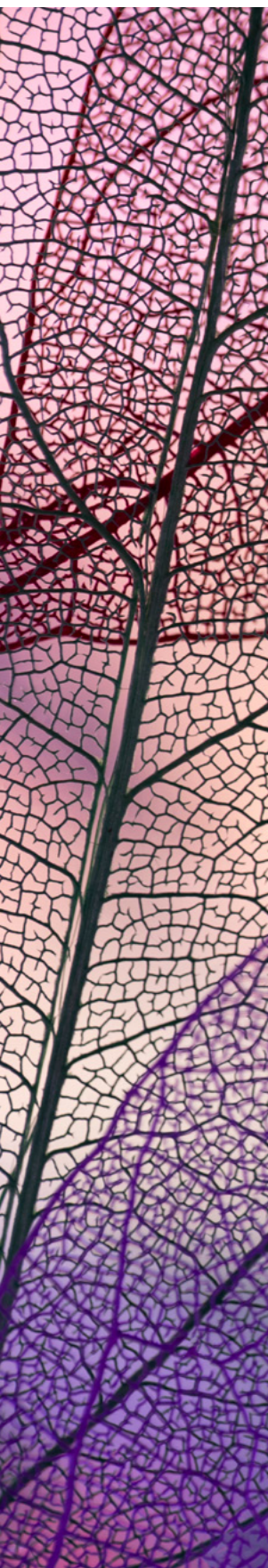
*"Biodiversity Engagement Facilitation"* Initiative - BIODEV2030 is funded by the French Development Agency (AFD), coordinated by Expertise France. This Initiative has been implemented in 16 pilot countries, of which Viet Nam is the only one located in Asia. In Viet Nam, the Initiative starts from July 2020 under the joint implementation and cooperation of the World Wide Fund for Nature in Viet Nam (WWF-Viet Nam) and the Nature and Biodiversity Conservation Agency (BCA) – an agency under the Viet Nam Environment Administration (VEA), the Ministry of Natural Resources and Environment. One of its ambitious goals is to build voluntary commitment models to transform production methods of at least two economic sectors towards minimizing negative impacts and enhancing positive ones on biodiversity, which will contribute to the process of halting biodiversity loss by 2030 and restoring biodiversity by 2050.

The scientific assessments of the status and drivers leading to biodiversity decline, of the economic activities' impacts on biodiversity, and the analysis of stakeholders as well as the institutional and policy context of the country, will provide an important basis for stakeholders (including government, civil society organizations, businesses, and communities) to engage in dialogues in order to develop appropriate voluntary commitment models. Ultimately, the results from these voluntary commitment models will provide valuable practical experiences to help replicate the commitment models in Viet Nam; and to share with other countries including the Initiative-implementing ones through key international events in the United Nations Decade on Ecosystem Restoration (2021-2030).

The report *"Assessing the biodiversity in Viet Nam – Analysis of Impacts from Economic Sectors"* is the first in a series of scientific diagnosis activities under the framework of the BIODEV2030 Initiative in Viet Nam. Despite certain limitations in the data collection and analysis process, the report has outlined quite clearly the current status of biodiversity in Viet Nam, thereby pointing out the direct and indirect causes leading to biodiversity loss. At the same time, Aquaculture and Forestry have been identified as two sectors with great impacts on biodiversity loss in Viet Nam, which creates the premise for further in-depth studies to determine more clearly the extent, scale, and trend of impacts of these two economic sectors on Viet Nam biodiversity. On that basis, appropriate recommendations will be given for future transformational voluntary commitment models.

We would like to express our deepest appreciation to the assessment team led by Oréade-Brèche company for their great efforts to help us conduct this scientific diagnosis. Our special thanks also go to members of the Core Working Group of the Initiative in Viet Nam, organizations and individuals who have contributed ideas at our two previous consultation workshops and during the development of this report.





## ABBREVIATION

AFD	French Development Agency ( <i>Agence Française de Développement</i> )
BCA	Nature and Biodiversity Conservation Agency
CBD	Convention on Biological Diversity
CITES	Convention on International Trade in Endangered Species of Wild Fauna and Flora
FPD	Forest Protection Department
GSO	General Statistic Office
IBAT	Integrated Biodiversity Assessment Tool
LPI	Living Planet Index
IEBR	Institute of Ecology and Biological Resources
IUCN	International Union for the Conservation of Nature
KBA	Key Biodiversity Area
MARD	Ministry of Agriculture and Rural Development
MOCST	Ministry of Culture, Sports and Tourism
MOIT	Ministry of Industry and Trade
MONRE	Ministry of Natural Resources and Environment
NP	National Park
NR	Nature Reserve
NTPP	Non-timber forest products
PA	Protected Area
SFC	State Forest Companies
SFE	State Forest Enterprise
SIE	Southern Institute for Ecology
STAR	Species Threat Abatement and Restoration
VAST	Vietnam Academy of Science and Technology
VEA	Vietnam Environment Administration
VEPF	Vietnam Environment Protection Fund
VFPDF	Vietnam Forest Protection and Development Fund
VNFOREST	Vietnam Administration of Forestry
UE	Union European
UNCCD	United Nations Convention to Combat Desertification
UNFCCC	United Nations Framework Convention on Climate Change
WWF	World Wide Fund for Nature

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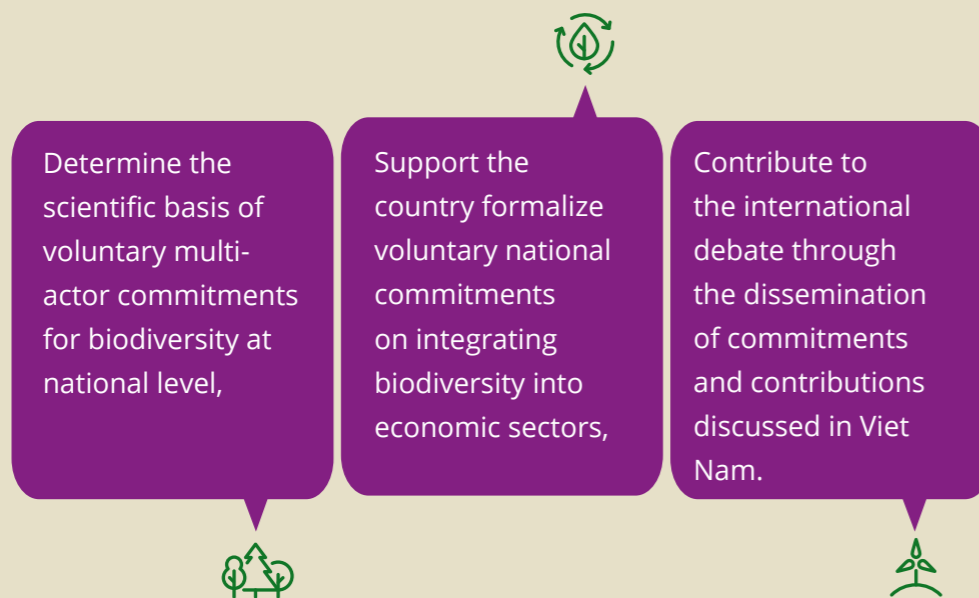
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# INTRODUCTION

The BIODEV2030 Initiative “Biodiversity engagement facilitation”, financially supported by AFD (the French Development Agency) and coordinated by Expertise France has started. Its overall goal is to assist sixteen pilot countries in leveraging commitments from economic sectors to stop biodiversity loss over the next decade. In other words, the initiative aims at mainstreaming biodiversity through sector-based commitments emerging from multi-stakeholder dialogue in these countries. The specific objectives of the initiative are to:



In Viet Nam, the initiative is implemented by WWF-Viet Nam and its partner – the Nature and Biodiversity Conservation Agency (BCA). The country is one of the world’s sixteen most biologically diverse countries. Over 50,000 species have been identified, consisting of nearly 7,500 micro-organisms, 20,000 terrestrial and water plants, 10,500 terrestrial animals, 2,000 invertebrates and freshwater fish, and over 11,000 marine species (UNDP, 2021). Nevertheless, as every country around the World, this biodiversity is strongly impacted by human activities. As part of the BIODEV2030 Initiative, WWF-Viet Nam hired a contractor to perform a study aiming to scientifically assess biodiversity decline, identify drivers, pressures and impacts caused by economic sectors to serve as a base to identify two business sectors targeted for voluntary biodiversity commitments. Specific objectives of this study are the following:

- i Carry out a diagnosis analysis of drivers of, and pressures on, the decline of Viet Nam’s biodiversity caused by impacts of economic sectors by proposing and mobilizing scientific methodologies and assessment tools (e.g., STAR, IBAT, LPI, Ecological Footprint, others) which will help to robustly identify and scientifically measure the level of pressures from different economic sectors,
- ii Pre identify the two economic sectors with the most significant (but politically and economically reversible) impacts on the decline of biodiversity and diagnose the drivers of biodiversity decline for these sectors,
- iii Facilitate multi-stakeholder contributions to the identification of at least two sectors with strong impacts on biodiversity decline.

This analysis has been conducted through three complementary levels:

1. Literature review focused on biodiversity, its threats and biodiversity policies
2. GIS analysis,
3. STAR analysis

This report describes the main outputs of these analyses. It indicates the methodology to collect and analyse data, it presents the key results, limitations of the study and the report propose a discussion.



## 1.1. The approach, at a glance

In order to have a strong and comprehensive understanding of the biodiversity decline, our analyses have been carried out through both a **species approach** and a **habitat approach**, using a **literature review**, **remote sensing tools** and **STAR metrics**.

The analyses have been performed at **national level** for understanding the economic sectors having the most significant and negative impact on biodiversity, but **also** at the **protected areas level** and the **Key Biodiversity Areas level**, which are nationally and internationally key areas for conserving biodiversity, especially regarding threatened species. Besides, our approach **combines qualitative and quantitative analyses**.

Our approach is based on three complementary methods:

- A **literature analysis** aiming at getting a **first idea of the biodiversity state** (at species and habitat level), its **threats** and the **biodiversity policies**,
- A **remote sensing analysis** aiming at understanding the **drivers of the biodiversity decline at the habitat level, based on a long satellite imagery monitoring (2000-2018)**. This tool **provides qualitative and quantitative information on a number of land changes**,
- A **STAR** (Species Threat Abatement and Restoration) analysis, based on scientific information collected by IUCN for **threatened species**.

## 1.2. Literature review

### 1.2.1. Approach to review ecosystem diversity

Biodiversity has been reviewed at 2 of its 3 levels, e.g., ecosystem and species level, since scientific publications on the genetic diversity in Viet Nam are limited and requires additional investigations that are not allowed in the timeframe and budget of this assignment. At the ecosystem level, our aim was to obtain the state of the knowledge of the existing ecosystems in Viet Nam, through the broader literature. For that we are answering the following key questions:

- 1 Which ecosystems are available in Viet Nam?
- 2 Where are they?
- 3 How have they changed over time?
- 4 What are the threats to them?

# 1 METHODOLOGY



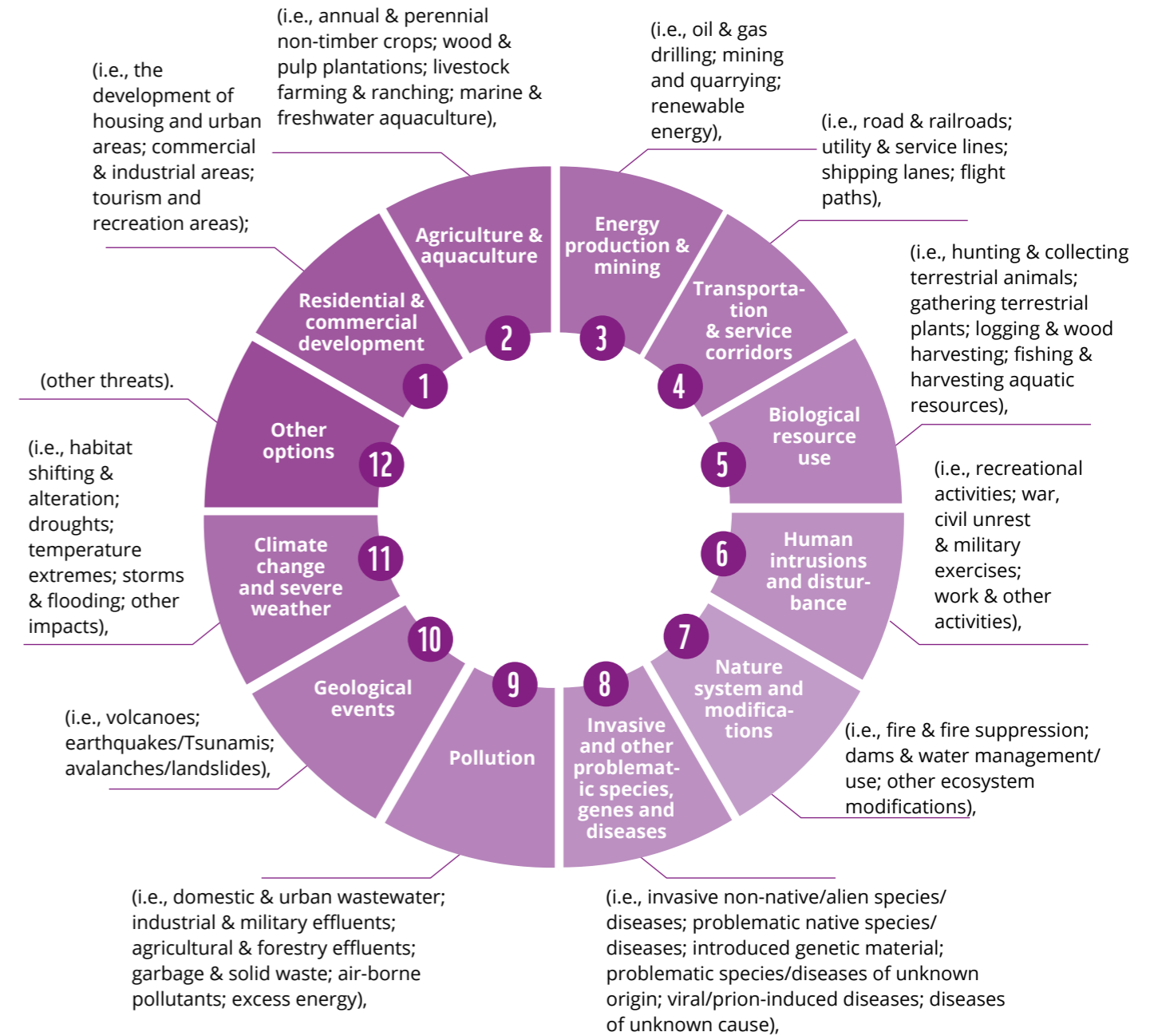
To understand the ecosystem diversity, we first need an ecosystem classification system that allows us to classify all existing ecosystems. In Viet Nam, usually each biome (e.g., forest, marine etc.) has their own classification system and a system that allows the systematic classification of all existing ecosystems has not been developed. We, therefore, used a global ecosystem classification system that allows us to have an overall and a consistent understanding of the ecosystem biodiversity across the country. The results from using the global classification system also allow us for the first time to compare with the regional and global level of ecosystem biodiversity. In addition, it also enables us to develop a red list of ecosystems in Viet Nam. Having an ecosystem classification system helps us answer the first questions. To answer the remaining three questions, we applied the following three methods for all of them and divided our ecosystem review work into three stages (Table 1):

Table 1: Methods to obtain details data/information on ecosystem diversity, distribution and threats.

STAGE	METHOD	DESCRIPTION	STRENGTH	WEAKNESS
Stage 1 – desk study	Using the existing literature (literature review)	- Systematic search for the required information in the literature	- With reliable data, this method provides detailed and accurate information at almost no cost. - Some thematic studies from the literature can provide deep understanding about ecosystems	- Very time consuming - We may miss lots of information from the grey literature which hardly can be accessed online. - Can only be focused on the level of protected areas where the existing information/data is available. - Sometime information is outdated and low reliability
Stage 2 – field mission	Using the information from the government agencies	- The central government agencies (e.g., MARD, MONRE) have basic information of the existing ecosystems under their management (i.e., protected areas).	- High level of details and accuracy - Most updated and quantitative - Highly reliable	- Hard to obtain the information/data from the central government - Inadequate information since some information may need the support from the local government.
Stage 3 – desk study	Using land use map	- Using the current land use maps to understand the current stage of the land use, while in combination with the land use map of e.g., 10 years ago can reveal the land use change	- Understand at the national scale - Can be updated information - Have systematic information	- Need to acquire land use map over time

### Threat classification and its scope of study

To answer question 4, we also need to have a threat classification system that allows us to classify all threats. We used the IUCN threat classification scheme (Version 3.2) (IUCN, n.d.) that classify threats into 12 groups as follow:



We assessed threats on the basis of protected areas with the available information from the literature. We also used land cover change maps to identify the areas changed and that also allows us to understand various threats (e.g., no. 1-4, 7, 10 and 12).

At the protected areas level of study (i.e., stage 1 and stage 2), the following data /information have been collected:

1. Areas and distribution,
2. Year of establishment or registration/recognition (applied to regional and international sites)
3. Types of protected area (e.g., national park, nature reserve, landscape protection zone, species and habitat conservation area)
4. State of knowledge about the ecological/biological characteristics (i.e., ecosystem types),
5. Change in areas of the ecosystems,
6. Past and current direct threats to the ecosystem/areas,
7. Economic sectors have direct impacts on ecosystems.

### 1.2.2. Approach to review species diversity

At species level, the key fauna and flora biodiversity taxa investigated are mammals, birds, amphibians, fishes, reptiles and angiosperms, which are also listed under national parks and nature reserves as well as at the National Biodiversity Database System (NBDS, <http://nbds.ceid.gov.vn/>). Global databases such as the Global Biodiversity Information Facility ([www.gbif.org](http://www.gbif.org)) and the IUCN Red List of Threatened Species ([www.iucnredlist.org](http://www.iucnredlist.org)) were consulted. As long as the data were available, the following information was collected and detailed by taxonomic groups:

1. Area of distribution,
2. State of knowledge,
3. Number of species,
4. Endangered species,
5. Special cases of species conservation in Viet Nam.

Special attention was given to the following species: nationally and/or globally threatened species according to the IUCN Red List, endemic species, species with little information, species for which the state of knowledge is not up to date or not reliable. For these taxa, the following information was collected:

1. Area of distribution,
2. Population and spatial dynamic,
3. Conservation status,
4. State of knowledge,
5. Conservation and research needs.


The threats to the ecosystem and species level, as well as their links to economic sectors, were collected based on the IUCN threat classification scheme (Version 3.2), listed previously.

Results were summarized in the literature review report and in this final report. Illustrating maps were drawn, especially for biodiversity, at the ecosystem level.

### 1.2.3. Approach to review biodiversity-related policies and assess key economic sectors

The review of the biodiversity-related policy has taken into account the major international agreements signed by Viet Nam as well as the main last main national legislation focused on the natural resources and biodiversity conservation.

**The economic sectors reviewed encompass key sectors identified as having a significant negative impact on biodiversity. These sectors are:**



Agriculture Forestry Fishery Tourism Aquaculture

The assessment of the economic sectors impacting biodiversity was analysed from the review of all relevant papers which stated their commitment to respond to biodiversity loss in Viet Nam. Regarding their commitments, the measurement applied here is to gather all relevant papers which state the orientation or specific commitment of biodiversity conservation (law, degree, strategy, circular, pledge for wildlife, etc.).

In addition, the role of the main Vietnamese institutions linked to the natural resources' management have been described.



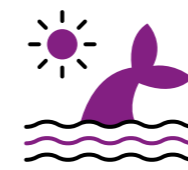
### 1.2.4. Data sources



#### Ecosystems

For the stage 1 (desk review), we collected information and data from reliable websites/ organizations to obtain freely available resources. These can be peer-reviewed papers, scientific reports, management reports of government organizations, government decisions, consulting reports from NGOs, theses, etc. The searching approaches are: (1) search by protected area (i.e., using the protected area name as the main keyword); (2) search by topic (i.e., keywords are the topics e.g., wetlands of Viet Nam, marine ecosystems of Viet Nam etc.). The aim of the search by protected area is to collect all required information for each protected area. The aim of the search by topic is to increase the potential to reach more information and data from detailed studies. With this approach, we obtained many valuable resources on the studies relevant to our interested topics. We performed the search on both google scholar and google search.

From the literature review results, we have found out that information from the literature is abundant and can provide a relatively good understanding of the patterns of ecosystem diversity as well as threats. However, to supply the results with more updated and reliable information, we sought data support from the central government agencies in a field data collection (i.e., stage 2 – field mission).



#### Species

Specifically, for animals, available datasets of the 2020 IUCN Red List ([www.redlist.org](http://www.redlist.org)) and the GBIF ([www.gbif.org](http://www.gbif.org)) for Viet Nam (2021) were freely downloaded and were analysed for extinction rates, threats, impact drivers, population trends, conservation needs, etc. This dataset also provides distribution (point; GBIF) and occurrence (area size; IUCN) data that help to assume species' distribution changes timely along with forest/land cover changes. Other specific and individual data were collected as supplementing data. Especially, data of highly endangered and conservation-concerned species were collected and analysed as cases/evidence of Viet Nam's biodiversity decline and loss.

## 1.3. Remote sensing analysis

### 1.3.1. Land cover and land use changes at country level

Biodiversity loss in terms of land use change was analysed for the 2000 - 2018 period using the Regional Land Cover Monitoring System<sup>1</sup>, which has been developed by the SERVIR-Mekong project (Saaha & al., 2020).

A period of 18 years has been chosen. In 2020, D. Saaha & al., including international and Vietnamese famous organizations (such as

Forest Inventory and Planning Institute, National Institute of Agriculture Planning and Projection, Space Technology Institute -Viet Nam Academy of Science and Technology) drafted a scientific paper entitled "Primitives as building blocks for constructing land cover maps" that was released and accepted by the scientific journal such as Elsevier for example (<https://doi.org/10.1016/j.jag.2019.101979>)<sup>2</sup>, where further details are provided<sup>3</sup>.

<sup>1</sup> <https://www.landcovermapping.org/en/landcover/>

<sup>2</sup> In this paper, the authors present further into details the Regional Land Cover Monitoring System (RLCMS) architecture that is customized to create land cover products using primitive map layers. Best practices are presented to create and assemble primitives from optical satellite using computing technologies, decision tree logic and Monte Carlo simulations to integrate their uncertainties.

<sup>3</sup> The project and its outcomes have been presented in the context of a regional land cover map based on a shared regional typology with 18 land cover classes agreed on by stakeholders from Cambodia, Laos PDR, Myanmar, Thailand, and Viet Nam.



The imagery resolution is 600 meters per side, that makes it possible to highlight major changes in land use, at the scale of a country.

This system distinguishes the following land cover classes: deciduous forest, evergreen broadleaf, mixed forests, flooded forests,

mangroves, shrubland, grassland, wetland and barren areas. Some land use classes are also found: aquaculture, cropland, rice paddies, mining land, orchard and plantation, Built-up areas. Datasets come from SERVIR Mekong<sup>1</sup>. More details on classes are given below:

<sup>1</sup> <https://servir.adpc.net/>

Therefore, land changes are analysed for the following sectors:  
**agriculture, forestry, urbanization, mining, aquaculture.**

<b>'Deciduous forests'</b>	are dominated by trees of which 60% of canopy cover have a tree height above 5 m. Deciduous tree species make up >60% of the total tree cover,
<b>'Evergreen broadleaf forests'</b>	is dominated by trees of which 60% of canopy cover are trees above 5 m. Dominant tree species are evergreen broadleaf,
<b>'Mixed forests'</b>	is defined as habitat with more than 60% tree canopy cover, tree height is greater than 5 m, and the forest composition is mixed such that no single forest type makes up more than 60% of the total tree cover,
<b>'Flooded forests'</b>	have fresh water inland habitats with more than 10% of tree canopy cover, a tree height above 2 m, and seasonal or permanent flooding,
<b>'Mangrove'</b>	is defined as coastal sediment habitats with more than 10% woody vegetation canopy cover and the majority of cover is higher than 2m,
<b>'Shrubland'</b>	are lands where the majority of woody vegetation cover is less than 5m in height and greater than 10% canopy cover. Shrub species can be evergreen or deciduous,
<b>'Grassland'</b>	are lands with herbaceous cover, where wetland obligate species are scarce,
<b>'Wetland'</b>	are seasonally flooded regions dominated by herbaceous or shrub vegetation,
<b>'Barren areas'</b>	are natural and semi-natural lands comprised of exposed soil, sand, and rocks,

<b>'Aquaculture'</b>	is the farming of aquatic organisms, including fish, molluscs, crustaceans and aquatic plants. It includes man-made pond systems within fresh and salt water bodies or temporarily flooded regions,
<b>'Cropland'</b>	includes lands with herbaceous and shrubby crops followed by harvest and a bare soil period (Loveland and Belward, 1997). This category includes single, mixed, multiple, and seasonal cropping systems,
<b>'Rice paddies'</b>	include irrigated or flooded rice fields and low land paddy fields where rice is intensively planted for more than 1 cycle per year (can be 2 or 3 cycles). Rice is the only plant there,
<b>'Mining land'</b>	are mostly exposed soil, sand, or rocks originating from mining, gravel production, or other human activity,
<b>'Orchard and plantation'</b>	include lands cultivated with perennial crops that reach heights above 5m and occupy the land for long periods (Blanchez, 1997). Commercial tree crops in the region are mainly rubber, palm oil, cashew nut, and coconut plantations,
<b>'Built-up areas'</b>	were defined as cultural lands covered by buildings, roads, and other built structures,
<b>'Surface water'</b>	was defined as open water larger than 30m by 30m that is open to the sky, including fresh and saltwater.



Land use changes were calculated and summarized at national level for 2000 and 2018 giving an overall understanding of the land changes in Viet Nam. These calculations were summarized in a matrix table used (Annex 4) as a measurement tool between the two sets of data (2000 and 2018) to quantify and assess:

- ✔ Similarities (identical values between two sets of data),
- ✔ Evolution (values that have changed).

Additional data presenting the land use, land cover results between 2010 and 2018 are also presented in the report and in Annex 6.

To perform the analyses, the geographic coordinates have been used as a common basis between the two datasets. In the case of rasterized data, the analysis is made at the level of the raster cell. In the context of land use, the matrix makes it possible to assess the changes between two dates. For this, the land use data known at two different dates are geographically overlaid and the number of raster cells of each combination are counted and synthesized in the form of a matrix. Then, the number of cells is translated into area units.

### 1.3.2. Land cover and land use changes at PA and KBA level

In addition to the analysis performed at the national level, an in-depth analysis has been performed at the protected areas and KBAs level. The shapefiles of the KBAs, date back to 2021, and is accessible on IBAT<sup>1</sup> website, while the shape files of the Protected Areas date back to 2017 and is accessible from WDPA<sup>2</sup> website.

<sup>1</sup> [https://www.ibat-alliance.org/data\\_downloads](https://www.ibat-alliance.org/data_downloads)

<sup>2</sup> <https://www.protectedplanet.net/en/thematic-areas/wdpa?tab=WDPA>

<sup>3</sup> <https://www.iucnredlist.org/resources/spatial-data-download>

### 1.3.3. Remote sensing analysis at species level

At species level, databases analysed were gathered from the global IUCN Red List website<sup>3</sup> and from the Birdlife International that is assessing bird species according to the IUCN Red List methodology; year targeted was 2020 for all the taxon, except for the birds whose data date could be back to 2021. Areas of distribution of the assessed species were compiled on a single map in which the KBAs and PAs were also located.

**This analysis deals with threatened species (according to the IUCN methodology), meaning only species assessed as **Critically endangered**, **Endangered** and **Vulnerable** were included.**

These maps are very important as they provide information on the distribution of threatened species in Viet Nam, thus giving a visual understanding of the location of the critical areas for threatened species. Coupled with STAR maps, they will allow decision-makers to select areas of interest. These maps allow determining presently known places of conservation importance in the country.

Further analysis regarding the evolution of distribution areas of threatened species over a period of time (e.g.: 2010-2020) were planned but IUCN was unable to share their historical records of threatened species datasets.

## 1.4. STAR metrics

### 1.4.1. Goals and components

STAR is an acronym meaning ‘Species Threat Abatement and Restoration’. This is a metric aiming at evaluating the potential benefit for threatened species of actions to reduce threats and restore habitat (Mair and al., 2021). STAR is spatially explicit, enabling identification of specific threat abatement and habitat restoration opportunities in particular places, which, if implemented, could reduce species extinction risk to levels that would exist without ongoing human impact. The STAR metric encompasses threatened species (under the status NT, VU, EN and CR), from specific taxon: amphibians, birds and mammals. Two score are available:

- A habitat restoration score: STAR (R),
- A threat abatement score: STAR (T).

The STAR score (T) for a location (i) and threat (t) is calculated among all species as:

$$T_{t,i} = \sum_s P_{s,i} W_s C_{s,t}$$

Where:

- $P_{s,i}$  is the current AOH (Area of Habitat) of each species  $s$  within location  $i$  (expressed as a percentage of the global species’ current AOH),
- $W_s$  is the IUCN Red List category weight of species  $s$  (Near Threatened = 1; Vulnerable = 2; Endangered = 3; Critically Endangered = 4),
- $C$  is the relative contribution of threat  $t$  to the extinction risk of species  $s$ ,
- $N_s$  is the total number of species at location  $i$ .

The relative contribution of each threat to the species’ extinction risk is calculated as the percentage population decline from that threat (derived from the product of severity and scope

for that threat in each species’ IUCN Red List assessment) divided by the sum of percentage population declines from all threats to that species. Scores are calculated using the most detailed threat classification available and then aggregated to higher levels in the threat classification scheme by summing scores.

The STARR score (R) for the potential contribution of habitat restoration (and threat abatement therein) at location  $i$  for threat  $t$  is calculated as:

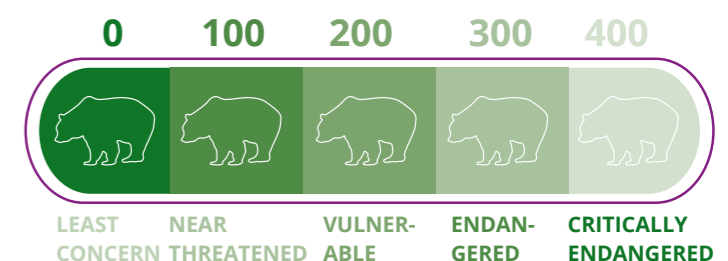
$$R_{t,i} = \sum_s H_{s,i} W_s C_{s,t} M_{s,i}$$

Where:

- $H_{s,i}$  is the extent of restorable AOH for species  $s$  at location  $i$  (expressed as a percentage of the global species’ current AOH)
- $M_i$  is a multiplier appropriate to the habitat at location  $i$  to discount restoration scores. A global multiplier of 0.29 based on the median rate of recovery from a global meta-analysis, assuming that restoration has been underway for 10 years.

### For each species, a STAR threat abatement (STAR T) score is defined.

This varies from zero for species of Least Concern to 100 for Near Threatened, 200 for Vulnerable, 300 for Endangered and 400 for Critically endangered species.





The sum of START values across all species represents the global threat abatement effort needed for all species to become Least Concern. The STAR restoration component applies a similar logic to the STAR threat abatement component, but for habitat that has been lost and is potentially restorable (that is, restorable AOH). The STAR restoration component quantifies the potential contribution that habitat restoration activities could make to reducing species' extinction risk. For a particular species at a particular location, the STAR restoration (STARR) score reflects the proportion that restorable habitat at the location represents of the global area of remaining habitat for that species

START and STARR scores are mapped at the 5-km grid cell resolution. For each species, the START score per grid cell is calculated by multiplying each species' total START score by the proportion of the species' current AOH in the grid cell. The STARR score per grid cell is calculated by multiplying the species' total STARR score by the proportion of the species' restorable AOH present in the grid cell. Global maps of total START and STARR scores are produced by summing the respective score maps across all species.

STAR uses existing publicly available datasets: Species' extinction risk categories and threat classification data were obtained for amphibians, birds and mammals from the IUCN Red List (2019) or, for country endemics not yet assessed globally, from national red lists. The IUCN/Conservation Measures Partnership Threat Classification Scheme is hierarchical, and threats to species are classified at the most detailed level possible. For each threat to each species,

the scope (proportion of the global population impacted), severity (rate of decline driven by the threat within its scope) and timing (past, ongoing or future) of the threat are coded as part of the Red List assessments.

The IUCN threat classification (reminded in Annex 3) has been used for identifying the economic sectors. Species' AOH has been estimated using species' ranges, habitat associations, and elevation limits, along with digital elevation models and current and historical land cover maps. The data available for carrying out the analysis for each species, span from 1992 to 2015. To be noted that assessment of individual species by IUCN has been done in different years and so there is no general assessment of all species in particular years except for a massive assessment of plants (about 450 species) in Indochina in 2012 (final results submitted to IUCN in 2013). After 2013, there are some additions of several species to IUCN Red List, based on submission by some expert groups. Besides, since many assessments are based on changing trend of population size of individual species over 3 their generations, there is no common year (or period) for all species to compare them all together (i.e., each species has a different length of generation). Therefore, one cannot make comparisons of changes for all species during a period (between years).

The European Space Agency Climate Change Initiative (ESA CCI) land use and cover maps from 2015, were used. The ESA CCI original 37 land cover classes were reclassified into ten major classes (forests, wetlands, arid ecosystems, natural grasslands, shrublands, croplands, cultivated grasslands, rock and ice, urban areas

and water bodies) then matched to the habitat classes from IUCN Red List assessments. Species' range maps were then overlaid with land cover and digital elevation maps to map the AOH within each species' range,

constrained by the species' elevation range (from the IUCN Red List). Species' range map polygons were coded for presence and origin.

**To sum up, STAR is a complementary tool to the two previous ones (literature analysis and GIS analysis), that enable to identify the drivers of the biodiversity decline by focusing on threatened species from amphibian, bird and mammal taxa, whose population dynamic and threats have been analysed by scientists and experts from the IUCN.**

#### 1.4.2. Analysis based on global results

As a first step, based on the overall calculation of the STAR scores provided by IUCN, the contribution of Viet Nam in the reduction of the global species extinction is highlighted.

#### 1.4.3. Analysis of the drivers of the threatened species

Following the first step, a second analysis is provided to describe location of the main drivers across the country building on maps results. This analysis of drivers describes the threats, following IUCN classification. It comes from the analysis performed through the STAR metrics (IUCN tool) that locate the magnitude of the threats, based on habitats datasets covering 1992-2015, and on species dataset dating to 2019. The magnitude of threats is depicted through colours: dark green means areas where the risk of extinction from a threat is weak, < green < yellow < orange < red means areas where the risk of extinction from a threat is the highest.





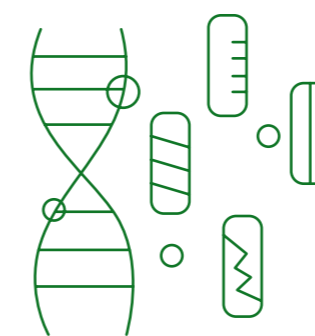
## 2.1. Literature review

Due to the time and the Covid-19 pandemic constraints, general information on the country's biodiversity of species has been analysed and generated, based mainly on accessible published literature. This has been presented in the relevant analyses.

Limitations of the literature review for ecosystem level are due to the limited available information and data. Indeed, we currently focus our understanding of the ecosystem diversity, distribution and threats in protected areas. Even with that scope, the available information from the search engines may not capture adequately the desired information. The obtained information and data may be out of date because lots of data are not available online and require access from the government database e.g.,

systematic information on threats, ecosystem diversity etc. For some information e.g., areas of protected areas, years of establishment, areas impacted collected from websites, news, the question of the reliability and updates remains. Regarding the biodiversity policy review and the economic sectors, it was difficult to have primary data from relevant sectors. Most of the data used for the report were collected from the Viet Nam General Statistic Office Website (<https://www.gso.gov.vn/en/statistical-data/>). However, in some case, it might have been inconsistent with the information from the sector's reports. There also had a lack of sector's comprehensive report to present the trend of biodiversity loss according to the hot spots location. Therefore, the information were gathered from a number of electronic newspapers from internet foundation.

## 2.2. Remote sensing analysis



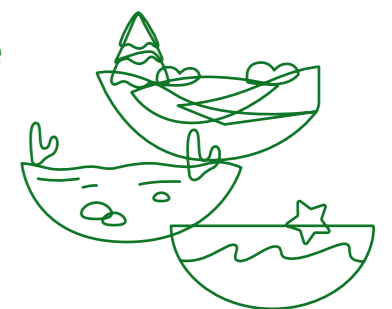
**Regarding the species,** the data collected do not enable to draw exhaustive maps of biodiversity distribution nationwide. The analyses presented

in this report might be improved if updated data and reports from national authorities and scientific institutions were available. However, the databases used from the IUCN Red List of Threatened Species (IUCN, 2021) can help map a national concentration of globally threatened species of amphibians, reptiles, mammals, birds, freshwater fishes and plants.



**Regarding the land use and land cover analyses,** the most recent data set is from 2018. Therefore, land use changes have been underestimated.

**Regarding the accuracy of the results,** the land use / land cover data collected fall under two different main levels of accuracy:



# 2 LIMITATIONS OF THE STUDY



✔ **Semantic accuracy:** This is the quality and precision of the description of land use classes (example: a forest classified as forest by mistake),

✔ **Spatial accuracy:** This is the geographic accuracy describing the land use (rasterized data at a resolution of 600m) In terms of semantic accuracy, the downloaded data has not undergone any processing aimed at altering or improving the initial quality. The results displayed therefore have the initial precision of the data, i.e. between 70% and 80% reliability, thus confirming that the analysis tools used are powerful tools for predictive changes at a large scale.

Further methodological details are given in the article of Poortinga A., & Al (2020). In terms of spatial accuracy, land use data are at the same resolution regardless of the year analyzed. Again, no action was taken to improve or degrade the accuracy of the initial data. More accurate data analysis could be performed at local level in case new goals has to be met, by using additional data.

The results were therefore used at a resolution of **600m**, which makes it possible to highlight major changes in land use, at the scale of a country.

**Finally, this analysis does not provide information on every single economic sector that might have a negative impact on biodiversity (ex: absence of information about energy production and dams) but it enables to understand the magnitude of the major critical economic sectors also identified through the literature review and the STAR analysis such as agriculture, forestry, urbanization, aquaculture and mining on biodiversity.**

## 2.3. STAR analysis

The STAR analysis is carried out for threatened species classified as CR, EN, VU and NT and does not encompass every single species. Several taxonomic groups were not included in the calculation of STAR scores: fish (freshwater), mollusks, reptiles, insects and flowering plants). Besides, the pressures on aquatic environments are not thoroughly assessed and the documentation of pressures on mammals is not as completed as the birds. Thus, for mammals, the relative share of each pressure on the risk of extinction is not quantified for all species. In these cases, all pressures are weighted in the same way. These limitations might raise the question of representativeness and a ground verification of the threats would complement the outcomes the STAR maps.

Generally speaking, updated records of species in Viet Nam are needed for such studies which is why data were requested from Vietnamese authorities/research institutions in order to develop some analyses. However, feedbacks from them indicate that there is no synthetic data to supply this study. Additionally, such assessment needs involvement of different biodiversity experts (mammal, bird, amphibian, etc.) and takes time and costs that exceed this initiative scope. As this report is drafted, data from the current Vietnamese Red Data Book is out of date (published in 2007 and based on earlier assessments) and does not contain detailed information necessary for STAR analysis. Furthermore, even data from IUCN remains gaps as many species were assessed long time ago.



## 3.1. Literature review at species level

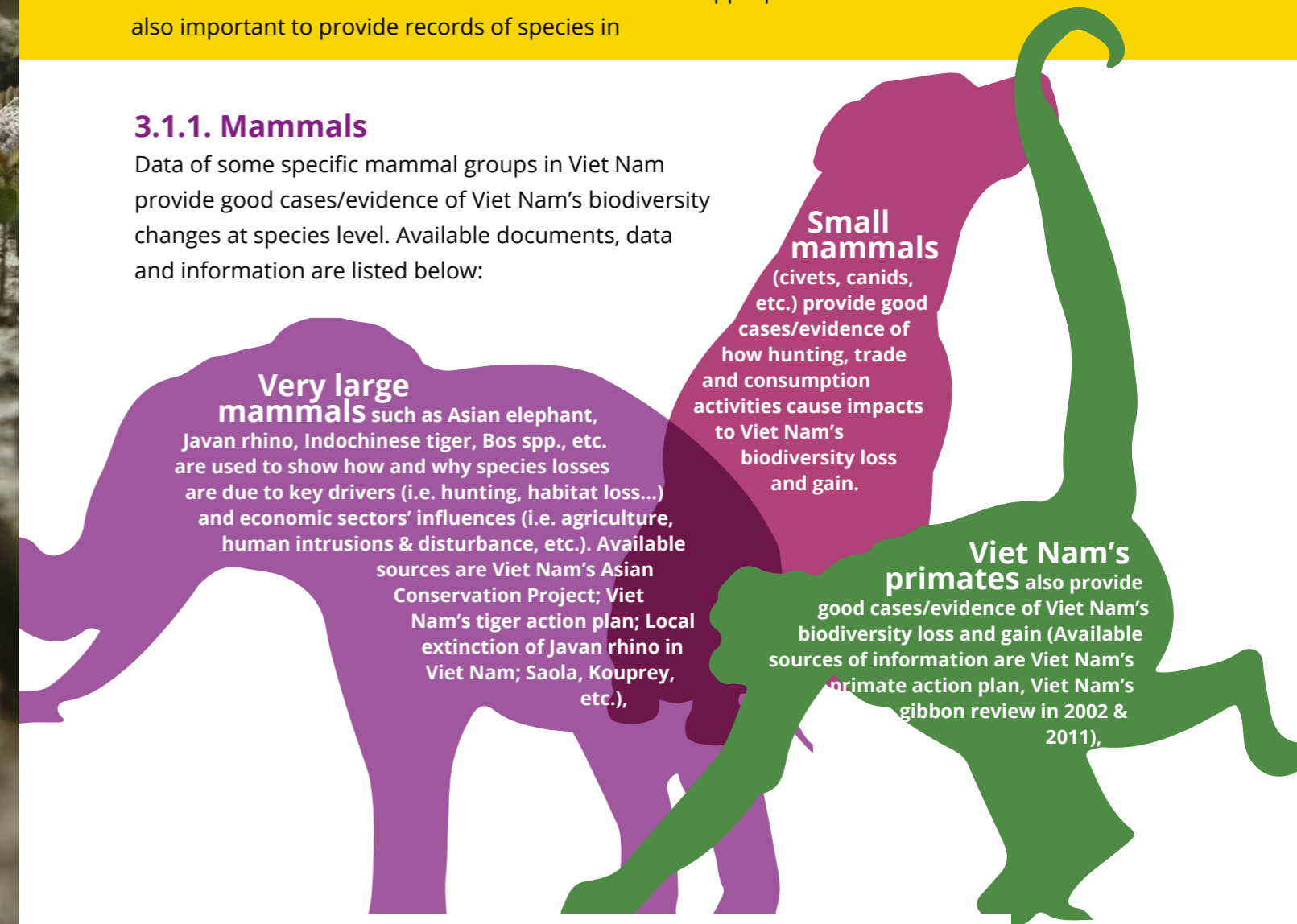
The best data of all known animal species in Viet Nam were collected from databases of the 2020 IUCN Red List of Threatened Species (IUCN, 2020) and the Global Biodiversity Information Facility. These datasets are good for analyses of: number of species, threats, population trends, conservation needs, etc. The IUCN database also provides estimated species distribution ranges that are helpful to see how land cover changes affecting to species distribution/loss in Viet Nam in time series. The GBIF database is also important to provide records of species in

Viet Nam. GBIF data will provide verified records with dates and coordinates that are important for understanding historical distribution and its effects on land cover and forest cover changes to wildlife distribution/loss in Viet Nam; such data are almost not available from the NBDS.

Other single datasets are also looking for this analysis based on scientific publications, technical reports and initiative databases if appropriate.

### 3.1.1. Mammals

Data of some specific mammal groups in Viet Nam provide good cases/evidence of Viet Nam's biodiversity changes at species level. Available documents, data and information are listed below:



However, data gaps remain as indicated below:

- Very, very few systematic monitoring data of Viet Nam's mammals,
- Very little data on population size, distribution and conservation is detailed,
- Many mammal species having information,
- Marine mammals - very few data but will try to find as possible.

# 3 RESULTS





Up to date, about **1,000** bird species

### 3.1.2. Birds

have been recorded in Viet Nam but there are very few systematic data of bird fauna of Viet Nam. The best data are only from IUCN and GBIF/eBirds available. Specific data of some endangered birds were collected and analysed as cases/

evidence of Viet Nam's bird declination such as: hunting and consumption of common birds, Sarus Crane, Viet Nam's Pheasant, Spoon-billed sandpiper, etc. Forest destruction, in particular of primary and mangrove forests, is very important to loss of bird diversity in Viet Nam.

#### Data gaps are the following:

- ✎ No database of population size, distribution and conservation of Viet Nam's birds,
- ✎ No monitoring data of population,
- ✎ Very little information/publication of birds in Viet Nam.

### 3.1.3. Amphibians and reptiles

Only data comes from the IUCN and GBIF/AmphibiaWeb&Reptilia Database. Other information had to be collected from individual publications. Available data, in particular of highly endangered and endemic species, were emphasized for analyses

#### Data gaps are the following:

- ✎ No accessible (and verified) database of amphibians and reptiles in Viet Nam,
- ✎ No database of population size, distribution

Table 3: Populations trends for mammals, birds, reptiles, amphibians and fishes in Viet Nam (Source: IUCN and GBIF, 2021<sup>1</sup>.)

No.	Population trend (1992-2015)	Number of species				
		Mammals	Birds	Reptiles	Amphibians	Fishes
1	Decreasing	114 (33%)	404 (46%)	54 (14%)	135 (61%)	228 (11%)
2	Increasing	9 (3%)	51 (6%)	5 (1%)	2 (1%)	4 (0%)
3	Stable	78 (22%)	330 (38%)	117 (30%)	25 (11%)	332 (16%)
4	Unknown	147 (42%)	84 (10%)	208 (54%)	59 (27%)	1,477 (72%)
<b>Grand Total</b>		<b>348</b>	<b>869</b>	<b>384</b>	<b>221</b>	<b>2014</b>

<sup>1</sup> As stated above, it is impossible to make comparisons to track changes with consensus timeline for all species together. Only general trend is possible to see.

Summarised datasets of IUCN and GBIF, number of species has been recorded in Viet Nam is presented in the Table 02 below:

Table 2: Number of assessed species of mammals, birds, reptiles, amphibians and fishes recorded in Viet Nam

No.	Taxon	IUCN (2021)	GBIF (2021)	
		#species	#species	#records
1	Mammals	348	258	3,657
2	Birds	869	893	150,708
3	Reptiles	384	260	3,705
4	Amphibians	221	181	12,582
5	Fishes	2,041	1,237	5,435

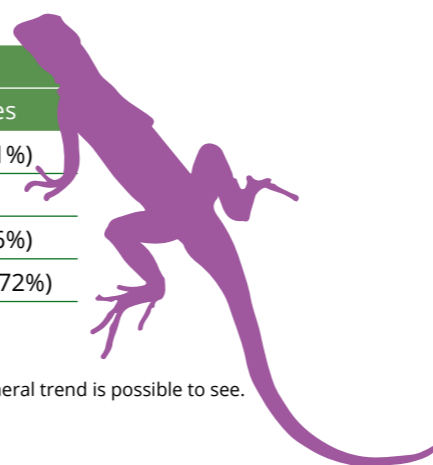
Source: IUCN and GBIF, 2021.

Due to dataset of the Viet Nam's Red Data Book was assessed before 2007, it was not suitable to use in this analysis. Generally, population trend of each species was assessed by assigned IUCN/ Survival Specialists Groups in 2015-2020.

and conservation of Viet Nam's amphibians and reptiles,

- ✎ No monitoring data of population.

Table 3 shows that many species of mammals, birds, reptiles, amphibians and fishes are decreasing in population size, especially mammals (33% of the known species), birds (46% of the known species) and amphibians (61% of the known species) during 1992-2015 (IUCN, 2021).



### 3.1.4. Other groups

There is little data of other animal species like fishes and insects collected from the IUCN and GBIF datasets. Due to the lack of systematic survey and monitoring data of other animals (e.g. fishes, insects, macro benthos...), it needs to collect data from Viet Nam's authorities for this analysis. There is also an absence of comprehensive global threat assessments of invertebrates occurring in the Indo-Burma biodiversity hotspot even if progress is being made with some groups, for instance: dragonflies and various aquatic molluscs. However, other groups likely to contain species under rapid decline have not been assessed, including large beetles, which attract high prices in the pet and specimen trades (CEPF, 2021).

#### Data gaps are the following:

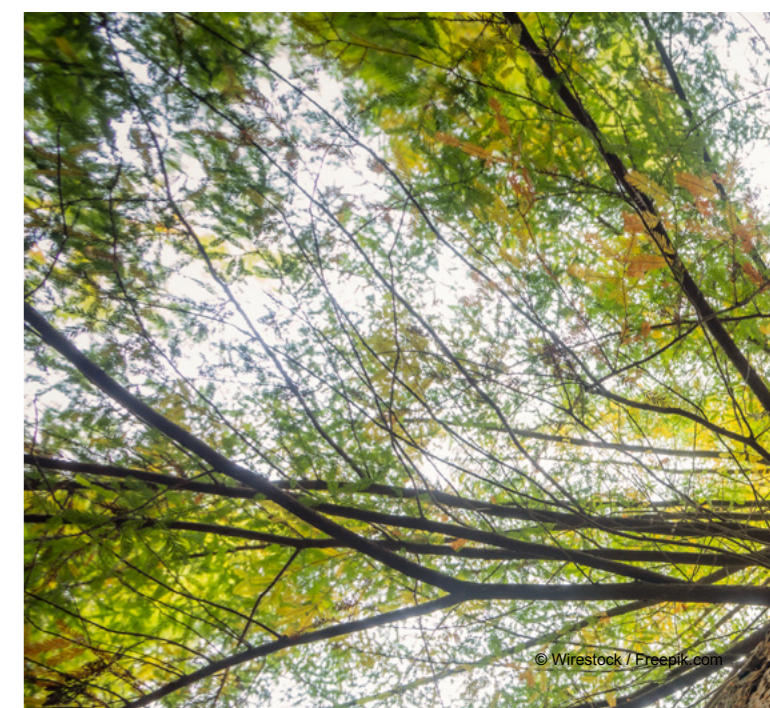
- ✎ No accessible (and verified) database of fish fauna and other animals (insects, shrimp, crabs, macrobenthos, etc.) in Viet Nam;
- ✎ Very little published data on population size, monitoring, harvesting amounts, etc. of other animals as mentioned above.

### 3.1.5. Vascular plants

Pham-Hoang (1991-1993) published Viet Nam's first national flora (i.e. Cây cỏ Việt Nam - An illustrated Flora of Viet Nam) with about 10,500 vascular plants, where he estimated that the vascular flora of Viet Nam would have about 12,000 species. His updated version (1999-2000) increased the number to 11,662. Nguyen et al. (2003-2005) listed 11,083 species including 10,267 angiosperms, at least 874 introduced species and many possible synonyms. Meanwhile, about 9,600 indigenous species of vascular plants plus about 750 naturalized, introduced and cultivated species were reported for Viet Nam (Nguyen T.H., 1997; Phan, 1998). In fact, every year of the last more than decade witnessed more than 50 new species or new country records published from Viet

Nam (e.g., Luu et al., 2017, 2018, 2019 & 2020; Middleton et al., 2014). As such, a recent analysis (Middleton et al., 2019) shows that the actual total number of vascular plant species in Viet Nam should well exceed Pham-Hoang's estimate.

At protected area level, where detailed inventory of biodiversity has been implemented, a checklist of several hundreds to thousands of plants is always available but many of them may not be exactly located as no geographic records are known. In most cases, it is hard to confirm the existence of many listed plants although they may be found in a certain ecosystem. It is because they are listed based mainly on field observation or literature. Regalado et al. (2005) has shown that this has caused our incomplete understanding of the national flora, especially in regard to the reliable numbers of threatened species or total number of species. Reliable checklists should rely on credible botanical inventories with high-quality, fully documented vouchers of all plants. As such, a reliable digital database of plants (and also of animals) with detailed information fields following GBIF protocol cannot be built up for almost the nation's protected areas.





In fact, some threatened or important species may be recognized, mapped or monitored at some scale but almost no information on their exact distribution is published. Actual distribution of specific species may be found in reports of projects funded by the National Program of Sustainable Genetic Conservation and Use under the Ministry of Science and Technology and Provincial Program of Sustainable Genetic Conservation and Use by provincial Department of Science and Technology. In most of these projects, national or/and provincial distribution of the studied plants is inventoried. However, it is unknown how many species have been inventoried by these programs.

Exact location of plant distribution can be found in individual scientific reports, recent publications of new species and especially in international platforms. Information on distribution of Vietnamese plant specimens including coordinates of collecting location are abundant in databases of internationally known herbaria in developed countries such as France, UK, the Netherlands, USA, etc. Many of these databases can be accessed online and mostly linked and/or concentrated in GBIF ([www.gbif.org](http://www.gbif.org)) which is based in Copenhagen (Denmark). Viet Nam became the 57<sup>th</sup> member (as an Associate Participant) of GBIF in 2018 and the Nature and Biodiversity Conservation Agency (BCA) under the Ministry of Natural Resources and the Environment (MONRE) plays as a national node. Currently, GBIF holds 144,663 records of Vietnamese vascular plants from 123 published datasets worldwide, of which 69,326 have information of coordinates of collection location. Obviously, this is a good source of data, together with that available at the IUCN Red List portal ([www.iucn.org](http://www.iucn.org)) where most of the threatened species assessed are provided with coordinates of species collections, that can be used to develop an actual distribution

map of Vietnamese plants. For example, a site distribution map of *Curcuma vitellina*, which is a Viet Nam-endemic zinger ranked as Endangered by IUCN (Leong-Škorničková et al., 2019), can be seen at the IUCN Red List website based on five records with coordinates (Figure 1).



Figure 1: Distribution of *Curcuma vitellina* in southern Viet Nam as seen on the IUCN Red List website.

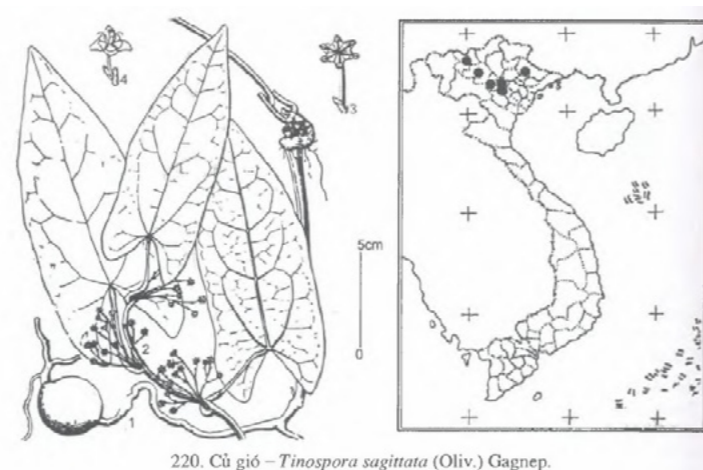


Figure 2: Distribution of a plant ranked as VU in the Viet Nam Red Data Book (Part II. Plants, 2007).

Such exact maps for many nationally threatened species cannot be re-produced from Viet Nam Red Data Book (Part II. Plants, 2007) although distribution maps of all assessed species are presented (Figure 2) and the distribution of each species was mentioned at the provincial (and sometimes district) level, just like in other publications on national flora (e.g., Pham-Hoang, 1999-2000; Nguyen et al., 2003-2005).

**The fact that no records of exact coordinates were shown helps hide the location of the threatened species and thus protect them from risks of illegal exploitation, but also challenges effective management and scientific activities.**

Meanwhile, national herbaria, which are established and managed by university and research institutions, hold many hundred thousand of plant specimens but most of them have not been digitized and managed with modern database platforms. Information on coordinates of collection location may be seen in labels on the specimens. Besides many specimens have not been identified, many scientific names written on specimens' labels need verification; this can be found in international herbaria holding Vietnamese plants as well.

Attempts to revise particular plant groups have been published, such as common plants (Le et al., 1969–1976), forest trees (Viet Nam Ministry of Forestry, 1971–1988; Vu, 1996), conifers (D.T.L. Nguyen & Thomas, 2004; Phan et al., 2013, 2017), dipterocarps (H.N. Nguyen, 2005), ferns (Phan, 2010), orchids (Averyanov 2008, 2010, 2011, 2013; Averyanov & Averyanova, 2003; T.T. Nguyen, 2001), etc. The on-going compilation of a national flora of Viet Nam has been implemented by the Institute of Ecology and Biological Resources of the Viet Nam Academy of Science and Technology since 1996 resulting in 21 published volumes accounting for 3,773 species, subspecies & varieties. However, the species concepts tend to be narrower than common international publications and, again, almost no exact coordinates of actual distribution of studied species are provided. In

most cases, the ecosystems (e.g. forest types) where the species is found are mentioned.

Based on the reported data, Regalado et al. (2005) lists the nation's three centers of plant diversity namely the Hoang Lien Son Range, the Truong Son Range (Annamites), and the Tay Nguyen Plateaus (Central Highlands) thanks to their high degree of environmental heterogeneity for climate, soil, landscape and topography. These are also the country's centers of plant endemism.

The endemism of Vietnamese plants has been estimated differently by authors. The proportion of endemics is estimated as 10% (Vo, 1995), 20% (Pocs, 1965) to 50% for the whole country (Thai, 1999). A moderate number was given as 30% by Regalado et al. (2005). There have not been elaborated accounts come to a reliable figure, but it is generally accepted that the endemism differs among families and the highest endemism is found in the Acanthaceae, Anacardiaceae, Annonaceae, Apocynaceae, Araceae, Arecaceae, Asclepiadaceae, Celastraceae, Ericaceae, Euphorbiaceae, Fagaceae, Myrsinaceae, Rubiaceae, Sapindaceae, Sapotaceae, Symplocaceae, Theaceae and Zingiberaceae (Schmid, 1974; Takhtajan, 1986; Rundel, 1999; Averyanov et al., 2003; Regalado et al., 2005). Given many hundreds of new plants described from Viet Nam in the recent two decades, this list should be revised. For example, Averyanov et al. (2003) reports 19% of Vietnamese orchids as endemics based on the then known 753 orchid species; however, the number of recorded orchids in Viet Nam has well exceeded 1,200 with many new species (and thus would be endemic) being described.

Given the current context, there are policies and actions from the Government and related authorities to anticipate negative impacts and



protect biodiversity, such as Law on Biodiversity, Law on Forestry, Law on Environment Protection, etc. For invasive species, the Decree 27/2013/TTLT-BTN&MT-BNNPTNT jointly issued by MONRE and MARD identifies 81 invasive species and directs activities for effective management. Those were reported in detail in the Viet Nam National Biodiversity Strategy to 2020, vision to 2030 (MONRE, 2013).

**Knowledge gaps noted for vascular plants are:**

- Coordinates of plant records and actual distribution are not available for many plants, including those threatened,

- Specific threats to individual species are adequately assessed (by IUCN experts) for small part of the flora while the national Red Data Book seems to have been out of date,
- Data on monitoring of changes in distribution and population size is not available for most of species,
- Impacts caused by several threats such as Transportation development, Residential & commercial development, Climate change and Invasive species are not known for many specific plant species.

## 3.2. Literature review at ecosystems level

### 3.2.1. Ecosystems' diversity in Viet Nam from a global study

Global classification systems of ecosystems were searched, yet the results were very limited. If present, they are often outdated or focus on classifying a certain ecosystem type, e.g., natural terrestrial ecosystems (Walter and Box, 1976; Ollis et al., 2015; Jung et al., 2020).

The best result from the search was the IUCN global ecosystem typology 2.0 (hereby referred as IUCN-GET) that was just published by IUCN in 2020.

The IUCN-GET classified ecosystems on earth into **three levels: realms, biomes and ecosystem functional groups** (Keith et al., 2020). It was developed with the contribution of more than 100 scientists globally, who are experts in earth's ecosystem types.

The scientists used **six following principles** to classify ecosystems: (i) representation of ecological processes; (ii) representation of biota; (iii) conceptual consistency throughout the biosphere; (iv) scalable structure; (v) spatially explicit units; (vi) parsimony and utility, which none of the previous studies met these criteria.

With these six principles, earth's ecosystems can be distinguished into **five realms (terrestrial, subterranean, freshwater, marine and atmospheric)** that can be divided into 25 biomes that can be classified into 108 ecosystem functional groups (EFG) (Keith et al., 2020).

From a detailed study of the IUCN-GET and from expert knowledge, at least 21/25 biomes (84.0%) and 60/108 (55.5%) ecosystem functional groups (EFGs) were identified in Viet Nam. These include 16 natural, 5 artificial biomes and 45 natural, 15 artificial EFGs (Table 4).

Table 4: The realms, biomes and EFGs in Viet Nam.

REALM	BIOME	ECOSYSTEM FUNCTIONAL GROUP (EFG)
<b>Terrestrial (T)</b>	1. Terrestrial (T1) - Tropical-subtropical forests	1. T1.1 Tropical subtropical lowland rainforests 2. T1.2 Tropical subtropical dry forests and thickets 3. T1.3 Tropical-subtropical montane rainforests
	2. Terrestrial (T2) - Temperate-boreal forests & woodlands	4. T2.4 Warm temperate laurophyll forests
	3. Terrestrial (T4) - Savannas and grasslands	5. T4.1 Trophic savannas 6. T4.2 Pyric tussock savannas
	Intensive land-use systems (T7)*	T7.1 Annual croplands T7.2 Shown pastures and fields T7.3 Plantations T7.4 Urban and industrial ecosystems T7.5 Derived semi-natural pastures and old fields
<b>Subterranean (S)</b>	4. Subterranean (S1) - Subterranean lithic systems	7. S1.1 Aerobic caves
	5. Subterranean-Freshwater (SF1) - Subterranean freshwaters	8. SF1.1 Underground streams and pools 9. SF1.2 Groundwater ecosystems
	Anthropogenic subterranean freshwaters (SF2)*	SF2.1 Water pipes and subterranean canals SF2.2 Flooded mines and other voids
<b>Freshwater (F1)</b>	6. Freshwater (F1) - Rivers and streams	10. F1.1 Permanent upland streams 11. F1.2 Permanent lowland rivers 12. F1.4 Seasonal upland streams 13. F1.5 Seasonal lowland rivers 14. F1.7 Large lowland rivers
	7. Freshwater (F2) - Lakes	15. F2.2 Small permanent freshwater lakes 16. F2.3 Seasonal freshwater lakes
	Artificial wetland (F3)*	F3.1 Large reservoirs F3.2 Constructed lacustrine wetlands F3.3 Rice paddies F3.4 Freshwater aquafarms F3.5 Canals, ditches and drains
	8. Marine (M1) - Marine shelves	17. M1.1 Seagrass meadows 18. M1.3 Photic coral reefs 19. M1.5 Photo-limited marine animal forests 20. M1.6 Subtidal rocky reefs 21. M1.7 Subtidal sand beds 22. M1.8 Subtidal mud plains 23. M1.9 Upwelling zones
<b>Marine (M)</b>	9. Marine (M2) - Pelagic ocean waters	24. M2.1 Epipelagic ocean waters 25. M2.2 Mesopelagic ocean waters 26. M2.3 Bathypelagic ocean waters 27. M2.4 Abyssopelagic ocean waters
	10. Marine (M3) - Deep sea floors	28. M3.1 Continental and island slopes 29. M3.3 Abyssal plains 30. M3.4 Seamounts, ridges and plateaus 31. M3.5 Deepwater biogenic beds
	Anthropogenic marine systems (M4)*	M4.1 Submerged artificial structures M4.2 Marine aquafarms



<b>Terrestrial - Freshwater (TF)</b>	11. Freshwater-terrestrial (TF1) - Palustrine wetlands	32. TF1.1 Tropical flooded forests and peat forests 33. TF1.4 Seasonal floodplain marshes
<b>Freshwater-marine (FM)</b>	12. Freshwater-marine (FM1) - Semi-confined transitional waters	34. FM1.2 Permanently open riverine estuaries and bays 35. FM1.3 Intermittently closed and open lakes and lagoons
<b>Marine-terrestrial (MT)</b>	13. Marine-terrestrial (MT1) - Shoreline systems	36. MT1.1 Rocky shorelines 37. MT1.2 Muddy shorelines 38. MT1.3 Sandy Shorelines
	14. Marine-terrestrial (MT2) - Supralittoral coastal systems	39. MT2.1 Coastal shrublands and grasslands
	Anthropogenic shorelines (MT3.1)*	MT 3.1 Artificial shorelines
<b>Subterranean - marine (SM)</b>	15. Subterranean-marine (SM1) - Subterranean tidal systems	40. SM1.1 Anchialine caves 41. SM1.2 Anchialine pools 42. SM1.3 Sea caves
<b>Marine-freshwater-terrestrial (MFT)</b>	16. Marine-freshwater-terrestrial (MFT1) - Brackish tidal systems	43. MFT1.1 Coastal river deltas 44. MFT1.2 Intertidal forests and shrublands 45. MFT1.3 Coastal saltmarshes and reedbeds

\*Biomes that are excluded from the present study

The distribution maps in the IUCN-GET provided a general understanding of the distribution of the ecosystems, yet to the international and country level only. Currently, IUCN-GET has not integrated threats to those ecosystems since it is location-specific.

Information of the artificial ecosystems in Viet Nam was searched, the information was poorly presented. In addition, the level of biodiversity in artificial ecosystems is often low due to its nature of focusing on optimizing productivity and using techniques to simplify natural biodiversity for the ease of the management, e.g., the application of monoculture, simplified biodiversity, and using pesticides. Therefore, biodiversity of artificial ecosystems was not studied further in the present study.

After the literature search, nearly 350 documents with related information (e.g., area, year of establishment, distribution, ecosystem type, threats, area change etc.) were selected. Initially, ecosystems were intended to classify to the EFG level, which requires detailed information as presented in Box 1. After a few efforts and a quick study of the searched documents, this task appears to be infeasible due to the inadequacy of available descriptions of the ecosystems in the literature, which often mention the name of the ecosystem only. However, a classification to the biome level is appropriate, and the existing ecosystems correspond to 16 different biomes. The classification to the biome level only provides a less detailed understanding of the ecosystems, yet it has no impacts on the results of the review.

### BOX 1 Criteria to distinguish EFG

- **Resource filters** (e.g., waters, nutrients, energy, oxygen, carbon)
- **Ambient environmental filters** (e.g., temperature, geomorphology, solid substrate, fluid circulation, seasonality, interannual variability, UV-B radiation, salinity, geothermal flux);
- **Disturbance regime filters** (e.g., fires, floods, storms, volcanism);
- **Mass movement** (e.g., autotrophic competition, herbivory & predation, ecosystem engineers, mutualisms and symbioses, detritivory, decomposition);
- **Anthropogenic filters** (e.g., structural transformation, water extraction, diversion & impoundment, pollution, assisted biotic, climate change);
- **Ecological traits** (energy sources, trophic structure, productivity, autophoph traits, biogenic structure, heterotroph diets, body sizes, phenology, salinity tolerance and regulation, water conservation, buoyancy).

Source: IUCN-GET (Keith et al., 2020)

### 3.2.2. Ecosystem's diversity from the literature review



#### Viet Nam biodiversity profile

Viet Nam's topography and climate hold significant advantages that support a high level of biodiversity. Three-fourth of the country areas are mountainous and hilly (The Government, n.d.) with karst mountains covering about 60,000 km<sup>2</sup> (18% of the country surface) (Tuyet, 2010). The country has two main deltas: Red River (15,000 km<sup>2</sup>) and Cuu Long (Mekong, 40,000 km<sup>2</sup>) with the river system of 41,000 km length in total (The Government, n.d.). The 3,260 km long coastline (Rhind, 2012) running along the countries form the country's marine biodiversity. With the long shape, the country lies in the tropics with high temperature and humidity all year around with some high-elevated parts experiencing the subtropical climate.





The country's high level of biodiversity has received international records (Table 5):

Table 5: Internationally recognized important area.

Types of records	No. of sites	Locations	Total areas (ha)
Priority eco-regions <sup>1</sup>	6/238	Northern Indochina Sub-tropical Moist Forests; Southeast China- Hainan Moist Forests; the Annamite Range Moist Forests; Indochina Dry Forests; Mekong River; and Xi Jiang Rivers and Streams	NA
Centres of Plant Diversity identified by the IUCN <sup>2</sup>	7	NA	NA
RAMSAR <sup>3</sup>	9	Ba Be NP (Bac Kan), Bau Sau Wetlands and Seasonal Floodplain (Dong Nai), Con Dao NP (Ba Ria – Vung Tau), Lang Sen Wetland Reserve (Long An), Mui Ca Mau NP (Ca Mau), Tram Chim NP (Dong Thap), U Minh Thuong NP (Kien Giang), Van Long Wetland NR (Ninh Binh), Xuan Thuy Natural Wetland Reserve (Nam Dinh)	120,549
Biosphere Reserve <sup>4</sup>	9	Cat Ba (Hai Phong), Red River Delta (Thai Binh, Nam Dinh, Ninh Binh), Cu Lao Cham – Hoi An (Quang Nam), Western Nghe An (Nghe An), Langbiang (Lam Dong), Dong Nai (Dong Nai, Lam Dong, Dak Nong, Binh Duong, Binh Phuoc), Can Gio mangrove (Ho Chi Minh city), Kien Giang (Kien Giang), Mui Ca Mau (Ca Mau)	4,380,504
Natural World Heritage Sites <sup>5</sup>	3	Ha Long Bay (Quang Ninh), Phong Nha - Ke Bang National Park (Quang Binh) and Trang An Landscape Complex (this is a mix of Natural and Cultural heritage)	399,033 (including the buffer zone)
ASEAN Heritage Parks <sup>6</sup>	10	Kon Ka Kinh NP (Gia Lai), Chu Mom Ray NP (Kon Tum), BA Be NP (Bac Kan), Hoang Lien NP (Lao Cai and Lai Chau), U Minh Thuong NP (Kien Giang), Bai Tu Long NP (Quang Ninh), Bidoup Nui Ba NP (Lam Dong), Vu Quang NP (Ha Tinh), Lo Go - Xa Mat NP (Tay Ninh), Ngoc Linh NR (Kon Tum)	365,389
Important Bird Areas (IBA), Including 7 IBAs <sup>7</sup>	63		NA
Key Biodiversity Area <sup>8</sup>	122		3,879,600

<sup>1</sup> Source: Olson and Dinerstein (1998).

<sup>2</sup> Source: cited in Carew-Reid et al. (2010).

<sup>3</sup> Source: <https://www.ramsar.org/> (updated May, 2021)

<sup>4</sup> Source: <http://www.unesco.org/new/en/natural-sciences/environment/ecological-sciences/biosphere-reserves/asia-and-the-pacific/vietnam/>

<sup>5</sup> Source: <https://whc.unesco.org/>

<sup>6</sup> Source: <http://chm.aseanbiodiversity.org/>

<sup>7</sup> Source: <https://www.birdlife.org/>

<sup>8</sup> Source: <http://www.keybiodiversityareas.org/>



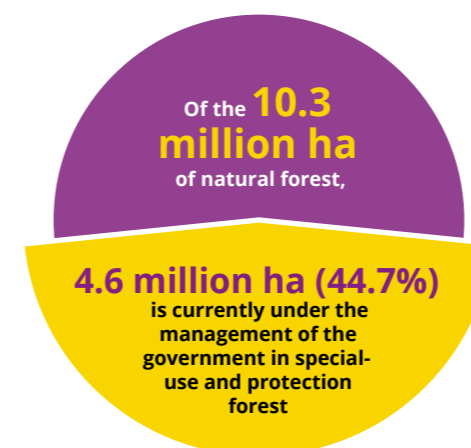
## Areas and distribution of ecosystems

According to MARD (2021), by December 31, 2020, Viet Nam has 14,677,215 ha of forested land, with 10,279,185 ha of natural forests and 4,398,030 ha of plantations (Table 6).

Table 6: Forest areas by the origin

Forest manager	Natural forest (ha)	Plantation (ha)	Total (ha)
Special-use forest management board	2,086,842	96,967	2,183,809
Protection forest management board	2,515,571	508,293	3,023,864
Social-economic organization	1,100,952	619,961	1,720,913
Army forces	124,391	63,901	188,291
Science, technology organizations	105,854	131,419	237,274
Households, individuals	1,318,510	1,874,659	3,193,169
Communities	1,095,320	71,150	1,166,470
Foreign-invested enterprises	8,101	14,840	22,941
Commune people's committee	1,923,644	1,016,840	2,940,484
<b>Total</b>	<b>10,279,185</b>	<b>4,398,030</b>	<b>14,677,215</b>

Source: Decision No. 1558/QĐ-BNN-TCLN dated April 13, 2021 by the Minister of the Ministry of Agriculture and Rural Development (2021)



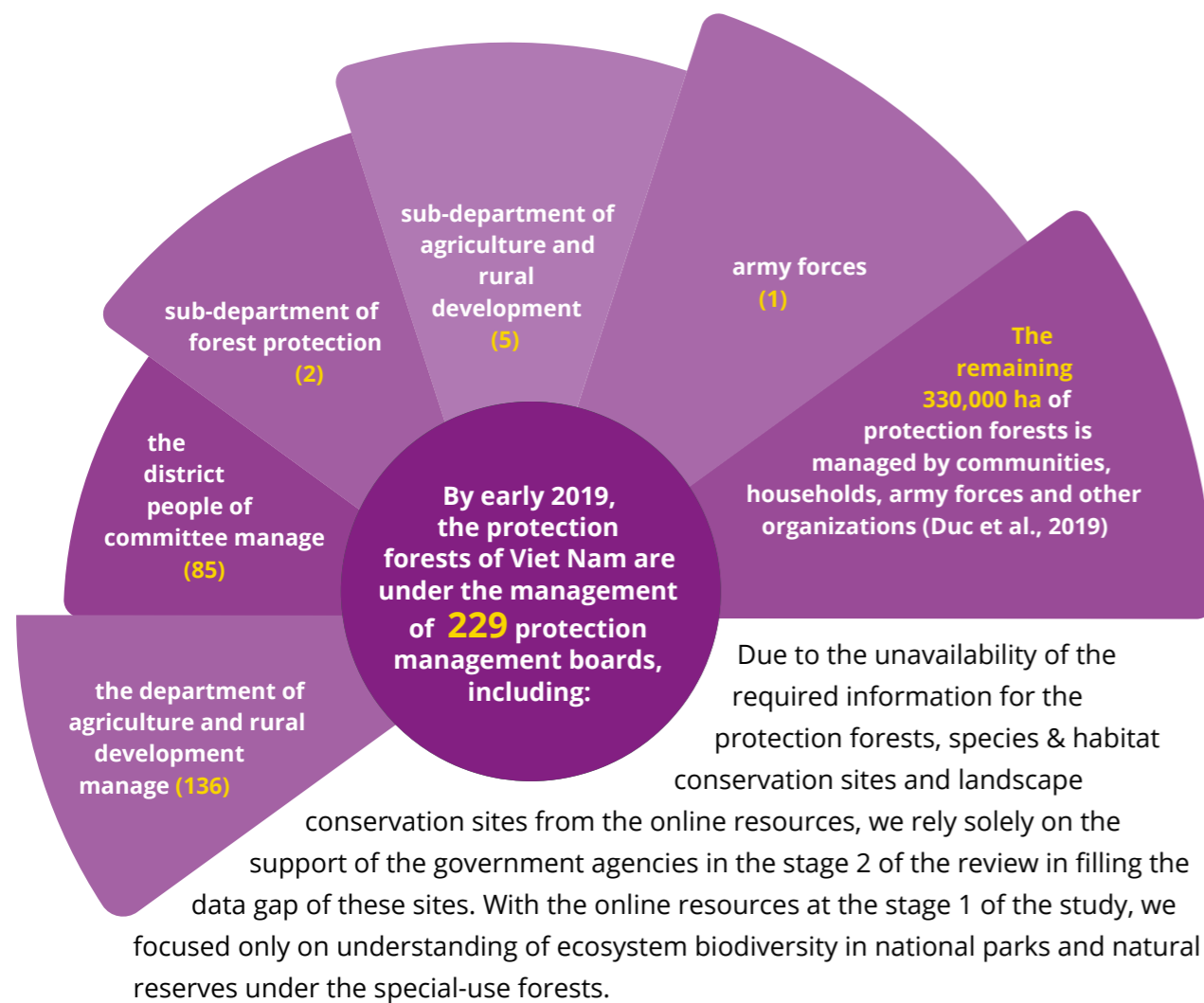
Due to the unavailability of the required information in natural forests managed by other managers rather than special-use forests (Table 6), data collection for the present study was focused in the special use forests. The information on biodiversity may be acquired from protection forest management board with support from the government agencies.

Regarding the special-use forests, according to the Decision No. 1107/QĐ-BTN&MT dated May 12, 2015, Viet Nam has 31 national parks (NP), 64 nature reserves (NR), 16 species and habitat conservation sites and 55 landscape conservation sites (Table 7).

Table 7: Protected areas in Viet Nam according to the Decision No. 1107/QĐ-BTN&MT dated May 12, 2015.

Protected area	No. of sites	Total areas (ha)	Proportion (%)
National Park	31	1,109,425.65	45.75
Nature Reserve	64	1,139,776	47.01
Species & habitat conservation sites	16	80,326.18	3.31
Landscape conservation site	55	95,128.49	3.92
<b>Total</b>		<b>2,424,656.32</b>	<b>100</b>





According to the Decision No. 45/QD-TTg, dated January 08, 2014 on approval of biodiversity conservation planning by 2020 with a vision to 2030 (summarised in Table 8), Viet Nam planned to have terrestrial 156 protected areas that conserve terrestrial ecosystem (with a total of 2.5 M ha); 45 wetland protected areas (appx. 335,000 ha) and 13 marine protected area (nearly 230,000 ha).

Table 8: The number and areas (ha) of protected areas that conserve different biomes (According to the Decision No. 45/QD-TTg).

Protected area	Terrestrial	Wetland	Marine	Total
Habitat and species conservation site	17 (112,402.04 ha)	12 (110,438 ha)	1 (2,881.47 ha)	30 (225,721.51 ha)
Landscape conservation site	45 (70,081.19 ha)	8 (42,218.3 ha)	3 (79,465 ha)	56 (191,764.49 ha)
National Park	30 (1,080,517.23 ha)	1 (7,100 ha)	1 (7,850 ha)	32 (1,095,467.3 ha)
Nature Reserve	64 (1,277,380.21 ha)	25 (177,071.3 ha)	8 (139,005 ha)	97 (1,593,456.51 ha)
<b>Total</b>	<b>156 (2,540,380.67 ha)</b>	<b>45 (334,854.1 ha)</b>	<b>13 (229,201.5 ha)</b>	<b>215 (3,106,409.74 ha)</b>



### Wetlands

In Viet Nam, wetlands are broadly classified as inland wetlands and coastal wetlands (Thin, 2003), which compared to IUCN-GET are somehow equivalent to freshwater, marine and their transition zones with terrestrial ecosystems. Mangrove forests and mudflats are concentrated mainly in the deltas, estuaries and tidal areas, while lagoons mainly along the coastline of the central (Thua Thien - Hue to Ninh Thuan Provinces) and coral reefs and seagrass beds are in the south-central coastal (IUCN, 2005).

The country has a total of **11,847,975 ha** of wetlands, accounting for **37%** of the country's total area (cited in MONRE, 2019). This figure did not include the area of freshwater ecosystems e.g., rivers and streams (that are seasonally flooded), springs, spots of hot water, and mineral water.

Sixty eight wetland sites were recommended as having environmental and biodiversity values and 10 wetland sites were considered as having highest values according to Ramsar Convention's criteria (Tien Yen estuary, Bach Dang estuary, Van Uc estuary, Ba Lat estuary, Lim Son tidal flat, Tam Giang - Cau Hai lagoons, Tra O marsh, Dong Nai estuary, Tien estuary, Southwest Ca Mau tidal flat (cited in IUCN, 2005). In the Mekong delta only, Thin (2003) also recommended ten wetland sites of high biodiversity values: U Minh Thuong, Tram Chim and Mui Ca Mau national park; Lang Sen, Thanh Phu, Tra Su, Lung Ngoc Hoang and Vo Doi Nature Reserve, Tinh Doi Forest Fish Enterprise, Ha Tien Grassland.

Thin (2003) also listed special-use forests that protect wetland, including: Tram Chim (7,588 ha) and U Minh Thuong National Parks (8,038 ha), Vo Doi (4,000 ha), Lung Ngoc Hoang 2,800 ha for conservation of inland wetland ecosystems. For coastal wetlands, Thin (2003) listed Dat Mui National Park (46,000 ha) and Thanh Phu Nature Reserve (4,300 ha). Besides, several bird sanctuaries were established in some provinces e.g., Ca Mau, Bac Lieu, Ben Tre, Tra Vinh, Soc Trang, and Dong Thap provinces.

According to the Decision No. 45/QD-TTg, dated January 08, 2014 on approval of biodiversity conservation planning by 2020 with a vision to 2030 (summarized in Table 9), the Government planned to have 45 wetland protected area with a total of 0.33 M ha by 2020.







### Marine ecosystems

With a coastline of 3,260 km and more than 3,000 inshore and offshore islands and islets (de Queiroz et al., 2013; Lutaenko et al., 2011; Mau et al., 2015), the coastal areas of Viet Nam hold a high level of biodiversity conservation values and especially they are important habitat for globally threatened migratory birds, cited in (de Queiroz et al., 2013). The coastal areas with its abundant resources are the important source of livelihoods for approximately 20 million people in 125 coastal districts (MONRE, 2014).

**On May 26, 2010, the Prime Minister issued Decision No. 742/QD-TTg on approving the plan on the system of Viet Nam's marine conservation zones through 2020. According to Decision No. 742, the protected sea areas of Viet Nam will be 0.24% by 2020. However, by 2020, only 12 out of 16 planned marine protected areas (Table 9) have been established with a total 213,400 ha (i.e., 0.185% of the sea areas under protection) (Tong cuc thuy san, 2021).**

According to the Decision No. 45/QD-TTg, dated January 08, 2014 on approval of biodiversity conservation planning by 2020 with a vision to 2030, the Government planned to have 13 marine protected areas with a total of 0.22 M ha by 2020. In Decision No. 742/QD-TTg, the no. of marine protected areas planned was 16 (Table 9).

Table 9: List of planned marine protected areas (enclosed to the Decision No. 742/QD-TTg) and the updates of establishment.

No.	Name of marine conservation zone/province	Total areas (ha)	Sea area (ha)	Updates of the establishment by 2020
1	Tran island/Quang Ninh	4,200	3,900	Detailed planning in 2020 under the name Co To - Dao Tran
1	Co To/Quang Ninh	7,850	4,000	
2	Bach Long Vi/Hai Phong	20,700	10,900	Established (2013)
3	Cat Ba/Hai Phong	20,700	10,900	Established (No information about the year - NI)
4	Hon Me/Thanh Hoa	6,700	6,200	Planning
5	Con Co/Quang Tri	2,490	2,140	Established (2009)
6	Hai Van - Son Tra/Thua Thien - Hue - Da Nang	17,039	7,626	Planning
7	Cham islet/Quang Nam	8,265	6,716	Established (NI)
8	Ly Son/Quang Ngai	7,925	7,113	Established (NI)
9	Nam Yet/Khanh Hoa	35,000	20,000	Planning
10	Nha Trang Bay/Khanh Hoa	15,000	12,000	Established (2012)
11	Nui Chua/Ninh Thuan	29,865	7,352	Established (2015)
12	Phu Quy/Binh Thuan	18,980	16,680	Planning
13	Hon Cau/Binh Thuan	12,500	12,390	Established (2012)
14	Con Dao/Ba Ria - Vung Tau	29,400	23,000	Established (NI)
15	Phu Quoc/Kien Giang	33,657	18,700	Established (2007)
16	Bai Tu Long/Quang Ninh			Established (NI)

### 3.2.3. Ecosystems' diversity in protected areas

From our literature review, we synthesized the distribution of the 16 biomes in the national parks and nature reserves based on the list in the Decision 1976 as in Table 10 (details in Annex 1). It should be noted that due to the limitations of the available data, we could not obtain a full understanding of the distribution of the biomes in Viet Nam. The information in the Table 10 and Annex 1 only partly reveals the distribution of the biomes across the national parks and nature reserves. Information from the databases of MARD and MONRE may allow us to fill the data gaps.

Table 10: Ecosystem diversity in protected areas based on the Decision 1976.

Type of biomes	No. occurrence in National Parks	No. of occurrence in Nature Reserves	Total
1. Terrestrial tropical & subtropical forests	29	42	71
2. Temperate boreal forests & woodlands	NI	NI	
3. Terrestrial savannas & grasslands	7	10	17
4. Subterranean lithic systems	3	NI	3
5. Subterranean freshwater	NI	NI	
6. Underground streams & pools	NI	NI	
7. Subterranean marine	1	NI	1
8. Freshwater terrestrial - Palustrine wetlands	6	3	9
9. Freshwater - Rivers & streams	7	1	8
10. Freshwater - Lakes	6	NI	7
11. Freshwater-marine- Semi Confined transitional waters	NI	1	1
12. Marine shelf	6	NI	6
13. Marine- Deep sea floors	NI	NI	
14. Marine terrestrial - Shoreline systems	2	NI	2
15. Marine terrestrial - Supralittoral coastal systems	NI	NI	
16. Marine freshwater terrestrial - Brackish tidal systems	5	1	6

\*NI: no information.

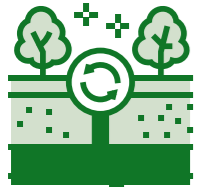
The following section demonstrates the stage of the knowledge of the ecosystems and biomes in protected areas across Viet Nam.



#### Terrestrial (T1): Tropical - subtropical forests

The Tropical-subtropical forests (T1) in Viet Nam include three EFGs (Keith et al., 2020): (T1.1) Tropical subtropical lowland rainforests; (T1.2) Tropical subtropical dry forests and thickets; (T1.3) Tropical-subtropical montane rainforests. Different EFGs have their own species diversity and level of endemism. The T1 biome covers a wide range of tropical forests in Viet Nam e.g., tropical evergreen forests, limestone forests, montane evergreen forests, bamboo forests. The evergreen forests of various types are the most extensive forest types and occur throughout Viet Nam (Carew-Reid et al., 2010; Phuong et al., 2012). T1 biome occurs in at least 29/34 national parks and 42/55 nature reserves (Annex 1). It can be concluded that the T1 biome is the most common terrestrial biome, occurring across the country.





### Terrestrial (T2) – Temperate-boreal forests and woodlands

Of the EFG of the T2 biome, only the T2.4 – warm temperate laurophyll forests may occur in Viet Nam. According to the description and the map of distribution of the EFGs in T2 (Keith et al., 2020), T2.4 can be found in the Central Highlands, Southeastern and northeast of Viet Nam. According to the classification of sub-ecological zone of Viet Nam (Phuong et al., 2012), the T2.4 may occur in high mountainous areas in the northeast, northwest and north central and the central highlands of Viet Nam. Existing information from the literature does not allow us to better understand the distribution of T2 across the country.



### Terrestrial (T4) – Savannas and grasslands

According to the description of the EFG, Viet Nam has two EFGs of the T4 biome: T4.1 – Trophic savannas and T4.2 – Pyric tussock savannas. The T4.1 and T4.2 are distinguished in the strong top-down processes. While T4.1 is the biotic interactions and the abundance of nutrients, in T4.2 it is the low-intensity fire. In the distribution map of EFG in (Keith et al., 2020), T4.1 does not occur in Viet Nam, while most areas in the Central Highlands are T4.2 EFG. In Viet Nam, the strong top-down processes of herbivory and predation may not be as prominent as in trophic savannas in Africa, yet the T4.1 may occur in Yok Don National Park where Thuy (2017) demonstrated similar mechanisms driving the ecosystems like other savannas. The abundance of livestock (which estimated to more than 20,000 individuals – per comms. with the Park vice-director) was observed in the park.

In Viet Nam, the deciduous dipterocarp forest (T4.1) mainly occurs in the Central Highlands, the south-central coast and the southern provinces e.g., Khanh Hoa, Ninh Thuan, Binh Thuan, Kon Tum, Gia Lai, Dak Lak, Dak Nong, Lam Dong, Binh Duong, Binh Phuoc and Tay Ninh. In protected areas, the T4 biomes are present in key PA e.g., Yok Don, Nui Chua, Chu Mom Ray, Kon Ka Kinh, Bidoup - Nui Ba, Ba Na - Nui Chua, Binh Chau - Phuoc Buu, Ea So, Krong Trai, Ngoc Linh (Annex 1). Patches of grasslands may occur in Phong Nha - Ke Bang, Bach Ma, Hoang Lien, Pu Hu, Sao la (Thua Thien - Hue), Tay Yen Tu, Nui Ong, Bat Dai Son and Che Tao (Annex 1).

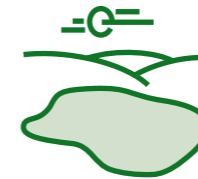


### Subterranean (S1) – Subterranean lithic systems

There are three EFGs in the Subterranean lithic systems (S1) biomes and we can be certain about the occurrence of S1.1 Aerobic caves in Viet Nam. However, information on subterranean and cave systems in particular in Viet Nam is very poor. Aerobic caves in Viet Nam are relatively abundant in karst topography, which occurs widely from Ha Giang to Kien Giang provinces, yet they mostly spread in the north and northern central of Viet Nam (southwards to Da Nang City) (Carew-Reid et al., 2010). Provinces with abundant karst topography include Hoa Binh, Cao Bang, Tuyen Quang, Lang Son, Quang Ninh, Thanh Hoa, Nghe An, etc. (Anonymous, n.d.).

Systematic studies about aerobic caves across the countries seem not present. There is very little information about the occurrence of cave ecosystems in protected areas. The Oxalis Adventure company listed many marvellous caves in Viet Nam that have been utilized for tourism e.g., the Trang An complex in Ninh Binh province with 48 small and large caves and other caves in Ninh Binh province (e.g., Mua cave); Son Doong cave with up to 5 km in length, 200 m in height and 150 m in width and 38.5 M m<sup>3</sup> in volume; other caves in Phong Nha - Ke Bang NP (e.g., Thien Duong cave with 72 m in height, 150 m in width; En cave with up to 2 km in length, 120 m in height and 140 m in width; Va cave; Tien cave, Phong Nha cave), in Quang Binh province (e.g., Dong Tu cave complex including many different caves), in Quang Ninh province (e.g., Dau Go cave, Sung Sot cave), etc.

The literature indicates the occurrence of S1 biomes in Bai Tu Long, Cat Ba and Phong Nha - Ke Bang National Parks (Annex 1).



### Subterranean-Freshwater (SF1) – Subterranean freshwaters

Two EFGs of SF1 in Viet Nam: SF1.1 – Underground streams and pools and SF1.2 Ground water ecosystems. Although the biome SF1 occurs in Viet Nam, their detailed distribution is not well-known and seldom indicated in the literature of protected areas. Some documents e.g., Anonymous (n.d.) and Limbert et al. (2014) described the presence of the SF1 in Phong Nha - Ke Bang.



### Subterranean-marine (SM1) – Subterranean tidal systems

According to the description of the SM1, Viet Nam may have the presence of all: SM1.1 – Anchialine caves, SM1.2 – Anchialine pools, SM1.3 – Sea caves. According to Keith et al. (2020), abundance of the SM1 biomes occurs along the coastline of the north and north central of Viet Nam. The actual distribution of the SM1 biomes is very little known from the literature of protected areas and general literature. It is known to occur in Bai Tu Long National Park.



### Freshwater-terrestrial (TF1) – Palustrine wetlands (or inland wetland)

According to the description and the map of the TF1 in Viet Nam, two types of the biomes occur in the country: (1) TF1.1 Tropical flooded forests and peat forests; (2) TF1.4 Seasonal floodplain marshes. Both EFGs occur widely in the Mekong delta of southern Viet Nam. In the Mekong Delta, it is dominated by the Melaleuca forest (natural or planted), seasonally inundated grassland, swamp, extensive grasses, sedges and forbs (Buckton et al., 1999; Carew-Reid et al., 2010). The conditions of the TF1 support populations of several threatened waterbirds, as well as Wild Rice (*Oryza rufipogon*), the wild progenitor of cultivated rice (Carew-Reid et al., 2010).



Thinh (2003) studied wetland types in the Mekong delta. According to the information in Thinh (2003), a large area of the Mekong delta belongs to the TF1 biome (Table 11). The results from the literature (Annex 2) indicate the occurrence of TF1 in Ba Vi, Lo Go - Xa Mat, Mui Ca Mau, Tram Chim, U Minh Ha and U Minh Thuong NPs, and Binh Chau - Phuoc Buu, Lang Sen and Lung Ngoc Hoang NRs.

Table 11: Synthesis of wetland protected areas in the Mekong Delta.

Protected area	Area	Wetland types	Types of biomes
U Minh Thuong NP	8154 ha, and 13,000 ha surrounding the park are buffer zone	Peatland, swamp Melaleuca forest, swamp, grassland and open water, water ways.	TF1 and other freshwater ecosystem
Tram Chim NP	7588 ha	Inland wetlands: Melaleuca swamp, Seasonally inundated grassland, Lotus swamp	TF1
Lang Sen NR	3,280 ha and surrounding area	Inland wetlands: Seasonally flooded (Melaleuca forest, open swamp, seasonally flooded grasslands	TF1
Thanh Phu NR	4800 ha	Estuary wetlands: natural mangrove swamp, mangrove plantation, mud-flat, sandy beach, natural waterways, shrimp ponds	TF1, FM1, MT1, and other Freshwater ecosystem
Tra Su NR	860 ha	Inland wetlands: Seasonally flooded swamp, Seasonally inundated grassland	TF1
Tinh Doi Forest Fish Enterprise	2053 ha	Inland wetlands: Seasonally inundated grassland, seasonally flooded swamp, seasonally inundated grassland,	TF1
Ha Tien Grassland	16000	Grassland, inundated swamp forest	TF1
Lung Ngoc Hoang NR	2800	Inland wetlands: Melaleuca plantation, rice field, seasonally inundated grassland	TF1
Vo Doi NR	3724	Inland wetlands: Peatland; swamp forest, seasonally inundated grassland	TF1
Mui Ca Mau NP	46,000 ha (15000 ha of new land and 21,000 ha of shallow sea).	Sub-tidal and tidal coastal wetlands: mud flat, mangrove swamp, shrimp ponds	TF1, FM1

Source: Thinh (2003)

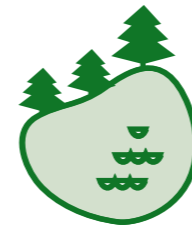


### Freshwater (F1) – Rivers and streams

Five EFGs in F1 biome are present in Viet Nam: (F1.1) Permanent upland streams; (F1.2) Permanent lowland rivers; (F1.4) – Seasonal upland streams; (F1.5) Seasonal lowland rivers; (F1.7) Large lowland rivers. The information on rivers and streams may be revealed in natural and social-economic conditions of the protected areas or provinces, yet it is usually absent from the description of ecosystems in protected areas. Very little understanding of the distribution of the rivers and streams in protected areas was found.

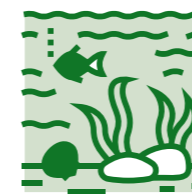
According to MONRE (2012) and Carew-Reid et al. (2010) Viet Nam has more than 2,360 rivers of more than 10 km length, of which 109 rivers are 1st order. The river and stream system in Viet Nam occurs throughout the country. Viet Nam has two major river basins which belong to two major deltas (the Hong and Mekong). The country also has 16 domestic river basins with > 2,500 km<sup>2</sup> of catchment area. Ten out of the 16 basins are > 10,000 km<sup>2</sup> in areas (Bang Giang – Ky Cung, Hong – Thai Binh, Ma, Ca, Vu Gia – Thu Bon, Ba, Srepok, Se san, Dong Nai, Me Cong). The rest six are 2,500-10,000 km<sup>2</sup> (Thach Han, Gianh, Huong, Tra Khuc, Kon, and basins in Southeast of Viet Nam).

In the literature (Annex 2), the following protected areas indicate the occurrence of streams and rivers: Ba Be, Chu Mom Ray, Kon Ka Kinh, Lo Go - Xa Mat, Phu Quoc, Vu Quang, Yok Don and Bat Dai Son. The actual distribution of stream and river biome in protected areas in Viet Nam might be far more abundant.



### Freshwater (F2) – Lakes

EFGs of lake biome are distinguished in size, salt, seasonality, the presence of freeze-thaw, ephemeral. According to the descriptions (Keith et al., 2020), Viet Nam may have two EFGs: (F2.2) Small permanent freshwater lakes; (F2.3) Seasonal freshwater lakes. For natural lakes only, Viet Nam has a total of 20,000 ha (Carew-Reid et al., 2010). Some of the natural lakes in Viet Nam are: Ba Be (Bac Kan), Thac Ba (Yen Bai), To Nung (Gia Lai), Lak (Dak Lak), Ta Dung (Dak Nong), Hoan Kiem (Ha Noi), West Lake (Ha Noi), Xuan Huong (Lam Dong), Lap An (Hue), Tuyen Lam (Lam Dong). The literature (Annex 2) indicates the occurrence of lake biome in the following NPs: Ba Be, Ben Ben, Cat Ba, Cat Tien, and Ta Dung. The actual distribution of lake biomes might be far more abundant.



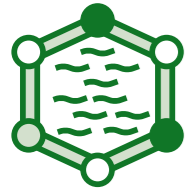
### Freshwater-marine (FM1) – Semi-confined transitional waters

From the descriptions and the maps of distribution (Keith et al., 2020), Viet Nam has two EFGs: (FM1.2) Permanently open riverine estuaries and bays; (FM1.3) Intermittently closed and open lakes and lagoons. Viet Nam has >130 estuaries with an average of an estuary per every 25 km of coastline and occurring in the territories of 24 provinces and cities (Thanh et al., 2013). Some estuaries have been studied e.g., Ba Lat, Bach Dang (in the Red River); Thuan An (one of estuaries of Tam Giang – Cau Hai lagoon); Dinh An, Sai Gon - Dong Nai, the Mekong mouths (Thanh et al., 2013; Duong and Dong, 2019). Some estuaries in small deltas of the central region e.g., Ma, Ca, Thu Bon, Da Rang (Duong and Dong, 2019).

Estuaries areas can be in open sea (Central and Mekong), into large bays (Red River mouth), small bays (Han estuary) or opening into lagoon (Huong River estuary opens into Tam Giang lagoon) (Duong and Dong, 2019). The funnel-shaped estuaries are often located on the shorelines. In Viet Nam, lagoons are



concentrated in the Central region (from Thua Thien - Hue to Ninh Thuan). There are 12 typical lagoons in the country with a total of 458 km<sup>2</sup> and distributed over about 21% of the country's coastline. Key lagoons e.g., Tam Giang - Cau Hai (run for 70 km along the coast of Thua Thien - Hue province, with a width of 216 km<sup>2</sup> (Duong and Dong, 2019).



### Marine (M1) - Marine shelves

According to Keith et al. (2020), Viet Nam has at least four EFGs of the marine shelf biome: (M1.1) Seagrass meadows; (M1.3) Photic coral reefs; (M1.5) Photo-limited marine animal forests; (M1.6) Subtidal rocky reefs; (M1.7) Subtidal sand beds; (M1.8) Subtidal mud plains; (M1.9) Upwelling zones. Marine shelf (M) ecosystems are highly abundant along coastal areas of Viet Nam.



### Marine (M2) - Pelagic Ocean waters

Based on the description in Keith et al. (2020) (Figure 3), Viet Nam has four EFGs of the M2 biome: (M2.1) Epipelagic ocean waters; (M2.2) Mesopelagic ocean waters; (M2.3) Bathypelagic ocean waters; (M2.4) Abyssopelagic ocean waters. They are likely to occur in the ocean areas.

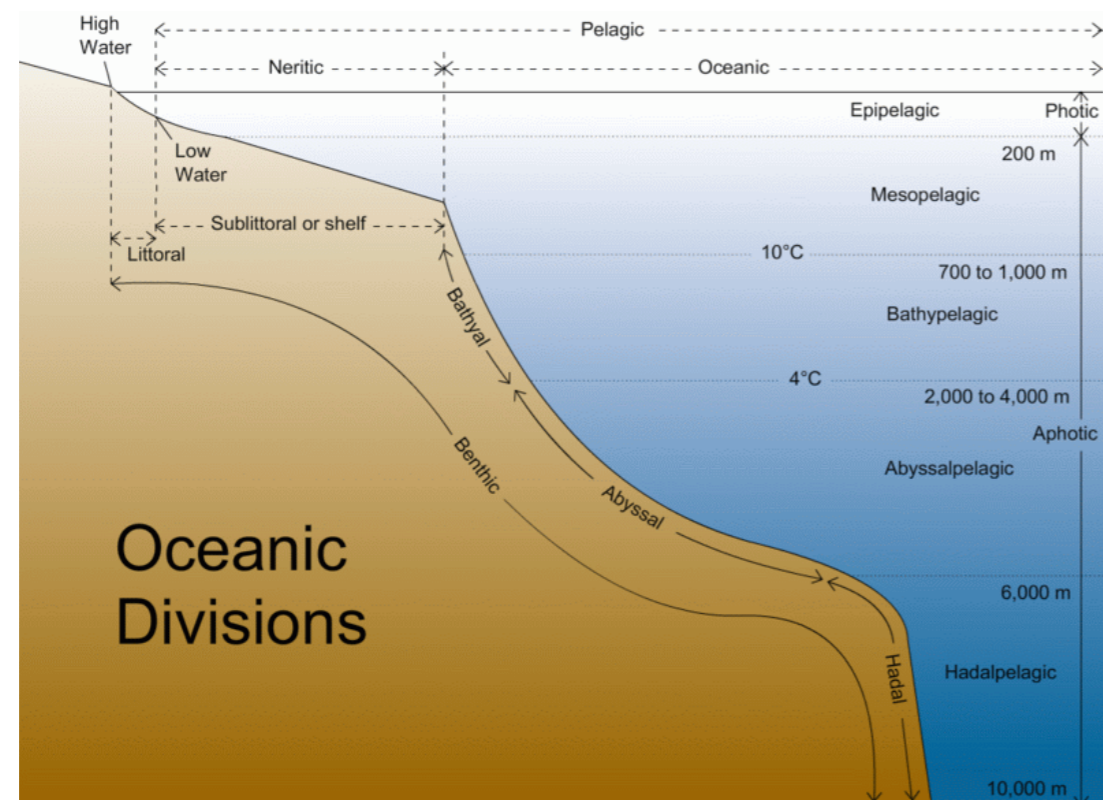
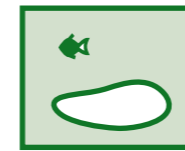


Figure 3: Ocean divisions.



### Marine (M3) - Deep Sea floors

Based on the description in Keith et al. (2020), Viet Nam has four EFGs of the M3 biome: (M3.1) Continental and island slopes; (M3.3) Abyssal plains; (M3.4) Seamounts, ridges and plateaus; (M3.5) Deepwater biogenic beds. They might occur in the deep-sea areas of the country.



### Marine-terrestrial (MT1) - Shoreline systems

There are three EFGs of the MT1 biome in Viet Nam: (MT1.1) Rocky shorelines; (MT1.2) Muddy shorelines; (MT1.3) Sandy shorelines. They are abundant in the shorelines.



### Marine-terrestrial (MT2) - Supralittoral coastal systems

There is one EFG of MT2 biome in Viet Nam: (MT2.1) Coastal shrublands and grasslands. No information of this biome was found in the literature of protected areas. This ecosystem may occur in the coastal areas of the country.



### Marine-freshwater-terrestrial (MFT1) - Brackish tidal systems

There are three EFGs of the MFT1 in Viet Nam: (MFT1.1) Coastal river deltas; (MFT1.2) Intertidal forests and shrublands; (MFT1.3) Coastal saltmarshes and reedbeds. The tidal ecosystems are highly abundant in the tidal zone. According to Keith et al. (2020), the MFT1.1 is concentrated in the tidal zone of the southern Viet Nam and same as the MFT1.2. The coastal saltmarshes and reedbeds may occur in the tidal zone of the north.

The intertidal forests and shrublands (MFT1.2) include mangrove forests, which are located widely in coastal areas of Viet Nam and especially more extensive in the south and the north (Veettil et al., 2019). The literature of protected areas indicates the occurrence of MFT1 in Bai Tu Long, Cat Ba, Con Dao, Mui Ca Mau and Phu Quoc NPs and in Tien Hai NR. The actual distribution of this biome might be far more extensive.

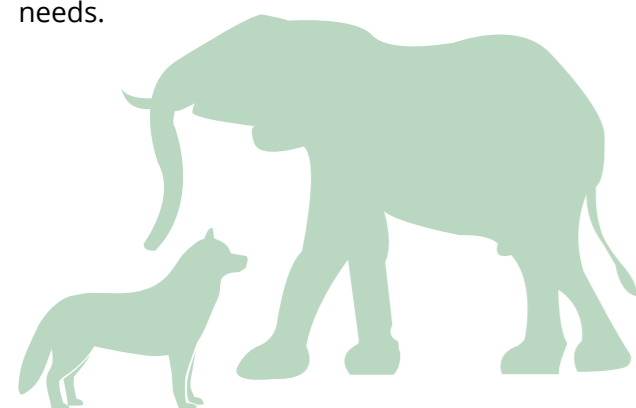


## 3.3. Threat classification based on the literature review

### 3.3.1. At species level

#### Vertebrates

The following tables give information about the number of endangered species per main groups following the IUCN Red List classification, main threats for each group and the conservation needs.



Due to the dataset of Viet Nam's Red Data Book was assessed before 2007, its data was not used in this analysed. According to IUCN (2021), 75 (21%) mammals, 57 (6%) birds, 75 (19%) reptiles, 53 (24%) amphibians and 136 (7%) fishes are listed as threatened species, i.e., in CR, EN and VU categories (Table 12).

Table 12: Classification of mammals, birds, reptiles, amphibians and fishes according to the IUCN Red List

No.	IUCN Red List Category	Number of species				
		Mammals	Birds	Reptiles	Amphibians	Fishes
1	Extinct	1				
2	Regionally Extinct	1				
3	Critically Endangered (CR)	21	11	16	3	22
4	Endangered (EN)	26	19	23	28	40
5	Vulnerable (VU)	28	27	36	22	74
6	Near Threatened (NT)	17	51	11	15	39
7	Least Concern (LC)	220	760	233	120	1,515
8	Data Deficient (DD)	34	1	65	33	351
<b>Grand Total</b>		<b>348</b>	<b>869</b>	<b>384</b>	<b>221</b>	<b>2,041</b>

Source: IUCN, 2021

According to the Viet Nam's National Biodiversity Strategy to 2020 with a vision to 2030 (MONRE, 2015), threats to wildlife in Viet Nam include: illegal and over exploitation (e.g., hunting and trapping, over-fishing etc.), illegal trade, habitat loss and

disturbance, pollution, and climate change. However, based on IUCN's threat categories, ecological degradation and species mortality are main threats to mammals, birds, reptiles, amphibians and fishes in Viet Nam (Table 13).

Table 13: Main threats to mammals, birds, amphibians, reptiles and fishes in Viet Nam.

No.	Threats	Number of species (% of the assessed species)				
		Mammals	Birds	Reptiles	Amphibians	Fishes
1	Competition	6 (2%)	4	1		5
2	Ecosystem conversion	24 (7%)	28 (3%)	126 (33%)	1	31 (2%)
3	Ecosystem degradation	217 (62%)	192 (22%)	209 (54%)	193 (87%)	679 (33%)
4	Reduced reproductive success		46 (5%)			2
5	Species disturbance	65 (19%)	56 (6%)	4 (1%)	1	36 (2%)
6	Species mortality	262 (75%)	230 (26%)	178 (46%)	193 (87%)	988 (48%)

As a part of assessment processes, IUCN/SSC Specialist Groups also indicated conservation needs to wildlife. According to IUCN (2021), conservation needs of mammal species include awareness and communications (for 28% of the assessed species), harvest management (24%), resource and habitat protection (23%) and trade management (13%); awareness and communications (8%), linked enterprises and

livelihood alternatives (4%) and resource and habitat protection (4%) to birds; resource and habitat protection (9%), harvest management (9%), trade management (8%) and awareness and communications (6%) to reptiles; resource and habitat protection (15%), harvest management (11%) and trade management (6%) to amphibians; harvest management (9%) and trade management (5%) to fishes (see Table 14).

Table 14: Conservation needs of mammals, birds, reptiles, amphibians and fishes.

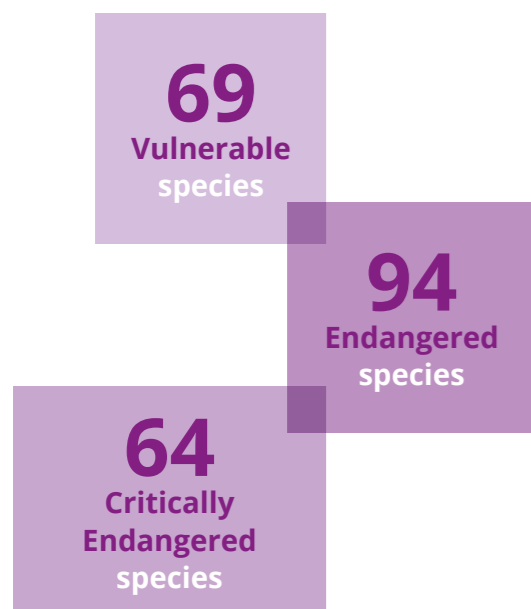
No.	Conservation needed	Number of species (% of assessed species)				
		Mammals	Birds	Reptiles	Amphibians	Fishes
1	Awareness & communications	96 (28%)	73 (8%)	23 (6%)	8 (4%)	65 (3%)
2	Captive breeding/artificial propagation	25 (7%)	14 (2%)	16 (4%)	3 (1%)	5
3	Habitat & natural process restoration	34 (10%)	12 (1%)	6 (2%)	8 (4%)	21 (1%)
4	Harvest management	84 (24%)	15 (2%)	33 (9%)	24 (11%)	176 (9%)
5	Linked enterprises & livelihood alternatives	15 (4%)	38 (4%)	16 (4%)	3 (1%)	5
6	Policies and regulations	7 (2%)	2	15 (4%)		27 (1%)
7	Reintroduction	10 (3%)	2	9 (2%)		3
8	Resource & habitat protection	81 (23%)	32 (4%)	36 (9%)	33 (15%)	60 (3%)
9	Species recovery	29 (8%)	11 (1%)	12 (3%)		66 (3%)
10	Trade management	46 (13%)	3	30 (8%)	14 (6%)	95 (5%)

Source: IUCN (2021)

## Vascular plants

The most up-to-date data of GBIF (28 April 2021) listed 9,925 accepted names of plant species for Viet Nam, which include 269 globally threatened plants (i.e., 122 Vulnerable, 101 Endangered and 46 Critically Endangered species) following the IUCN Red List (2021).

However, the current IUCN Red List (www.iucnredlist.org, assessed 28 April 2021) catalogued **227 globally threatened plants**, i.e



They are threatened mostly by Biological resource use (65% of 227 threatened species) followed by Agriculture & aquaculture (59%) and other threats (Table 15). The other economic sectors causing less impacts, including Residential & commercial development (to 25 species or 11%) and Transportation & service corridors (16 species or 7%). Meanwhile, Energy production & mining causes threats to 12 of the species (5%). Natural system modifications, Human intrusions & disturbance and Pollution, which cause threats to 41 species (18%), 36 species (16%) and 20 species (9%), respectively, may include economic development activities. In fact, about 61% of the assessed species have encountered decrease in population size and up

to 34% could not be assessed for their population change due to lack of data availability.

A similar-to-IUCN approach of assessment was adopted in Viet Nam's national Red Data Book (Part II. Plants). The most recent version of this book (printed in 2007) listed 428 threatened vascular plants, indicating a remarkable increase in the number of threatened plants, which was only 356 in the book's first version in 1996.

According to the IUCN Red List, Biological resource use has caused decline in the population size and distribution of many plants, especially for those traded massively for national and international markets. A typical example is dipterocarps (e.g. trees of genera: *Dipterocarpus*, *Hopea*, *Shorea*, etc.) which are dominants and a main source of timber in Southeast Asian forests. But higher valued timber often comes from many other families (such as Fabaceae *sensu lato*, Ebenaceae, Lauraceae, etc.) and many conifers. Other much threatened plants include those providing non-timber products such as medicinal material, oils, ornaments (such as orchids, cycads), exudate, fiber materials, edible plants, etc. Key species impacted by this kind of threat are mostly those listed in the mentioned national red list.

Most of the assessed species were based on the estimated or observed decline of their population size and geographic range, which was caused mainly by conversion of their natural habitats into other purposes, especially for Agricultural cultivation.

In the Agriculture & aquaculture sector, Cultivation of annual and perennial non-timber crops accounts for threats to 121 species (53%), including Shifting agriculture (23 species or 10%), Small-holder farming (92 species or 41%), Agro-

industry farming (34 species or 15%) and Others with unknown/unrecorded scale (26 species or 11%). Rubber plantation may be included in Small-holder farming and Agro-industry farming. Meanwhile, the subsector Wood and pulp plantations does threats to 34 species (15%), which includes Small-holder plantations (20 species or 9%), Agro-industry plantations

(20 species or 9%) and Others with unknown/unrecorded scale (9 species or 4%). The other subsectors are Livestock farming & ranching (10 species or 4%) and Marine & freshwater aquaculture (1 species or 0.4%). Noticeably, Logging & wood harvesting threatens 122 species (54%) while Gathering does 69 terrestrial and 4 aquatic species (total 32%).

### A species can be threatened by a single or more often by several factors.

For example, *Dipterocarpus hasseltii* (ranked as EN in the IUCN Red List) has experienced 50 and 70% population reduction in the last three generations (300 years) due to the expansion of agricultural areas and exploitation for timber (Ly et al., 2017). It has been reported by several authors that the species was distributed in three provinces of Viet Nam (e.g. Nguyen Hoang Nghia, 2005), but most of these areas have been converted into agricultural land and only one extant tree has been confirmed from Viet Nam (Ly et al., 2017). A recent discovery of a large population by the Southern Institute of Ecology in a protected forest of Central Viet Nam (Diep 2021) opens a new hope to conserve this species in the country. Meanwhile, the water pine (*Glyptostrobus pensilis*) is ranked as CR due to intensive agriculture (coffee and other crops), logging, dam construction and harvest of other aquatic resources (Thomas et al., 2020).

Table 15: Threats to vascular plants in Viet Nam

Threats	Number of plant species impacted	% of 227 species threatened
Biological resource use	148	65
Agriculture & aquaculture	133	59
Residential & commercial development	57	25
Natural system modifications	41	18
Human intrusions & disturbance	39	17
Transportation & service corridors	36	16
Pollution	20	9
Climate change & severe weather	18	8
Energy production & mining	12	5
Invasive and other problematic species, genes & diseases	12	5
Geological events	0	0
Other options	8	4

Source: IUCN Red List of threatened plant species, 2021



Besides, modification of their ecosystems is seen as a main threat to narrowly distributed species, especially for those living in special environments such as karst (e.g. gesneriads, begonias, etc.). In this regard, Mining is the key player for loss of habitats and associated species, which are normally seen as locally endemics, such as *Begonia bataiensis* (VU in IUCN Red List) and *Ornithoboea emarginata* (CR) – both are confined to the karst of Kien Giang Province.



The later species is ranked as Critically Endangered due to its narrow distribution in this unique karst of the Vietnamese Mekong Delta, which has been and will be heavily declined due to mining (Middleton & Vermeulen, 2016). The full assessment of this species is cited here: “On three hills the species occurs in small numbers. On Nui Bai Voi and Nui Hang Cay Ot subpopulations have fallen victim to quarrying. On both hills, small subpopulations occur on parts of the hills excluded from quarrying concessions. However, in November 2015 no individuals could be found there during the height of the flowering season (pers. comm. J.J. Vermeulen). Most of Nui Khoe La will be quarried in the near future, including the localities where the species was observed. A flourishing subpopulation of several hundred individuals occurs on Nui Ba Tai (0.2 km<sup>2</sup>), at the foot of a rock face bordered by deep mangrove swamp and therefore rather inaccessible. This subpopulation includes at least hundreds of individuals (Vermeulen J. et al 2015). Although the subpopulation on Nui Ba Tai is relatively large, the other three subpopulations are small and are

close to extinction. The four subpopulations are separated by large areas of unsuitable habitat and since the seeds from this plant are just scattered from dry capsules with no adaptation to animal or wind dispersal, it is extremely unlikely that seeds could easily disperse between subpopulations (D. Middleton, pers. comm. 2016). Therefore, the population is considered to be severely fragmented”. Such a competent assessment is not common for plants living in other mined karst areas which are mostly located in northern Viet Nam.

Remarkably, only 11 species (5% of the threatened plants) are considered to have stable populations, such as *Newmania sontraensis* (a recently described plant that is ranked as Endangered) that has been found at 5 forested sites mostly within protected areas in Central Viet Nam (Tran et al., 2019). Meanwhile, although the integrity of many natural forests has been interrupted by Development of transportation systems, the effect of this threat is more considered for animals than for plants. Likewise, the impacts of Residential & commercial development to plants are less paid attention to in reports of environment impacts assessment (EIA) for development projects.

Recently, Invasive species have become more and more concerned by the authorities and the public. However, their impacts to agricultural cultivation are more emphasized than to natural ecosystems, except several cases such as *Mimosa pigra*, which is one of the key threats to many wetlands of conservation importance such as Tram Chim National Park which is also a RAMSAR site. There have been inventories of invasive species in provinces and protected areas, such as provinces of Khanh Hoa, Son La, etc. and national parks of Tram Chim, U Minh Thuong, etc. Dang et al. (2012) listed 956 alien species for Viet Nam, accounting for 9% of the national flora,

and recorded 134 alien plants in 10 protected areas nationwide. Le (2016) recorded 50 to 84 alien species in Ca Mau, U Minh Thuong and Tram Chim National Parks. However, ecological impacts of invasive species to natural ecosystems and local biodiversity are rarely studied and reported, except for the case of *Mimosa pigra* in the Mekong Delta (Tran et al., 2003, 2004 & 2008).

Climate change and severe weather may cause impacts to plants but those are more often reported for ecosystems rather than for individual species. These are presented in more detail in the ecosystem part.

### 3.3.2. At ecosystem level

Ecosystems in Viet Nam have been under various threats. Carew-Reid et al. (2010) and Rhind (2012) ranked the key threats to biodiversity of Viet Nam in decreasing order as follows: (1) Hunting/illegal wildlife trade; (2) Infrastructure development; (3) Deforestation/illegal timber trade. The website *keybiodiversityarea.org* used the IUCN threat classification system and listed 9 types of threats that the KBAs have been facing, of which the most abundant threats are biological resource use (about 50 KBAs are under this threat), closely followed by agriculture and aquaculture (more than 40 KBAs), transportation (20 KBAs), human disturbance (nearly 20 KBAs), and residential and commercial development. The number of KBAs that are facing threats from pollution is equivalent to those under threats of energy production and mining, while the number of KBAs under threats of natural system modification is equivalent to those with Invasive species.

From the literature review, a list of threats mentioned under the name of proposed protected areas in the Decision 1976 as was synthesized in Table 16.

Table 16: Threats in protected areas based on the Decision 1976.

Type of PA	Occurrences in National Park	Occurrence in Nature Reserve	Total
Residential & commercial development	10	6	16
Agriculture & aquaculture	14	24	38
Energy production and mining	3	8	11
Transportation and service corridors	4	5	9
Biological resource use	22	41	63
Human intrusion & disturbance	4	1	5
Nature system modifications	12	18	30
Invasive & other problematic species and genes	3	1	4
Pollution	6	5	11
Climate change & severe weather	6	2	8

### Drawbacks of the available understanding of threats from the literature as follows:

- Threats are often associated with the name of the protected area without details of the location of the threat e.g., in the core or buffer zone,
- The magnitude of the threats is mostly absent and that does not allow us to rank the threat from the available literature,
- The available information on threats is unsystematic and does not reveal all kinds of threats.

Due to the mentioned drawbacks, the figures in Table 16 only provide partial understanding of threats to protected areas. Additional methods, e.g., acquiring the information on threats from databases of the governmental agencies and retrieving indirect information of threats from maps of land use cover change are required to have better understanding of threats.

The following sections present our current understanding of threat types from the literature.

### 2.3.2.1. Residential & commercial development

#### Infrastructure construction

Construction of tourism infrastructure has been on the rise and shown significant impacts on the landscape (Tuấn, 2016). The forest land converted for infrastructure development was 580.32 ha (in 2007), 5,830.76 ha (2008), 164.19 ha (2009), 7,115.08 ha (2010), 12,157.08 ha (2011) and 89.34 ha (2012) as cited in MONRE (2014).

Evidence from the literature (Annex 2) suggested that residential and commercial development threatened ecosystems in the following national parks: Ba Be, Ba Vi, Bach Ma, Bidoup - Nui Ba, Chu Mom Ray, Hoang Lien, Mui Ca Mau, Phong Nha - Ke Bang, Tam Dao and Yok Don, and the following nature reserves: Bac Me, Hon Ba, Kon Chu Rang, Lang Sen, Na Hau and Van Long.

### 2.3.2.2. Agriculture & Aquaculture

#### Forest conversion to plantations and agricultural areas

According to Duc et al. (2019), over 20 years (1975-1995), natural forests of the country decreased by 2.8 M ha and the loss of natural forests continued in the following years, mainly due to land use conversion. For the period

2003-2009, annually 25,000 ha of forest was converted to other use purposes (MONRE, 2015). For the period 2006-2014, forests continued being lost and The World Bank Group (2019) synthesized these areas as shown in Table 17 but these figures did not reflect the actual total deforestation. For the period 2017-2018 only, the government received requests for conversion of land within special-use and protection forests from 50/60 provinces; 33 of those proposed to convert natural forests in 3,021 projects (with a total of 122,851 ha) and projects in 22/33 provinces were approved. In the Central Highlands, the loss of natural forests in Dak Lak, Dak Nong and Gia Lai provinces were 3,472 ha, 3,811 ha and 10,219 ha, respectively (Duc et al., 2019). The land planned for rubber areas by 2030 is 343,890 ha and 79% of the expanded areas are from natural forests (Duc et al., 2019). Kissinger (2020) indicated that between 2005 and 2015, the rubber, coffee, cassava and pepper areas increased 198% (~172,308 ha), 29% (~106,000 ha), 157,292 ha and 106% (52,000 ha), respectively. In 2008, 150,000 ha of deciduous dipterocarp forests in the Central Highlands, which were regarded as degraded, were allowed to be converted to rubber plantations (MONRE, 2015).

Table 17: Forest conversion between 2006-2014.

Types of conversion	No. of projects	Total area (ha)	Forest type (ha)		
			Special-use	Protection	Production
Hydropower development	237	29,562	4,094	15,534	9,954
Mineral mining	545	15,330	19	7,696	7,615
Rubber plantation	460	327,205			327,205
Agriculture	211	61,964	304	7,720	53,940
Resettlement	57	5,244		1,238	4,006
National security and defense	99	4,228	80	1,839	2,309
Industry and ports	73	3,895	87	2,779	1,029
Tourism and services	122	4,603	4,067	332	204
Irrigation	80	5,199	33	596	4,570
Rural infrastructure (roads, electricity, etc.)	1,107	19,190	174	9,634	9,382

Source: The World Bank Group, 2019

#### Mangrove forest conversion to aquaculture

Mangrove forests, lagoons, and coastal tidal flats have been rapidly converted to intensive aquacultural areas (e.g., shrimp ponds, clam raising), leading to a near-complete loss of mangrove forests in many provinces e.g., in Van Phong Bay (MONRE, 2015; 2019; Tuấn, 2016). For mangrove forests only, between 1943-1999, due to impacts of wars and aquacultural development, the mangrove forest area has declined by 62% (Rhind, 2012). Similarly, MONRE (2015) estimated a loss of at least 220,000 ha of mangrove forests during the period of 1943-2005 due to deforestation and aquacultural development. According to Chu Hoi (2012), Quang Ninh Province and Hai Phong City lost

40,000 ha of mangrove forests over the last three decades (1960 - 1995) and the area of the remaining mangrove forests in the provinces was 15,700 ha.

#### Loss of seagrass area and coral reefs

For the marine ecosystem, thousands of hectares of coral reefs and seagrasses have also been lost due to exploitation and/or the use of aquacultural cages (MONRE, 2015; 2019). Rhind (2012) suggested that the area of seagrasses and corals has declined to 30% in some areas, and only 1% is considered to be in good condition. The reduction of the area of coral reefs in several key areas studied was shown in Table 18.

Table 18: Reduction of coral reefs in some coastal regions in Viet Nam

No.	Region	Quantity of survey sites	Reduced area of live coral reefs (%)	Reduced area of hard coral reefs (%)	Reduced area of soft coral reefs (%)	Duration
1	Cu Lao Cham	5	16.8	10.4	6.4	2002-2007
2	Van Phong	5	2.8	2.7	0.1	1995-2006
3	Nha Trang	8	16.2	13.1	3.1	1994-2007
4	Ninh Hai	6	6.3	6.5	0.2	2002-2007
5	Ca Na	5	6.3	4.9	1.4	1995-2006
6	Con Dao	8		16.8	12.9	1994-2004
7	Phu Quoc	6		8.9	0.1	1994-2007

Source: MONRE, 2015

Evidence from the literature (Annex 2) suggested that threats caused by agriculture and aquaculture occurred in the following national parks: Ba Be, Bach Ma, Bidoup - Nui Ba, Cat Ba, Chu Mom Ray, Kon Ka Kinh, Mui Ca Mau, Phong Nha - Ke Bang, Phu Quoc, Pu Mat, Tam Dao, Tram Chim, Xuan Thuy and Yok Don; and the following nature reserves: Song Thanh, Bac Huong Hoa, Bac Me, Cogia, Dakrong, Ea So, Hang Kia - Pa Co, Hoang Lien - Van Ban, Kon Chu Rang, Krong Trai,

Lang Sen, Lung Ngoc Hoang, Muong Nhe, Na Hang, Na Hau, Nam Nung, Ngoc Linh, Phu Canh, Pu Hu, Ta Kou, Than Sa - Phuong Hoang, Tien Hai and Van Long as well as Dam Doi and Bac Lieu Bird Sanctuaries.



### 2.3.2.3. Energy Production & Mining

This threat type includes direct threats from oil & gas drilling, mining & quarrying and activities related to renewable energy. Mining has significantly affected the karst biomes in north central provinces e.g., Hoa Binh, Ha Nam, Ninh Binh, Hai Phong, Quang Ninh, Thai Nguyen (Tân et al., 2005). Limestone quarrying has also critically impacted the habitats and biodiversity of many limestone ecosystems throughout the north and north centre (Kiernan, 2010), as well as several unique limestone hills in the south of Viet Nam e.g., in Kien Giang (Nguyen et al., 2016).

Evidence from the literature (Annex 2) suggested that energy production and mining threats occur in the following national parks: Ba Be, Lo Go - Xa Mat, Pu Mat and Ba Na - Nui Chua, and the following nature reserves: Bac Huong Hoa, Bac Me, Cogia, Hoang Lien - Van Ban, Kim Hy, Phong Dien and Van Long.

Table 19: Statistics of illegal logging and trading of wildlife in the period 2007-2013

Year	Normal timber (m³)	Precious timber (m³)	No. of individual animals traded	No. of individual precious and rare animals traded
2007	17,759.44	1,176.56		
2008	22,950.44	2,274.52	7,848	587
2009	25,626.91	1,779.35	12,930	724
2010	22,052.19	1,352.38	12,936	508
2011	16,806.13	1,442.57	18,088	895
2012	17,870.45	1,192.29	19,132	1081
2013	15,935.71	751.58	13,319	600

Source: MONRE, 2015

### 2.3.2.4. Transportation & Service corridors

Evidence from the literature (Annex 2) suggested that threats caused by transportation and service corridors occurred in the following national parks: Ba Be, Bach Ma, Phong Nha - Ke Bang and Yok Don, and the following nature reserves: Song Thanh, Ba Na - Nui Chua, Ea So, Hang Kia - Pa Co and Kon Chu Rang.

### 2.3.2.5. Biological Resource Use

#### Human exploitation

A report by the Forest Protection Department, forest rangers nationwide detected and processed over 174,000 cases of violations of the law on forest management, development, and forest products (of which 4,305 cases related to wildlife) during the period 2010-2016 (MONRE, 2019). Popular wildlife trade products include pangolins, pangolin scales, turtles, bear hands, rhinoceros' horn, medicinal plants (MONRE, 2019). The annual quantity of confiscated timber and wild animals for the period 2007-2013 was recorded by the Forest Protection Department as in Table 19.

An et al. (2018) assessed the threats to natural resources in NPs of Viet Nam and suggested that illegal hunting, trapping, poaching, fishing, illegal wildlife trade, illegal logging and firewood collecting appeared to be the most serious threats to the conservation and management of natural resources. Similarly, Bann et al. (2016) studied protected areas in the Central Highlands and suggested that all the sites experienced a similar range of pressures including illegal hunting and timber extraction, over exploitation of NTFP. Many studies, e.g., Birdlife and Chu Yang Sin NP (2010), An et al. (2018), Sunderland et al. (2012), Phung (2007) and most of the description of protected areas have described threats to the protected areas, including threats from human exploitation of resources (e.g., Chu Yang Sin NP, Cat Tien NP, Song Thanh NR, Bach Ma NP, Tam Dao NP, Hoang Lien-Van Ban NR, Lo Go - Xa Mat NR).

Evidence from the literature (Annex 2) suggested that threats caused by biological resource use occurred in the majority of protected areas.

### 2.3.2.7. Natural System Modifications

#### Dams and hydropower plans

During the period 2006-2012, Viet Nam had 160 small- and medium-scaled hydropower plant projects in 29 provinces/cities and 19,792 ha of forests were cleared for building the hydropower plants (MONRE, 2012). Of those cleared areas, the highest proportion was in the Central Highlands (41.2%) followed by the northern central (22.9%). Provinces having converted >1000 ha including Dak Nong, Lai Chau, Lam Dong, Gia Lai, Kon Tum, Quang Nam and Nghe An (MONRE, 2012). By 2019, there were more than 1,020 planned hydropower projects with a total capacity of 24,246 MW, including approved 138 projects on main streams of large rivers (MONRE, 2019).

The construction and operation of hydropower plants and dams have both severe direct and indirect effects on natural habitats. The direct impacts include clearance of forests, usually in the upland areas, while the indirect impacts are noticeable with flooding and inundation of lowlands are most prominent (MONRE, 2019). In addition, inappropriate discharge in a number of hydropower reservoirs can cause economic and human losses and strongly alter natural ecological processes (MONRE, 2019). For example, it was predicted that Tuyen Quang Dam may inundate >4,500 ha of vegetation cover in the surroundings (IUCN, 2002).

Carew-Reid et al. (2010) estimated that 21 large hydropower projects in their study will inundate 8,083 ha of PAs and KBAs, indirectly influence another 413,435 ha of PAs and KBAs due to the construction of the infrastructures and change resources being used by local communities. The Zones of Influence (ZOI) of those projects contained substantial areas of forest, with a total of 681,576 ha (of which 78.5% is natural mature forest and another 16% of immature or regenerating forest). Significant proportion (67.5%) of the area affected by inundation is within the Mekong region.

#### Forest fire

Forest fire is one of the causes of forest degradation in many areas. A synthesis by MONRE (2015) indicated a fluctuation in annually burnt area in the period 2007-2013 as follows: 2007 (4,739.72 ha), 2008 (1,549.74 ha), 2009 (1,557.20), 2010 (5,668.61 ha), 2011 (1,744.98 ha), 2012 (1,324.88 ha), 2013 (971.27 ha). The flammable ecosystems in Viet Nam include pine forest, bamboo forest, dry open dipterocarp forest and regenerating forest. In 2010, there is a large fire that burnt about 200 ha of forest and 700 ha of shrubland in Hoang Lien National Park

(Lao Cai province) and similarly, the fire of the same year in Tram Chim NP destroyed about 200 ha of forest (MONRE, 2015).

Evidences from the literature (Annex 2) suggested that threats caused by natural system modifications have occurred in the following national parks: Bach Ma, Bidoup - Nui Ba, Cat Tien, Chu Mom Ray, Hoang Lien, Phong Nha - Ke Bang, Tam Dao, Tram Chim, U Minh Ha, U Minh Thuong, Vu Quang and Yok Don, and the following nature reserves: Song Thanh, Ba Na - Nui Chua, Bac Huong Hoa, Dakrong, Hoang Lien - Van Ban, Kon Chu Rang, Krong Trai, Lang Sen, Na Hau, Nam Nung, Ngoc Linh, Phong Dien, Phu Canh, Pu Hu, Ta Xua, Tay Con Linh, Van Long, and Son Tra.

### 2.3.2.8. Invasive & other problematic species, genes & diseases

**Invasive species** MONRE (2019) cited a statistic of 94 alien species imported into Viet Nam, including 42 known invasive species of which 12 are fast-growing. In 2019 MARD announced a list of 48 aquatic alien animals that have become abundant in Viet Nam through different pathways. Of these, 14 species are considered to have adverse impacts on aquatic biodiversity (MONRE, 2019). The numbers of alien and invasive plant species in ten national parks studied by Tan et al. (2012) ranged from 38-65 species and 8-15 species, respectively (Table 20). 25 of their total 134 exotic weeds identified were classified as invasive species, including plants (such as *Eichhornia crassipes*, *Chromolaena odorata*, *Mikania micrantha*, *M. diplotricha*, *Mimosa diplotricha*, *Mim. pigra*, *Panicum repens*) and animals (such as golden snail - *Pomacea canaliculata*, *P. insularum*, red-eared slider - *Trachemys scripta elegans* and freshwater lobsters).

Table 20: Number of alien and invasive plant species in studied PAs.

Site	Alien plant species	Invasive plant species
Hoang Lien NP	38	9
Cat Ba NP	38	15
Cuc Phuong NP	49	10
Vu Quang NP	49	8
Phong Nha - Ke Bang NP	45	12
Son Tra NCA	53	12
Chu Mom Ray NP	52	12
Cat Tien NP	65	12
Tham Chim NP	44	12
U Minh Thuong NP	47	12
<b>Total no. of species</b>	<b>134</b>	<b>25</b>

Source: Tan (2012)

Evidence from the literature (Annex 2) suggested that invasive species caused threats in Lang Sen Nature Reserve and the following national parks: Cat Tien, Phong - Nha Ke Bang and Tram Chim.

### 2.3.2.9. Pollution

Pollution threats tend to be a significant problem of marine, freshwater and brackish ecosystems. An estimate of annual solid waste discharge of 28 coastal provinces is 14.03 M tons (about 38,500 ton/day) (Chu Hoi, 2012). In 2009, the discharge of solid waste from hospitals in coastal provinces was estimated to be 248 ton/day (of which 20% is dangerous wastes that require treating before discharging) (Chu Hoi, 2012). On average, one ha of shrimp ponds discharges 5 ton of solid waste and tens of thousands m<sup>3</sup> of water waste (Chu Hoi, 2012).

Evaluations of Chu Hoi (2012) on the water pollution of the coastal areas in Hai Phong – Quang Ninh, Da Nang – Quang Nam, Ba Ria – Vung Tau – Ho Chi Minh showed that annually these coastal areas discharged to the sea 175,6-

206,400 tons of COD, 22,400-39,000 tons of BOD, 38,800-125,900 tons of N-T, 11,900-23,300 tons P-T, 428,400-1,724,000 tons TSS, 51.5-83 tons of crop protection chemicals and 7.8-430 tons of heavy metals.

Evidence from the literature (Annex 2) suggested that threats from pollution occurred in the following national parks: Ba Be, Bai Tu Long, Kon Ka Kinh, Phong Nha - Ke Bang, Phu Quoc and Xuan Thuy, and the following nature reserves: Hoang Lien - Van Ban, Lang Sen, Phong Dien, Takou and Van Long.

### 2.3.2.10. Climate change and severe weather

Viet Nam is one of the countries that are most affected by climate change. Climate change is likely to have more severe impacts on humans and ecosystems in the coastal areas of the two largest deltas (especially the Mekong River Delta) of Viet Nam than the other regions (Rhind, 2012). Impacts of climate change to different areas vary, e.g., the low-lying areas are more likely to be impacted by typhoons, while upland areas will face greater risks from flash flooding and landslides caused by heavy rain (Rhind, 2012). It is forecasted that the Mekong Delta region will experience significant inundation (estimated 30-50% of land areas will be inundated in half of the provinces in the region), as cited in Rhind (2012). Protected areas near the coastal areas are predicted to be at greater risk from direct impacts e.g., storms and floods, while protected areas further from the coastline are likely to face increased pressure from people's migration from flooded and inundated areas and this results in threats of encroachment, land conversion and illegal logging (Rhind, 2012). It is cited from Rhind (2012) that 39% of National Parks and 22% of Nature Reserves will be at risk of inundation with the scenario of one metre sea level rise.

Recently, MONRE (2019) published detailed scenarios of the 1m sea level rise. According to that scenario, 16.8% of the Red River Delta area and 38.9% of the Mekong River Delta are at risk of flooding and approximately 17.8% of Ho Chi Minh City's area is in danger of being inundated. Van Don (Quang Ninh province), Con Dao (Ba Ria - Vung Tau) and Phu Quoc (Kien Giang) Islands are likely to face the highest risk of climate change. With that scenario, 78 out of 286 critical habitats (equivalent to 27%), 46 protected areas (equivalent to 33%), nine biodiversity areas of national and international value (23%) and 23 other biodiversity areas in Viet Nam would be severely affected (MONRE, 2019).





### 3.3.3. Conclusion: a lack of quantitative and exhaustive analysis of the biodiversity threats

The literature review enabled to highlight the following points:

- There is a lack of an exhaustive analysis encompassing both all the species taxa and the ecosystems, that would quantify the complex relationship between threats and the biodiversity trends at these two levels.
- However, key trends are confirmed and the main threats can be summarized as follow:

**At the species level:** ecosystem degradation, land conversion are the most significant threats to wildlife animal. For plants, biological resource uses, agriculture and aquaculture, residential and commercial development are three most significant threats.

**At the ecosystem level:** biological resource use (including hunting and illegal trade of wildlife), agriculture and aquaculture, infrastructure development, natural system modification are the most common and key threats.

## 3.4. Remote sensing analysis

### 3.4.1. Land cover and land use change at country level

Sixteen land classes have been analyzed based on the dataset made available by SERVIR-Mekong<sup>1</sup> (see section 1.2). It includes 10 land covers and 6 land uses. A surface of approximately 330,000 km<sup>2</sup> was studied, split between 159,749 km<sup>2</sup> of land cover and 169,449 km<sup>2</sup> of land uses (see Table 21).

Table 21: Land cover and land use analysis at country level.

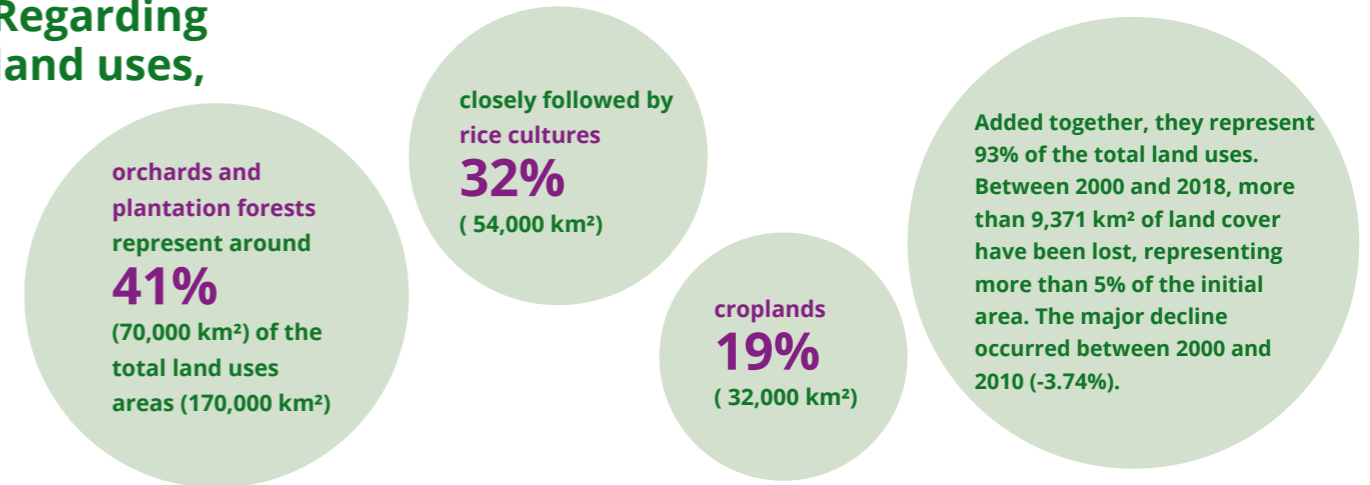
Land classes	Surface (km <sup>2</sup> )			Surface (%)			2000-2010		2010-2018		2000-2018	
	2000	2010	2018	2000	2010	2018	In km <sup>2</sup>	%	In km <sup>2</sup>	%	In km <sup>2</sup>	%
Shrubland	32.64	57.92	91.2	0.02%	0.04%	0.06%	25.28	77.45%	33.28	57.46%	58.56	179.41%
Grassland	2,524.8	4,016.96	5,133.76	1.49%	2.47%	3.21%	1495.16	59.10%	1116.8	27.8%	2,608.96	103.33%
Wetlands	630.4	1,019.2	1,073.28	0.37%	0.62%	0.67%	388.8	61.68%	54.08	5.31%	442.88	70.25%
Evergreen Broadleaf	73,735.68	75,308.16	76,254.72	43.60%	46.36%	47.73%	1,572.48	2.13%	946.56	1.26%	2,519.04	3.42%
Surface Water	7,147.2	7,187.52	7,267.52	4.23%	4.43%	4.55%	40.32	0.56%	80	1.11%	120.32	1.68%
Forest	44,519.04	45,328	42,551.04	26.32%	27.91%	26.64%	808.96	1.82%	-2776.96	-6.13%	-1968	-4.42%
Barren	2,264.32	2,070.08	2,144.32	1.34%	1.27%	1.34%	-194.24	-8.58%	74.24	3.59%	-120	-5.30%
Mangroves	1,339.52	1,166.72	1,185.6	0.79%	0.72%	0.74%	-172.8	-12.90%	18.88	1.62%	-153.92	-11.49%
Mixed Forest	34,316.16	25,202.88	23,220.48	20.29%	15.51%	14.54%	-9,113.28	-26.56%	-1982.4	-7.87%	-11,095.68	-32.33%
Flooded Forest	2,611.2	1,057.6	827.2	1.54%	0.65%	0.52%	-1,553.6	-59.50%	-230.4	-21.79%	-1,784	-68.32%
<b>Total of land covers</b>	<b>169,120.96</b>	<b>162,415.02</b>	<b>159,749.12</b>	<b>100.00%</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-6,314.5</b>	<b>-3.74%</b>	<b>-2,665.92</b>	<b>-1.64%</b>	<b>-9,371.84</b>	<b>-5.54%</b>

<sup>1</sup> Sea is not included. Surface Water was defined as open water larger than 30m by 30m that is open to the sky, including fresh and saltwater.

Orchard or Plantation Forest	58,894.72	62,352	69,261.76	36.81%	37.4%	40.87%	3,457.28	5.87%	6909.76	11.08%	<b>10,367.04</b>	<b>+17.60%</b>
Urban and Built Up	3,097.92	4,384.96	4,778.88	1.94%	2.63%	2.82%	1,287.04	41.55%	393.92	8.98%	1,680.96	54.26%
Cropland	33,671.36	35,253.76	32,078.72	21.04%	21.14%	18.93%	1,582.4	4.70%	-3175.04	-9.01%	-1,592.64	-4.73%
Rice	56,040.96	55,771.84	54,219.52	35.02%	33.45%	32.00%	-269.12	-0.48%	-1552.32	-2.78%	-1,821.44	-3.25%
Mining	160.96	161.6	161.92	0.10%	0.10%	0.10%	0.64	0.40%	0.32	0.2%	0.96	0.60%
Aquaculture	8,147.84	8,795.52	8,948.16	5.09%	5.28%	5.28%	647.68	7.95%	152.64	1.74%	800.32	9.82%
<b>Total of land uses</b>	<b>160,013.76</b>	<b>166,719.68</b>	<b>169,448.96</b>	<b>100.00%</b>	<b>100.00%</b>	<b>100.00%</b>	<b>6,705.92</b>	<b>+4.19%</b>	<b>2,729.28</b>	<b>+1.63%</b>	<b>9,435.2</b>	<b>+5.90%</b>

In 2018, evergreen broadleaves are the most represented habitats, since they cover around 48% (76,000 km<sup>2</sup>) of the total superficies of the land cover. If added up to forests and mixed forests, these three habitats represent around 90% of the land cover in Viet Nam. This shows that most of the natural surfaces of the country, which extend over an area of 160,000 km<sup>2</sup>, are covered by wooden lands.

### Regarding land uses,



**Forested areas have been the most impacted habitats** : mixed forest (-11,095 km<sup>2</sup>, accounting a 32% decrease in comparison with the area in 2000), forests (-1,968 km<sup>2</sup>, accounting a 4% decrease in comparison with the area in 2000), flooded forests (-1,784 km<sup>2</sup>, accounting a 68% decrease in comparison with the area in 2000), mangroves (-153 km<sup>2</sup>, accounting a 11% decrease in comparison with the area in 2000), with the exception of evergreen broadleaf forests (+2,500 km<sup>2</sup> accounting a 4% increase in comparison with the area in 2000) whose surfaces expanded between 2000 and 2018. On average, the major decline of the forested areas occurred between 2000 and 2010.

The superficies of grasslands (+2600 km<sup>2</sup>) and shrublands (+60 km<sup>2</sup>) have expanded between 2000 and 2018.

The first set of maps provided by remote sensing analysis (Figures 4 to 6) gives information on land cover in 2000, and 2018. Based on these maps

and available data, land changes analyses were performed for every land class to have a better idea of the location of the land changes between the 2000-2018 period.

Regarding land uses, as a balance with the land cover areas changes, a continuous increase of the superficies happened between 2000 and 2018, while the bigger increase was between 2000 and 2010.

**Between 2000 and 2018, orchards/plantation forests is the anthropic habitat that had biggest continuous expansion**, gaining around 10,400 km<sup>2</sup> (+18%), especially worsening between 2010 and 2018. Still between 2000 and 2018, the Urban areas have increased by 1,680 km<sup>2</sup> (+54%). Aquaculture surfaces have also grown, gaining 800 km<sup>2</sup> (+10%), while agricultural surfaces such as rice fields (-1,800 km<sup>2</sup>, -3%) and croplands (-1,600 km<sup>2</sup>, -5%) have gone through a slight regression. Mining areas have remained stable, gaining less than 1 km<sup>2</sup> (< +1%).

Figure 4: Land cover in 2000

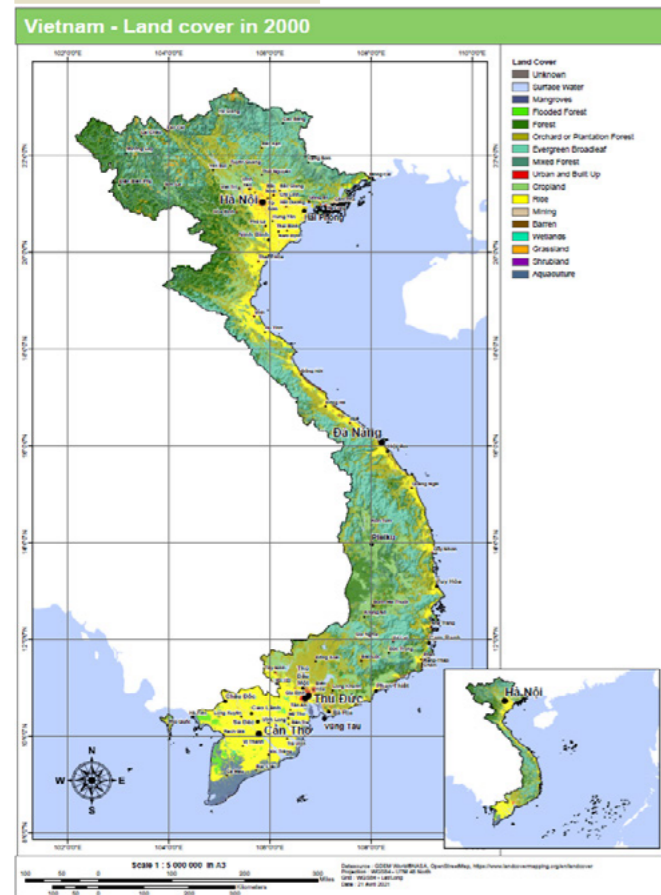
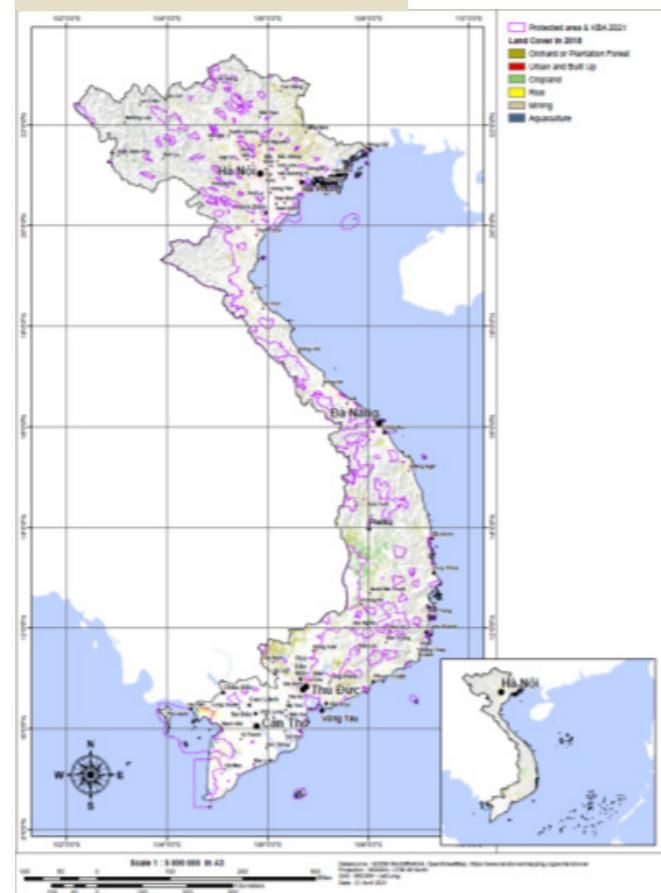


Figure 5: Land cover in 2018



Figure 6: Land cover evolution for artificialized areas from 2000 to 2018



Annex 4, 5 and 6 provide a detailed analysis of the conversion of the land respectively between 2000 and 2018, 2000-2010 and 2010-2018. Given that forested areas have been the most impacted habitat, hereinafter, a special attention is paid on these habitats.

Beneath, figures 7, 8, 9 and 10 highlights land changes of the most impacted natural forest areas, identifying the drivers of their decline.

The superficies of natural habitats converted during the periods (2000 - 2010), then (2010 to 2018) might be different from the superficies of natural habitats converted between 2000 and 2018 because the superficies of natural habitats are not simply affected by the phenomenon of habitats destruction and because the areas of modified habitats are not frozen in time:

1 The natural dynamics of vegetation (or evolutionary series of an ecosystem) can be progressive, that is to say they can evolve towards a new state of relatively stable equilibrium such as the evolution of vegetation meadow towards forest vegetation. In this case, the [forest area in year N + 1] = [forest area N-1] - [forest areas converted between (N) and (N-1)] + [areas of vegetation resulting in a progressive dynamic evolution]

2 Some modified habitats in 2018 may have smaller areas than in 2010 because they may have been transformed into other modified habitats between 2010 - 2018 (Ex: a rice crop can be drained and converted into another crop), resulting in to a "loss" of area of rice crop habitat in 2018. In this case, the [N + 1 rice area] = [N-1 rice area] + [rice areas created by conversion of natural habitat] - [rice areas converted to other habitats].

The figure 7 depicts the areas of flooded forests that have been converted in new uses (mainly in aquaculture and rice) between 2000 and 2018. The conversion in rice paddies (769 km<sup>2</sup>) and in aquaculture (372 km<sup>2</sup>) mainly occurred between 2000 and 2010. Additional details are mentioned in Annex 4, 5 and 6.

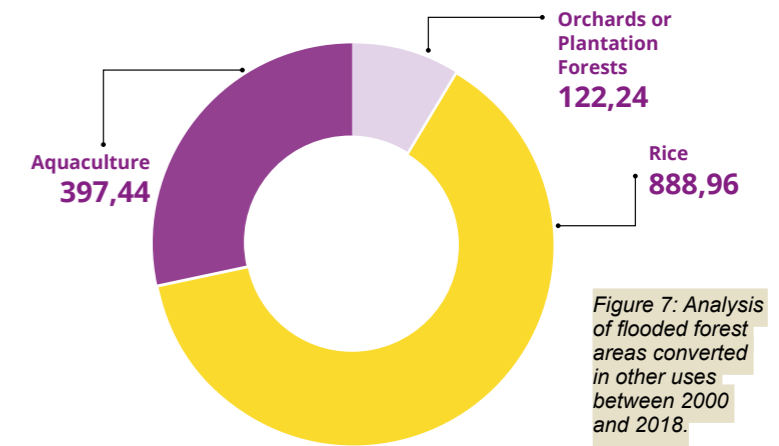


Figure 7: Analysis of flooded forest areas converted in other uses between 2000 and 2018.

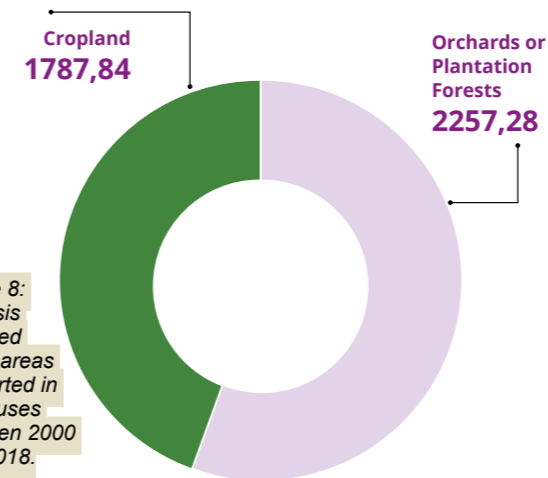


Figure 8: Analysis of mixed forest areas converted in other uses between 2000 and 2018.

The figure 8 depicts the areas of mixed forests that have been converted in new uses (cropland, orchards or plantations forests) between 2000 and 2018, with a total of 2,257.28 ha (plantation) and 1,787.84 ha (croplands). The conversion in croplands mainly occurred between 2000 and 2010 (1513 km<sup>2</sup>) while the conversion in orchards and plantations mainly occurred between 2010 and 2018 (1535 km<sup>2</sup>). Additional details are provided in Annex 4, 5 and 6.

The figure 9 depicts the areas of mangroves that have been converted in new uses (mainly aquaculture and rice) between 2000 and 2018, with a total of 185.28 ha (aquaculture) and 20.16 ha (rice paddies). The conversion in aquaculture mainly occurred between 2000 and 2010 (145 km<sup>2</sup>). The conversion in rice paddies also mainly occurred between 2000 and 2010 (14 km<sup>2</sup>). Additional details are provided in Annex 4, 5 and 6.

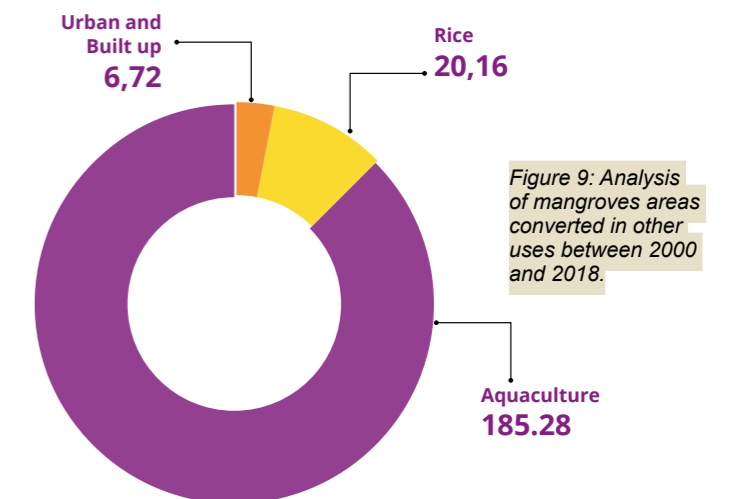
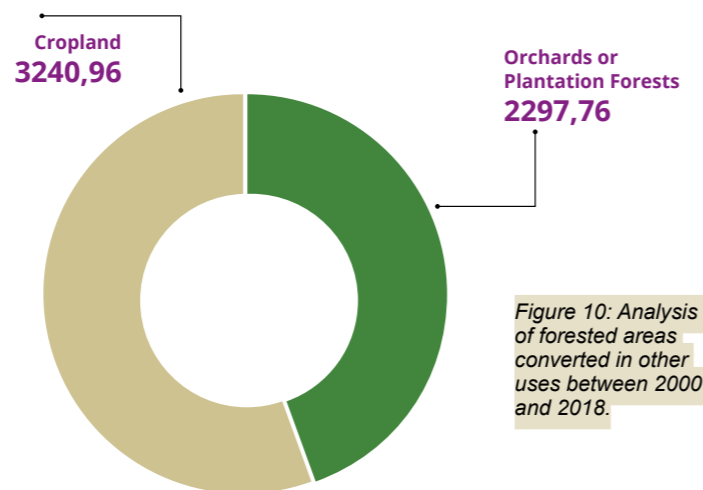


Figure 9: Analysis of mangroves areas converted in other uses between 2000 and 2018.



The figure 10 highlights that areas classified as forest have been mostly converted in croplands and in orchard or plantation forests between 2000 and 2018. The conversion in croplands mainly occurred between 2000 and 2010 (2515 km<sup>2</sup>) while the conversion in orchards and plantations was similar between the periods 2000 – 2010 (1438 km<sup>2</sup>) and 2010-2018 (1431 km<sup>2</sup>). Additional details are provided in Annex 4, 5 and 6.



### 3.4.2. Land cover and land use changes at PA and KBA level

Thanks to the shapefiles used, the analysis has been performed on 99 Protected Areas and 167 KBA. In 2018, for protected areas, the most represented land cover are forests, which represent 8,300 km<sup>2</sup> (46% of the total land cover). They are followed by evergreen broadleaf (6,000 km<sup>2</sup>, 34%), surface waters (1,350 km<sup>2</sup>, 8%) and mixed forests (1,200 km<sup>2</sup>, 7%). Just like for the national scale, forests seem to dominate most natural areas, adding up to 87% of the total natural surfaces. Regarding artificial habitats, orchards and plantation forests cover largest part of the land uses in protected areas (6800 km<sup>2</sup>, 56%), followed by rice cultures (2200 km<sup>2</sup>, 18%), croplands (1800 km<sup>2</sup>, 14%) and aquaculture (1280 km<sup>2</sup>, 10%).

Between 2000 and 2018, mixed forests have massively decreased losing 776 km<sup>2</sup> of their surface (-39%). The same observation can be made for flooded forests (-490 km<sup>2</sup>, -75%). Most of the superficies of the land uses have increased, especially for orchards/plantation forests (520 km<sup>2</sup>, +8%), as well as 240 km<sup>2</sup> of rice fields (+12%) and 130 km<sup>2</sup> of aquaculture areas (+11%). “Urban and Built Up” surfaces have also known an important growth, since they have gained 60 km<sup>2</sup> (+41%).

If we compare land changes trends at protected areas level with the land changes trend at country level, the same type of habitat is mainly impacted (forested habitat). Magnitude of loss is even higher in PA than at country level (-32% of mixed forest at the country level, VS -38 % at the protected areas level, while flooded forests decreased by 68% at the national level VS 75% at the protected areas level) that raise the question of the efficiency of the protected areas.

In 2018, KBAs are mostly covered by forests: evergreen broadleaf forests spread over 8800 km<sup>2</sup>, (75% of land cover), while forests cover 1700 km<sup>2</sup> (14% of land cover) and mixed forests spread over 800 km<sup>2</sup>, (7% of land cover). Regarding land uses, orchards and plantation forests are the main artificial habitat (2030 km<sup>2</sup>, 50% of the land uses) followed by croplands and rice cultures, both spreading over 1757 km<sup>2</sup> (43% of the land uses). Between 2000 and 2018, mixed forests have significantly decreased, losing 549 km<sup>2</sup> of their surface (-40%), while croplands are the most spreading land uses, expanding over 348 km<sup>2</sup> (more than 43%). If we compare the land changes in KBAs, with the previous results, it turns out the trends are similar in terms of natural habitat decline, with a worrying decrease of mixed forests.

Table 22: Land cover and land use analysis at protected area level.

Land classes	Surface (km <sup>2</sup> )		Surface (%)		Changes between 2000 and 2018	
	2000	2018	2000	2018	In km <sup>2</sup>	%
Shrubland	0.32	1.28	0.00%	0.01%	0.96	300.00%
Grassland	126.4	347.2	0.68%	1.94%	220.8	174.68%
Wetlands	107.52	203.84	0.58%	1.14%	96.32	89.58%
Evergreen Broadleaf	5,936.64	6,024.96	32.00%	33.61%	88.32	1.49%
Surface Water	1,353.28	1,349.76	7.29%	7.53%	-3.52	-0.26%
Forest	8,068.48	8,278.08	43.49%	46.18%	209.6	2.60%
Barren	57.6	63.04	0.31%	0.35%	5.44	9.44%
Mangroves	255.36	272.32	1.38%	1.52%	16.96	6.64%
Mixed Forest	1,999.04	1,223.04	10.77%	6.82%	-776	-38.82%
Flooded Forest	648.64	161.6	3.50%	0.90%	-487.04	-75.09%
<b>Total</b>	<b>18,553.28</b>	<b>17,925.12</b>	<b>100.00%</b>	<b>100.00%</b>	<b>- 628.16</b>	<b>- 3.39%</b>

Orchard or Plantation Forest	6,320.96	6,845.76	54.39%	55.60%	- 524.8	8.30%
Urban and Built Up	144	202.56	1.24%	1.65%	- 58.56	40.67%
Cropland	2,021.12	1,765.44	17.39%	14.34%	255.68	-12.65%
Rice	1977.28	2,212.8	17.01%	17.97%	- 235.52	11.91%
Mining	6.72	6.4	0.06%	0.05%	0.32	-4.76%
Aquaculture	1,151.36	1280	9.91%	10.40%	- 128.64	11.17%
<b>Total</b>	<b>11,621.44</b>	<b>11,160.96</b>	<b>100.00%</b>	<b>100.00%</b>	<b>+691.52</b>	<b>-5.95%</b>

Table 23: Land cover and land use analysis at KBA level.

Land classes	Surface (km <sup>2</sup> )		Surface (%)		Changes between 2000-2018	
	2000	2018	2000	2018	km <sup>2</sup>	%
Shrubland	0.32	1.28	0.00%	0.01%	0.96	300.00%
Grassland	109.44	176.64	0.89%	1.50%	67.2	61.40%
Wetlands	16.32	24	0.13%	0.20%	7.68	47.06%
Evergreen Broadleaf	8,640.32	8,792	70.54%	74.75%	151.68	1.76%
Surface Water	228.16	230.08	1.86%	1.96%	1.92	0.84%
Forest	1,847.04	1,684.8	15.08%	14.32%	-162.24	-8.78%
Barren	19.52	22.4	0.16%	0.19%	2.88	14.75%
Mangroves	26.88	19.84	0.22%	0.17%	-7.04	-26.19%
Mixed Forest	1,358.72	809.6	11.09%	6.88%	-549.12	-40.41%
Flooded Forest	2.24	1.28	0.02%	0.01%	-0.96	-42.86%
<b>Total land cover</b>	<b>12,248.96</b>	<b>11,761.92</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-487.04</b>	<b>-3.97%</b>
Orchard or Plantation Forest	1,895.68	2,030.4	53.37%	50,27%	134.72	7.11%
Urban and Built Up	35.84	60.48	1.01%	1,50%	24.64	68.75%
Cropland	808.32	1157.12	22.76%	28,65%	348.8	43.15%
Rice	628.8	600.32	17.70%	14,86%	-28.48	-4.53%
Mining	2.24	2.24	0.06%	0,06%	0	0.00%
Aquaculture	180.8	188.16	5.09%	4,66%	7.36	4.07%
<b>Total (Artificial habitats)</b>	<b>3,551.68</b>	<b>4,038.72</b>	<b>100.00%</b>	<b>100.00%</b>	<b>487.04</b>	<b>13.71%</b>

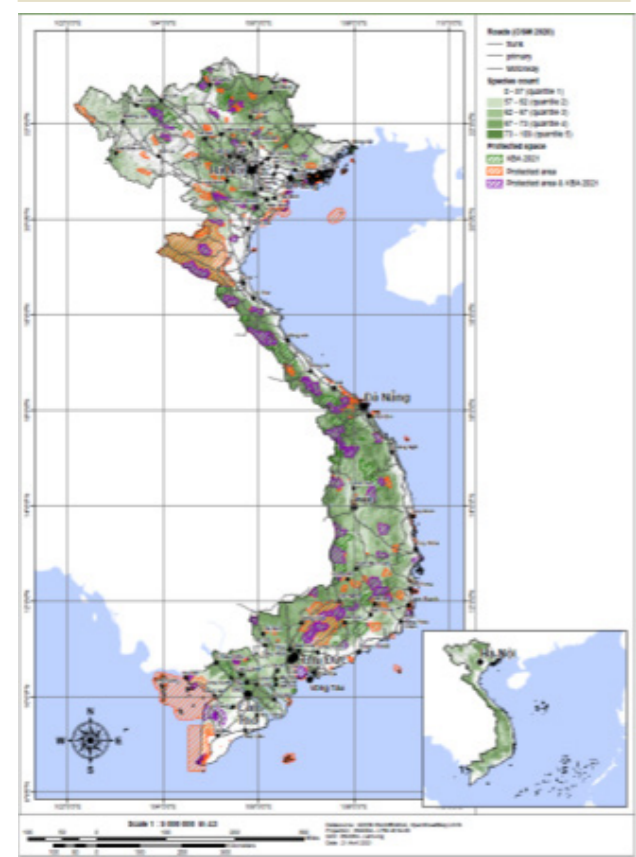
### 3.4.3. Analysis at species level

The following maps give information on the spatial distribution of species assessed according to the IUCN Red List methodology for Critically endangered, Endangered and Vulnerable categories. 7 maps are available (see Table 24).

Table 24: Endangered species of Viet Nam according to the IUCN Red List by category and taxonomic group.

Map	CR	EN	VU	Total
Amphibians	2	27	22	51
Reptiles	16	16	28	60
Mammals	15	25	26	66
Birds	11	14	25	50
Freshwater fishes	34	71	85	190
Plants	5	14	6	25
Global	83	167	191	441

Figure 11: Distribution of endangered species in Viet Nam



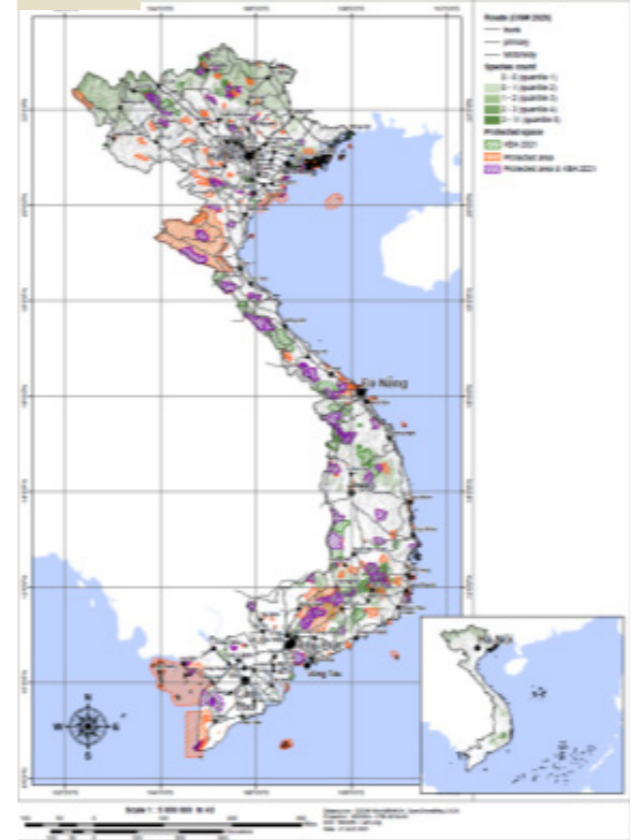
Source: Oréade-Brèche. 2021

Figure 12: Distribution of endangered plant species in Viet Nam



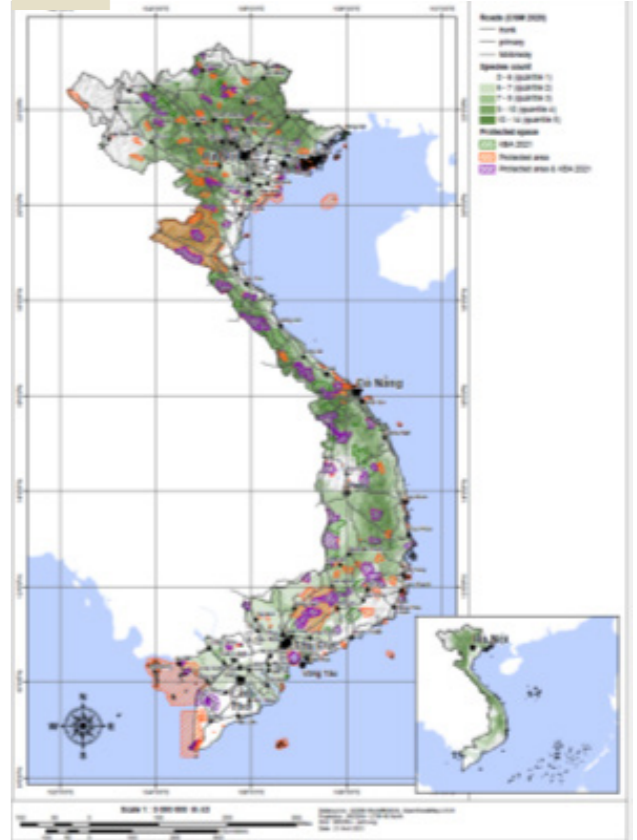
Source: Oréade-Brèche. 2021

Figure 13: Distribution of endangered amphibian species in Viet Nam



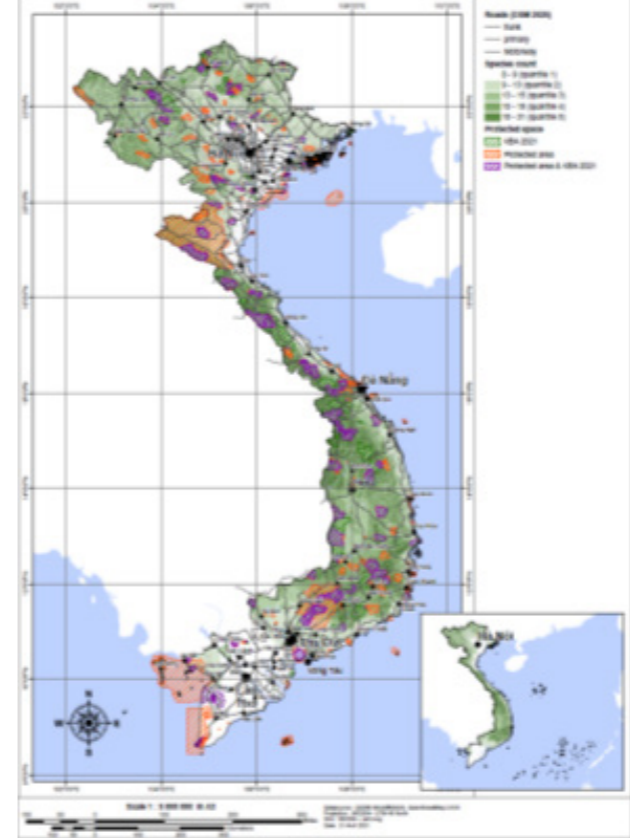
Source: Oréade-Brèche. 2021

Figure 14: Distribution of endangered reptile species in Viet Nam



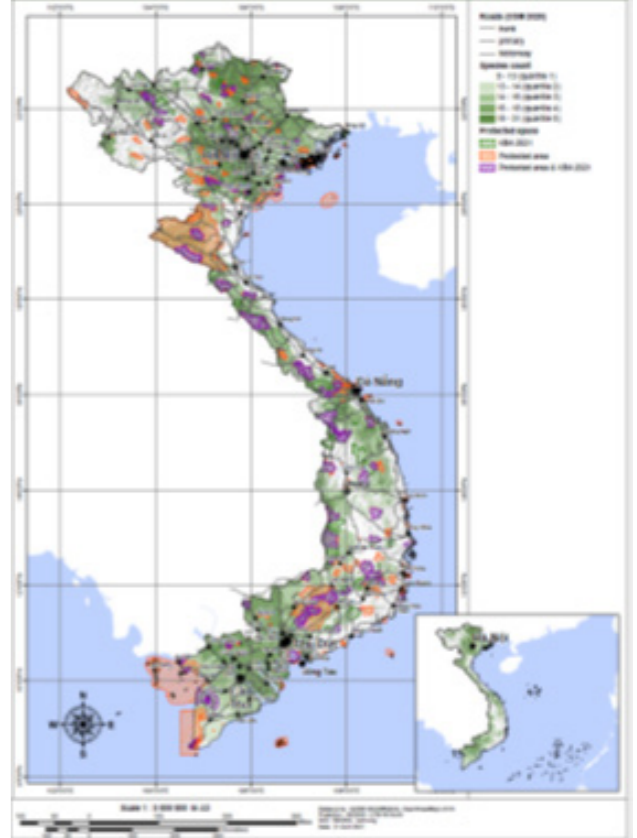
Source: Oréade-Brèche. 2021

Figure 15: Distribution of endangered mammal species in Viet Nam



Source: Oréade-Brèche. 2021

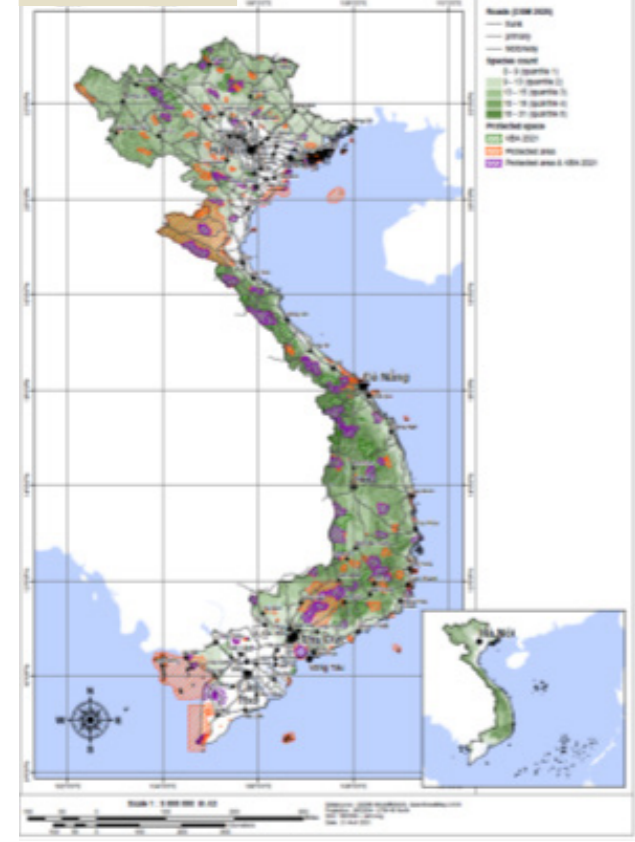
Figure 16: Distribution of endangered bird species in Viet Nam



Source: Oréade-Brèche. 2021



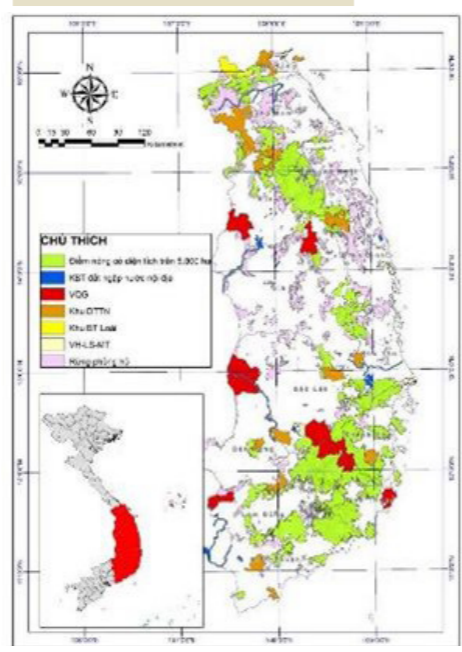
Figure 17: Distribution of endangered freshwater fishes' species in Viet Nam



Source: Oréade-Brèche. 2021

First, the situation found in the global map of threatened biodiversity, which is combined of the above thematic map of total 441 threatened species (see Table 24). In this global map (Figure 11), one can see the threatened species are distributed almost throughout the country though concentrated (up to 109 threatened species per 5 km x 5 km cell) in the following areas (north to south): northeast, mountainous areas around Hanoi, Central (from Ha Tinh to Binh Thuan Provinces and Central Highlands), southeast and northern Mekong Delta. The concentration of threatened species may be overstated for many areas, where forests have been converted into agricultural land; this is quite obvious in southern Central, Central Highlands, southeast and northern Mekong Delta, and may hold for the areas around Hanoi. However, the areas with high concentration of threatened species in southern Central Viet Nam well cover

Figure 18: Hotspots of biodiversity determined in Central Viet Nam



Source: Diep 2021

These maps highlight a couple of things quite interesting.

hotspots of extremely high biodiversity that are determined in a recent unpublished study by the Southern Institute of Ecology (under Vietnam Academy of Science and Technology) using satellite images, actual records of >100 threatened species, modelling and ground check (Diep 2021).

Meanwhile, the northwest and Tay Nghe An Biosphere Reserve appears to have much less threatened species; this recalls gaps of scientific research as stated for the thematic maps.

For plants, distribution maps of total 25 threatened plant species from the IUCN Red List were used to generate a map to show the concentration of threatened plants and thus the areas of conservation importance nationwide (Figure 12). The resulted map indicates that areas with higher concentration of threatened

plants are forests in the northwest, northeast (bordered to China), southern Annamite Range and southeast of Viet Nam. A smaller area includes forests surrounding Cuc Phuong National Park (Ninh Binh Province). Some KBAs and many protected areas (PAs) are located in these areas. All of these are well known for their biodiversity values but surprisingly, most of Central Viet Nam, which includes northern and central Annamites with many KBAs and PAs, are mapped as low (or even zero) concentration of threatened plants.

Trend is confirmed with terrestrial vertebrate species (amphibian, reptiles, mammals and birds). Endangered reptile species appear to be mostly found in the North in forest landscapes, mammals in the centre and South (except extreme South where rice fields are quite important), same for birds with a presence around the Mekong Delta which is obviously a hotspot for bird species. Amphibian species map is weak compared to other groups (51 species assessed). This can be explained by the fact that many of these species have been described in the last 20 years, indicating that many more remain to be described. While the need for conservation action for Southeast Asian amphibians is becoming increasingly apparent, information is often insufficient to allow specific action to be taken. Indeed, before any red list assessment, it is necessary for the region being assessed to have up-to-date taxonomic lists in order to have a clear knowledge of which species can be found or not. Finally, freshwater fishes threatened species appear to be found in the South, centre, and the North - to be noted that river and stream are one habitat highly impacted around the World. These results must now be confronted with

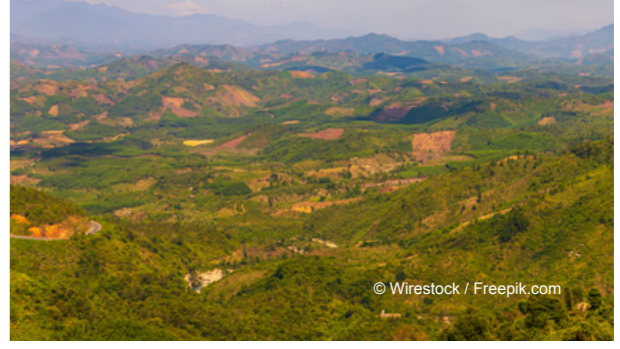
national stakeholders who have a better understanding of land use changes at national level, drivers of habitat degradation in a specific part of the country or future economic activities planned.

### 3.4.4. Economic sectors classification according to land changes analysis in Viet Nam

Thereby, according to the land changes analysis, between 2000 and 2018, at the country level, agriculture and forestry are the two main economic sectors having the greatest negative impact on the natural habitats in Viet Nam, especially on forested areas.

Indeed, between 2000 and 2018 more than **10,544 km<sup>2</sup> of forested areas** (encompassing the following classes: forest, evergreen broadleaf, mixed forest) have **disappeared** and they have been mainly converted into plantations and orchards (4855 km<sup>2</sup>) and croplands (5028 km<sup>2</sup>).

The same main impacting economic sectors come out the analysis carried out between 2010 and 2018: more than 3 813 km<sup>2</sup> of forested areas have disappeared and they have been mainly converted into plantations and orchards (2965 km<sup>2</sup>) and croplands (1375 km<sup>2</sup>).



## 3.5. STAR analysis

### 3.5.1. Contribution of Viet Nam in threat abatement and habitat restoration at global scale

The STAR metrics applied in Viet Nam is based on the analysis of 180 threatened species assessed in the IUCN global red list species in 2019. 62

species belong to the mammal taxon. 65 species belong to the bird taxon and 53 species belong to the amphibian taxon (see Table 25 below).

Table 25: Number of threatened species per conservation status. taken into account in the STAR analyses.

	Critically endangered	Endangered	Vulnerable	Near Threatened	Total
Amphibians	0	19	20	14	53
Birds	8	8	15	34	65
Mammals	15	17	20	10	62

Based on the STAR analyses performed at the global level in 195 countries. the contribution of Viet Nam in the threat abatement of threatened species is 1.16. meaning it is above the country average. while its contribution in the restoration of their habitats is 0.31 %. In other words, in Viet Nam, impact of efforts dedicated to the threat

abatement will be higher for reducing the risk of extinction of threatened species rather than efforts dedicated to habitats restoration (see Table 26 below).

However, restoration could still be used as a complementary tool.

Table 26: Threat abatement and habitat restoration scores for Viet Nam (IUCN, 2021).

Component	Country score	Global total	Country percentage of global total
Threat Abatement	14,192.53	1,226.300	1.16%
Restoration	1,936.75	615,888.53	0.31%

### 3.5.2. Threat's classification based on threat abatement score from global dataset

Based on the total threat abatement scores (see Table 26) for each IUCN threat level 2 classification, it turns out the three activities having the highest impact (see Figure 19) on the risk of extinction of the threatened species are: **(i) annual and perennial non-timber crops and, (ii) logging and wood harvesting.** "Annual and perennial non-timber crops" encompass crops planted for food, fodder, fibre, fuel, or other uses. They can either come

from shifting agriculture, smallholder farming and agro-industry farming. The START score is significantly higher than the other threats: 5034 (35.5% of the total START score) while the START score of the second major threat is 2673 (18.8% of the total START score). Thereby, developing sets of actions on annual and perennial non-timber crops is expected to have the most efficient impact for reducing the risk of extinction of threatened species.

"Logging and wood harvesting" refers to harvesting trees and other woody vegetation for timber, fibre or fuel. It can be made for subsistence /small scale purposes and at larger scale. This category also includes multiple species or enrichment plantings in a quasi-natural system.

"Hunting and collecting terrestrial animals" refers to killing or trapping terrestrial wild animals or animal products for commercial. recreation. subsistence. research or cultural purposes. or for control/persecution reasons. It includes accidental mortality/bycatch. This category focuses on animals that primarily live in a terrestrial environment and species that live on the terrestrial/aquatic boundary.

From these analyses, it also turns out that pressures coming from "Marine & freshwater aquaculture" (defined as aquatic animals raised in one location on farmed or non-local resources. It also includes hatchery fish allowed to roam in the wild) and "Fishing & harvesting aquatic resources" (defined as harvesting aquatic wild animals or plants for commercial, recreation, subsistence, research, or cultural purposes, or for control/persecution reasons. It includes accidental mortality/bycatch) are respectively ranked at the 13th and 19th position and do not appear to be key activities having the highest impact on reducing extinction of the threatened species.

Table 27: START score tailored to the IUCN threats level 2 classification<sup>1</sup>.

Ranking	IUCN threats from the Level 2	START score	% START score
1	Annual & perennial non-timber crops	5034	35.5%
2	Logging & wood harvesting	2673	18.8%
3	Hunting & collecting terrestrial animals	2384	16.8%
4	Roads & railroads	717	5.1%
5	Mining & quarrying	533	3.8%
6	Housing & urban areas	449	3.2%
7	Fire & fire suppression	305	2.1%
8	Tourism & recreation areas	301	2.1%
9	Dams & water management/use	291	2.1%
10	Gathering terrestrial plants	263	1.9%
11	Housing & urban areas	252	1.8%
12	Recreational activities	177	1.2%
13	Marine & freshwater aquaculture	149	1.1%
14	Livestock farming & ranching	144	1.0%
15	Wood & pulp plantations	121	0.9%
16	Problematic native species/diseases	78	0.6%
17	Agricultural & forestry effluents	56	0.4%
18	Utility & service lines	42	0.3%
19	Fishing & harvesting aquatic resources	40	0.3%
20	Domestic & urban waste water	31	0.2%

<sup>1</sup> The detailed classification of the IUCN threats is provided in Annex 3)



21	Tourism & recreation areas	30	0.2%
22	Work & other activities	28	0.2%
23	Invasive non-native/alien species/diseases	21	0.1%
24	Commercial & industrial areas	13	0.1%
25	Other ecosystem modifications	13	0.1%
26	Renewable energy	12	0.1%
27	Commercial & industrial areas	12	0.1%
28	Industrial & military effluents	9	0.1%
29	Volcanoes	8	0.1%
30	Viral/prion-induced diseases	2	0.0%
31	Avalanches/landslides	2	0.0%
32	War. civil unrest & military exercises	2	0.0%
33	Garbage & solid waste	1	0.0%
34	Storms & flooding	1	0.0%
35	Flight paths	1	0.0%
36	Oil & gas drilling	0	0.0%
37	Shipping lanes	0	0.0%
38	Air-borne pollutants	0	0.0%

Figure 19: Chart depicting the 10 highest START scores from the IUCN threats level 2 classification.

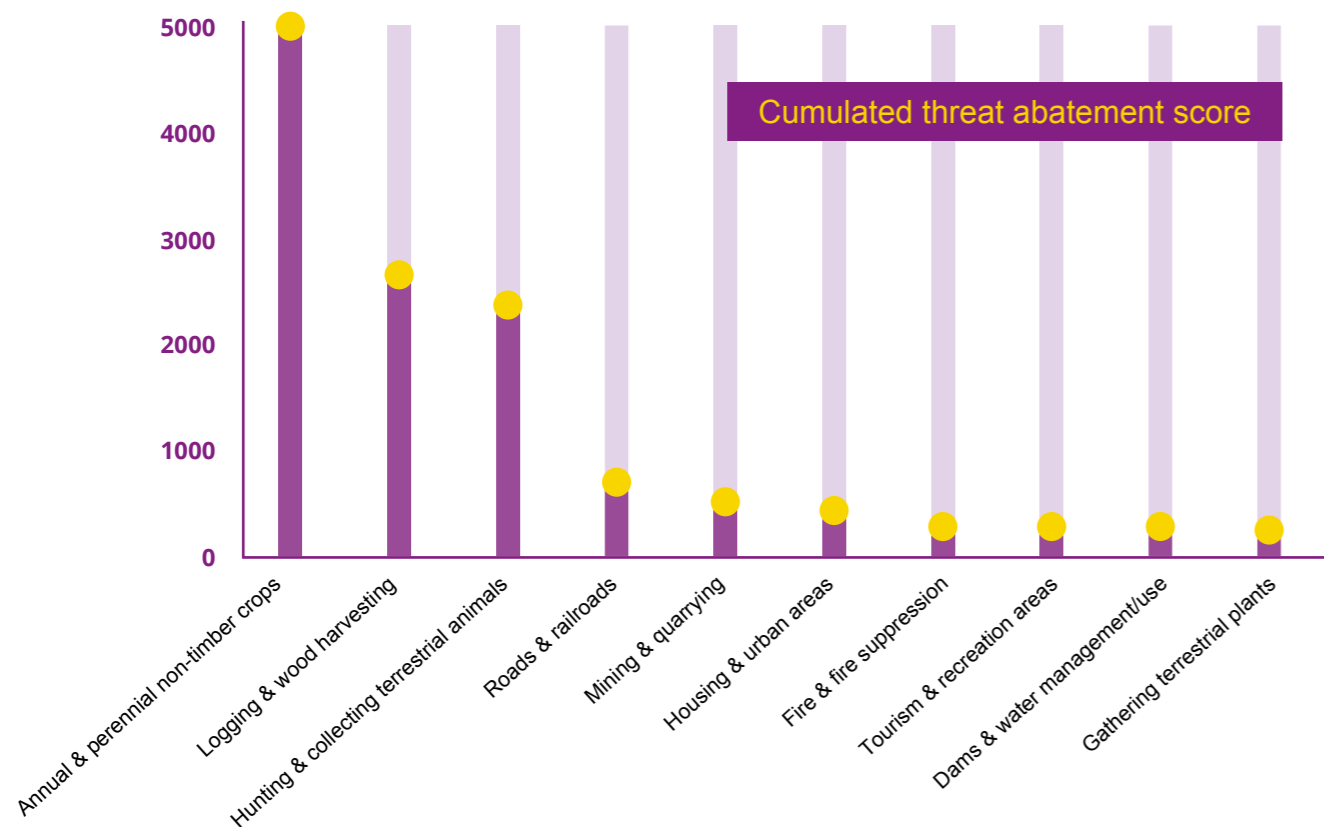


Figure 20: Chart depicting in details the highest START scores from the IUCN threats level 3 classification. applied to amphibians.

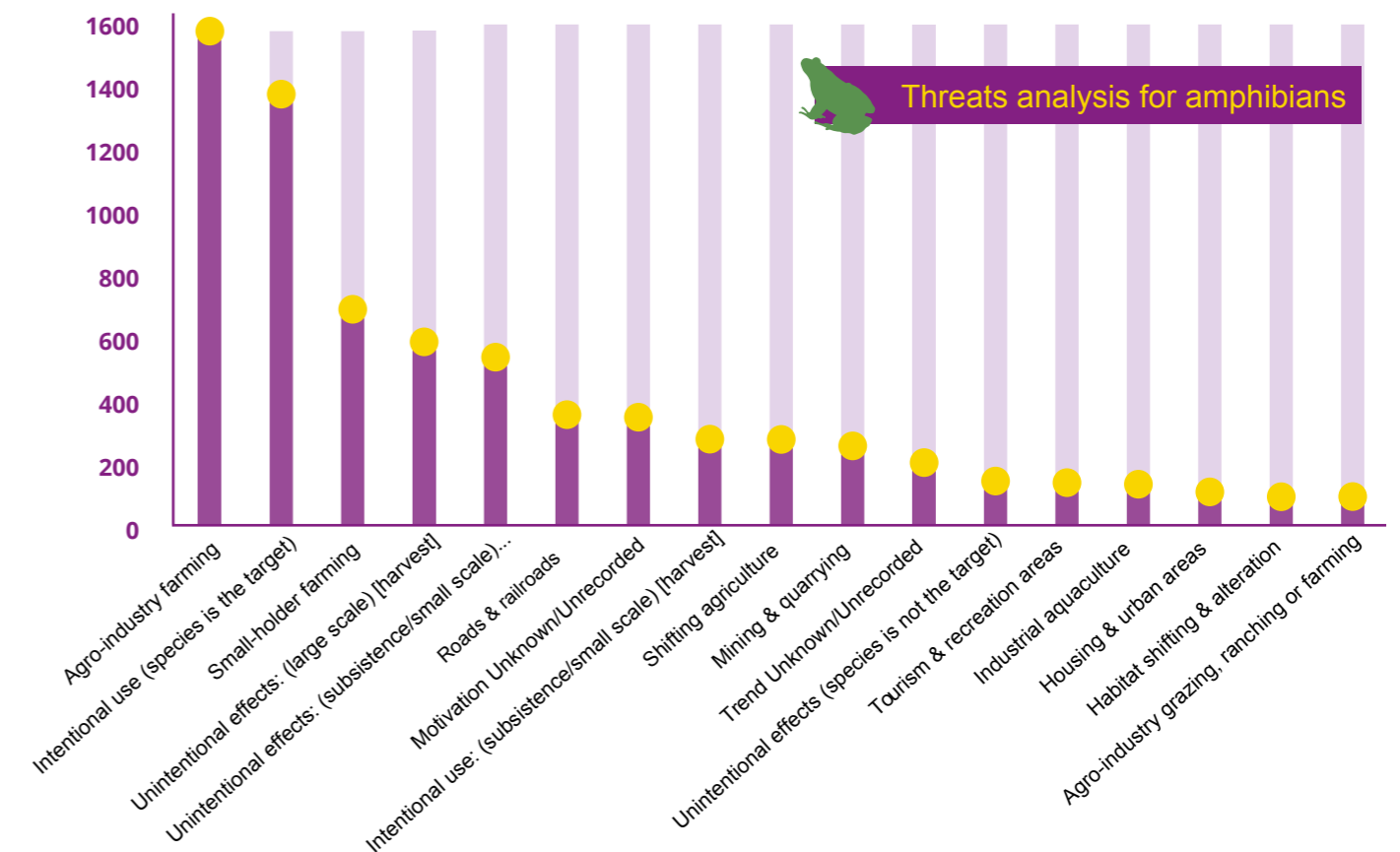


Figure 20 provides a very detailed analysis on the IUCN threats level 3 classification for amphibians. **Agro-industry farming and small-holder farming** have both obtained a score twice as important as the third most important activity. This shows that agriculture is a major threat which possesses an important potential regarding the risk of extinction of threatened species.

Figure 21: Chart depicting in details the highest START scores from the IUCN threats level 3 classification. applied to mammals.

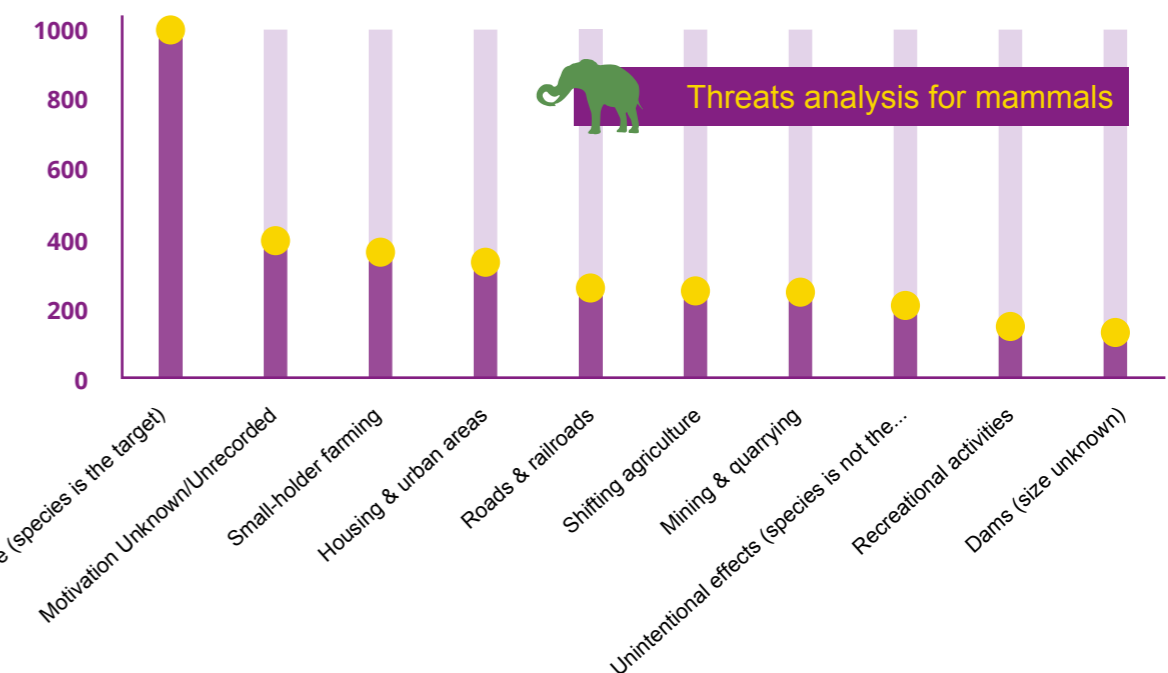


Figure 21 provides a very detailed analysis on the IUCN threats level 3 classification, to mammals. In this case the main threat is the **intentional use of targeted species** (bushmeat hunting, trophy hunting, beaver trapping, butterfly collecting, honey or bird nest hunting, etc).

Figure 22: Chart depicting in details the highest START scores from the IUCN threats level 3 classification, applied to birds.

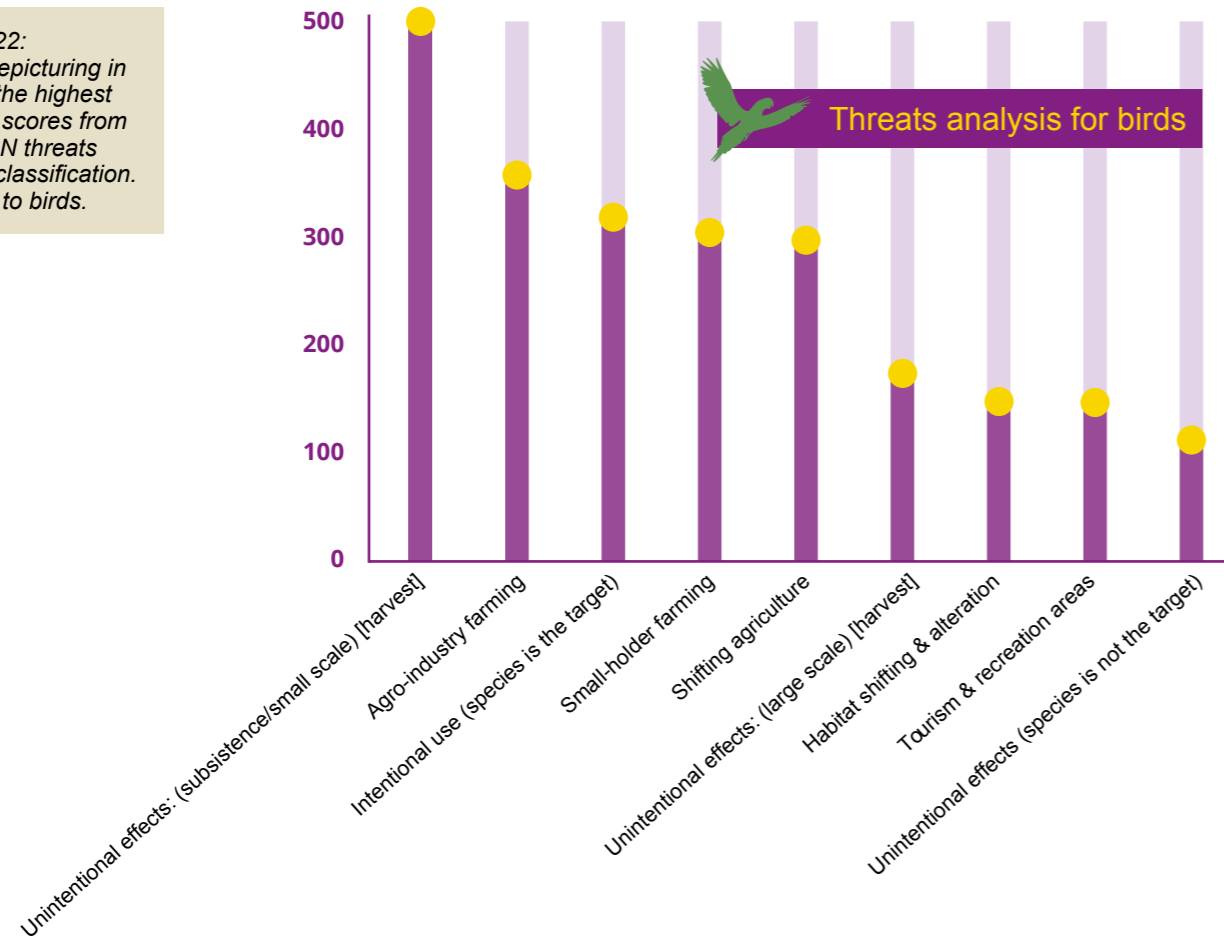


Figure 22 provides a very detailed analysis on the IUCN threats level 3 classification to birds. **Unintentional effects of harvesting** represent the highest score regarding threat abatement, which may be understood as the consequences of a vegetation loss and habitat degradation. Other agriculture-related uses, such as **agro-industry farming, small-holder farming and shifting agriculture**, also have a high score.

### 3.5.3. Threats' location according to START global datasets

Figures 23 and 24 provide information on spatial distribution of the 2 main threats in Viet Nam.

They show the distribution of the threat abatement score for each threat according to the distribution of the threatened species. In red color, the maps show that in these areas, there are a larger number of threatened species affected by logging and wood harvesting. Centre of the country seems to be highly impacted by agriculture and logging while North and South are less impacted.

### 3.5.4. Economic sectors classification according to STAR metric in Viet Nam

Thereby, according to the STAR metrics, **agriculture and forestry are the two main economic sectors having the greatest potential impact for reducing the risk of extinction of the threatened species**. The central and western parts of the country (depicting in red) should be the areas prioritized for implementing actions and reducing the risk of extinction of threatened species linked to these economic activities.

Figure 23: Annual & perennial non-timber crops distribution across Viet Nam.

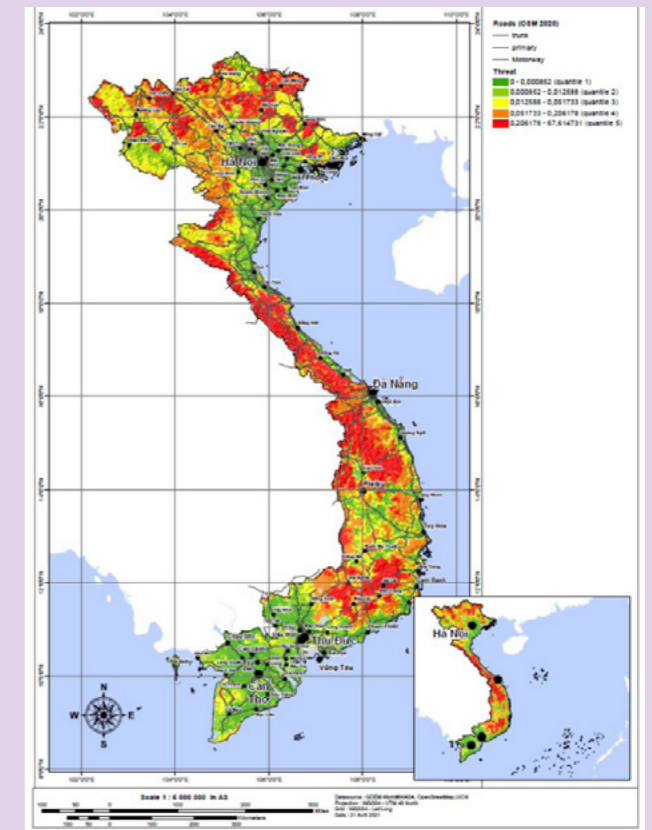
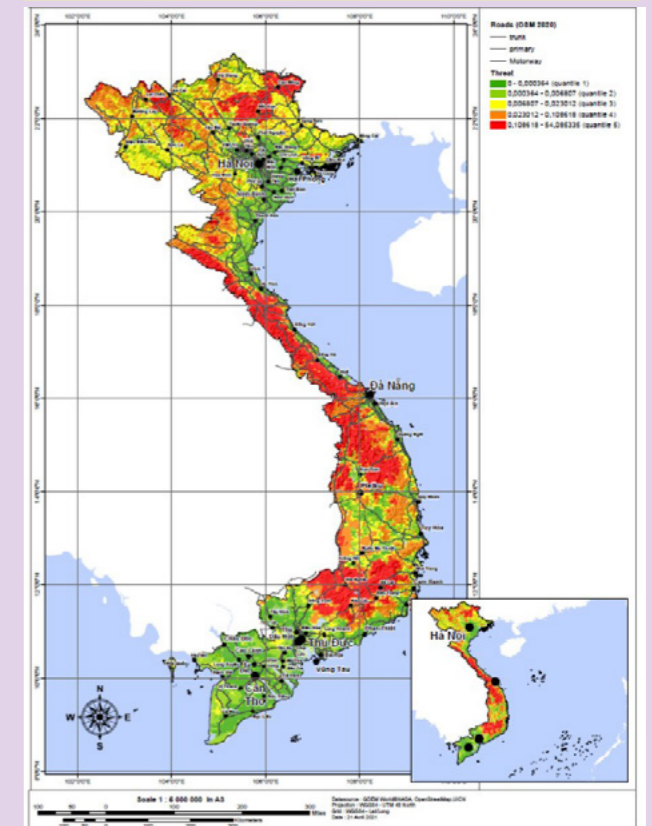


Figure 24: Logging & wood harvesting activities distribution across Viet Nam.





## 3.6. Policy review and economic sectors commitments

### 3.6.1. International Environmental Agreements

The government of Viet Nam has signed several International Conventions to join global efforts to overcome environmental problems and Biodiversity Conservation:

**Convention on Biological Diversity (CBD), 1992** (signed 1993 and ratified 1994), objectives of the CBD are the conservation of biological diversity, the sustainable use of its components, and the fair and equitable sharing of the benefits arising from commercial and other utilization of genetic resources. The agreement covers all ecosystems, species, and genetic resources,

**United Nations Convention to Combat Desertification (UNCCD), 1994** (signed 1998), UNCCD aims to combat desertification and mitigate the effects of drought in countries experiencing serious drought and/or desertification, particularly in Africa, through effective actions at all levels, supported by international co-operation and partnership arrangements, in the framework of an integrated approach which is consistent with Agenda 21, with a view to contributing to the achievements of sustainable development in affected areas,

**United Nations Framework Convention on Climate Change (UNFCCC)** (signed 1992 and ratified 1994), UNFCCC sets an overall framework for intergovernmental efforts to tackle the challenge posed by climate change. Its objectives are to stabilize greenhouse-gas concentrations in the

atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system, within a time-frame sufficient to allow ecosystems to adapt naturally to climate change; to ensure that food production is not threatened; to enable economic development to proceed in a sustainable manner. The Paris Agreement under the UNFCCC was adopted in December 2015 and entered into force on November 2016. This agreement was the outcome of the negotiations launched in 2011 at the 17th Conference of the Parties in Durban to develop a legal instrument applicable to all Parties to cut greenhouse gases (GHG) emissions and to be implemented from 2020. Viet Nam signed the Paris Agreement on 22 April 2016 and become effective 3 December 2016,

**Ramsar Convention on Wetlands, 1971** (signed 1989), Ramsar Convention's broad aims are to halt the worldwide loss of wetlands and to conserve, through wise use and management, those that remain, in particular as habitats for waterfowl,

**Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), 1973** (signed 1994), CITES contributes to nature conservation by regulating international trade in listed species by means of a permit system. Through this control system all parties assist in maintaining the listed rare and threatened species in the wild,

**The VN-EU Voluntary Partnership Agreement (VPA) on Forest Law Enforcement, Governance and Trade (FLEGT)** (signed 2018 and become effective in 2019), objective of

the VPA/FLEGT Agreement is to establish a legal framework ensure that all wood products exported from Viet Nam to the Union European Union (EU) of legal origin and production. This target is consistent with the commitment to sustainable management of all forests of both sides,

**Cartagena Protocol, 2003** | this protocol on Biosafety to the Convention on Biological Diversity is an international agreement on biosafety as a supplement to the Convention on Biological Diversity. Viet Nam became a full member of the Protocol on April 19, 2004. The MONRE has been assigned by the Government to act as the national focal point for this Protocol,

**Nagoya Protocol, 2010** | The Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity, also known as the Nagoya Protocol on Access and Benefit Sharing is a 2010 supplementary agreement to the 1992 Convention on Biological Diversity. Viet Nam signed this Protocol in 2014. The MONRE has been assigned by the Government to act as the national focal point for this Protocol,



### 3.6.2. Institutional and Legislation Framework to implement international commitments

#### Institutional arrangements

To fulfil the international commitment, Government of Viet Nam had done many efforts about institutional arrangement, improvement, and legislation development and revision according to the national development circumstances. In Viet Nam, State management responsibilities for biodiversity. Environmental Protection, Climate Change and Biodiversity Conservation are spread across all related ministries.

**The Ministry of Natural Resources Environment (MONRE)** | is the Government agency performing the state management function in the following fields: Land; Water Resources; mineral and geological resources; environment; hydrometeorology; Climate Change; surveying and cartography; general management of natural resources and environmental protection of sea and islands. MONRE is the national focal point responsible for implementing the United Nations Framework Convention on Climate Change. MONRE is also administers laws relating to environment protection, biodiversity, water resource management. The Ministry of Natural Resources and Environment shall take responsibility to the Government for performing the state management of biodiversity.

**The Vietnam Environment Administration (VEA)** | is an organization directly under MONRE performing the function of advising and assisting the Minister of MONRE in state management and law enforcement on

environmental protection and biodiversity in the whole country. The Nature and Biodiversity Conservation Agency (BCA) belong to VEA performs the function of advising and assisting the VEA's Leader in state management and organizing the enforcement of the law on conservation of nature and biodiversity nationwide

**The Ministry of Agriculture and Rural Development (MARD)** is a governmental agency for a umbrella of responsibility for rural development, governance and promotion of agriculture, fisheries, forestry, and irrigation, includes marine biodiversity management, and flood control. Thereby biodiversity issues related to the forestry, fisheries and agricultural sectors are managed by the MARD rather than under the unified management of MONRE under the Law on Biodiversity.

**The CITES Management Authority of Vietnam** under the VNFOREST that has the function of advising and synthesizing to assist the Director General of VNFOREST in performing state management and exercising the rights and obligations of a member country of the Convention on trade international endangered species of wild fauna and flora.

**Forest Protection Department (FPD)** under the VNFOREST performing state management functions on forest protection and law enforcement on forest protection, forest development and forest product management. Department of Protected Area Management under VNFOREST acting as an advisory body to assist the Director General in

performing the function of state management of forestry in the field of special-use forest system management, protection and conservation of nature and biodiversity in forest ecosystems.

**Directorate of Fishery under MARD** responsible for the management and conservation of aquatic resources, including:

Announce the aquatic species listed in the Vietnam Red Book and the aquatic species that need to be protected and the aquatic species banned from exploitation; publish criteria for classification and establishment of inland water conservation zones, marine conservation; conservation, regeneration and development of aquatic resources, conservation of aquatic biodiversity gene.

**The Ministry of Industry and Trade (MOIT)** is a governmental agency performing state management on industry and trade in following branches and domains includes renewable energy, oil and gas, chemicals, industrial explosives, mining and mineral processing industries.

**The Ministry of Culture, Sports and Tourism (MOCST)** is the government ministry in Viet Nam responsible for state administration on culture, family, sports and tourism nationwide, in addition to the management of public services in those field.

**Vietnam Environment Protection Fund (VEPF)** is the National Environment Protection Fund, a state financial institution under the Ministry of Natural Resources and Environment (MONRE). The main function of the VEPF is lending preferential interest rates, grants, co-financing, interest rate support for

programs, projects, activities, environmental protection tasks and coping with climate change are not included in the national budget plan.

**Vietnam Forest Protection and Development Fund (VNFF)** is a State financial institution under MARD. The VNFF's function is to mobilize social resources for forest protection and development, contributing to the implementation of the policy of socializing forestry; raising awareness and responsibility for forest protection and development; improve the capacity and efficiency of capital management and use, contributing to the implementation of the Forestry Development Strategy.

**So, at the central level, responsibilities for the state management of biodiversity belongs to MONRE and MARD. However, at sub-national level, no equivalent unit under Provincial's Department of Natural Resources and Environment (DONRE) is in charges of this function.**

**Ministries, ministry-level agencies and People's Committees at provincial level shall mainstream natural capital development investments into socio-economic development strategies, plans, programs, projects, and project proposals."**

**The other Ministries and ministerial-level agencies and People Committee** at all level shall, within the ambit of their tasks and powers, perform the state management of biodiversity as assigned by the Government as decentralized by the Government. Besides the state management agencies mentioned above, scientific research agencies related to biodiversity include:

➤ **The Institute of Ecology and Biological Resources (IEBR)** belong to Vietnam Academy of Science and Technology (VAST). Its function consists of studying biological resources and typical ecosystems in order to supply the needs of the population in foodstuffs, food grains, consumer goods, to recommend planning activities for socio-economic development and environmental protection and to carry out the education and training of scientists on ecology and biological resources to provide a basis for recommendations on the rational utilization, restoration and protection of these precious resources.

➤ **The Southern Institute for Ecology (SIE)** under to Vietnam Academy of Science and Technology (VAST). Its key major scientific activities are: i) Investigate and study the structure and function of ecosystem, individual ecology, population ecology and human ecology; ii) Research fauna, flora and fungi of southern Viet Nam; discover, evaluate, and recommend strategies to restore and develop threatened fauna, flora and fungi species, and protect genetic resources; iii) Study impacts of climate change on biodiversity, propose methods to respond to, and minimize the impacts of, climate change; iv) Study and evaluate biodiversity resources of terrestrial ecosystem, water bodies, provide management strategies, sustainable use of ecosystems and bioresources; v) Research and discover sensitive ecosystems, predict ecological dynamics, propose methods to utilize and restore ecosystems.



## Legislation framework

In the last two decades, biodiversity conservation has been given high attention by the Vietnamese Government. The country has gradually internalized international laws and created a comprehensive legal framework around the conservation of biodiversity and wildlife protection. There were many important laws in the natural resources have been legislated. Promulgated laws include law on state management of natural resources and biodiversity conservation and law on handling violations on protection of natural resources and biodiversity.

### Legislation on state management of biodiversity conservation

#### 👉 Biodiversity Law, 2008

The Law on Biodiversity No. 20/2008/QH12 and Decree No. 65/2010/ND-CP dated June 11, 2010 detailing and guiding the implementation of a number of articles of the Law on Biodiversity, with regulations on the protected area system, in which most of the terrestrial and wetland conservation systems and marine protected areas. This Law provides for the conservation and sustainable development of biodiversity and it also elevates the principles and priorities of biodiversity conservation to the level of law independent of other sectors in Viet Nam.

#### 👉 Law on Environmental Protection, 2020 (1993, 2005, 2014)

Law on Environmental Protection No. 72/2020/QH14 coming into force 01/01/2022. This law thoroughly amends the Law on Environmental Protection 2014 and sets out requirements for

a wide range of environmental issues. Articles 92 to 94 of the law make provisions for climate change, which specifically consist of greenhouse gas (GHG) reduction, ozone layer protection, and the national database on climate change. A governmental decree to implement the Law on Environmental Protection 2020 is under finalizing process. The degree is expected to enter into force on January 1, 2022, similar to the Law on Environmental Protection of 2020. These legal documents stipulate: prohibited activities in the protected area; environmental impact assessment of projects using land in protected areas; strategies, master plans and plans for exploitation of resources from seas, islands, nature reserves and mangroves.

The Law synchronizes environmental management tools in each phase of the project, starting from the consideration of investment plan, project appraisal, project implementation until the project is officially put into operation and project completion. The tools includes national strategy on environmental protection, environmental protection planning, strategic environmental assessment (SEA), environmental impact assessment (EIA), environmental permit, and environmental registration.

The Law has completed the legal framework for the protection of natural heritage (wetland conservation areas, protected areas being special-use forests, marine protected areas) in accordance with international law on world heritage, meet the requirements of the international integration process.

#### 👉 Forestry Law, 2017 (2004)

Forestry Law No. 16/2017/QH14, Decree No. 156/2017/ND-CP dated November 16, 2018 detailing the implementation of some articles of the Law on Forestry dated November 15, 2017, the law is stipulating special-use forest,

protection forest, forest protection and sustainable forest use, forest development and forest management and conversion of forest to other use purposes.

#### 👉 Law on Fisheries, 2017 (2003)

Law on Fisheries No. 18/2017/QH14 contains revised provisions for the fisheries sector in Viet Nam. The Law applies to Vietnamese organizations and individuals, foreign individuals engaged in fishery in land, islands, archipelago and sea of Viet Nam; Vietnamese individuals engaged in commercial fishing activities outside the Viet Nam's maritime boundary. Its provisions concern, among other things, co-management in fishery resources protection, planning on protection and exploitation. Decree No. 26/2019/ND-CP dated March 08, 2019 of the Government on detailing a number of articles of, and measures to implement the Law on Fisheries.

#### 👉 Water Resource Law, 2012

Law No. 17/2012/QH13 on Water Resources provides on management, protection, exploitation and use of water resources, as well as the prevention, combat against and overcoming of harmful effects caused by water in the territories. Decree No. 43/2015/ND-CP providing the establishment and management of water source protection corridors with regard to the water sources defined in Article 31 of the Law.

#### 👉 Law on Marine and Island Resources, 2015

Law on Marine and Island Resources No. 82/2015/QH13 prescribes integrated management of marine and island resources and protection of the marine and island environment, and the rights, obligations and responsibilities of agencies, organizations and individuals in integrated management of marine and island

resources and protection of the marine and island environment of Viet Nam. The protection of the marine and island environment, and the management, exploitation and use of marine and islands. Decree No. 40/2016/ND-CP dated May 15, 2016 on detailing a number of articles of, and measures to implement the Law on Marine and Island Resources.

#### 👉 Land use Law, 2013 (1993, 2003)

Land Law No. 45/2013/QH13 prescribes for the land ownership, powers and responsibilities of the State in representing the entire-people ownership of land and uniformly managing land, the land management and use regimes, and the rights and obligations of land users over the land in the territory of Viet Nam. Decree No. 43/2014/ND-CP detailing a number of articles of the Land Law, compensation, support, resettlement; land prices; collection of land use levy; collection of land and water surface rentals; and sanctioning of land-related administrative violations.

#### 👉 Investment Law, 2020 (2014)

The Law on Investment No.61/2020/QH14 prescribe for business investment activities in Viet Nam and business investment activities from Viet Nam to abroad. Among the industries and trades banned from investment and business listed in Article 6, there is trading in specimens of plants and wild animals of natural origin specified in Appendix I of CITES; specimens of endangered, precious and rare species of forest plants, animals and aquatic animals of Group I, derived from natural exploitation specified in Appendix III of this Law.

#### 👉 Law on Crop Production (2018)

Crop Production Law No.31/2018/QH14 prescribes plant varieties; fertilizers; cultivation; harvest, preliminary processing, preservation,

processing, trading, and quality management of crop products. It also defines rights and obligations of organizations and individuals engaged in crop production and state management of crop production. In order to use natural resources effectively and sustainably, the Law devotes Chapter IV to providing cultivation activities, focusing on use of natural resources, agricultural facilities, equipment and supplies in cultivation. The exploitation use and genetic resources of plant varieties comply with the provisions of this Law and the Law on biodiversity.

These legal documents are the most comprehensive legislations on the conservation and sustainable development of biological species, endangered, precious and rare species prioritized for protection, wild species, and conservation facilities, biodiversity conservation.

#### Legislation on handling violations on protection of natural resources and biodiversity:

✎ **Criminal Code No.100/2015/QH13**, amended by Law No. 12/2017/QH14 of the National Assembly sets out criminal penalties for violations of the key wildlife protection laws.

✎ **Resolution No. 05/2018/NQ-HDTP**, dated 05 November 2018 by the Judges' Chambers –Supreme People's Court guiding the implementation of Article 234 and Article 244 related to crimes on wildlife protection of Penal Code 2017.

✎ **Law No. 15/2012/QH13** of the National Assembly on handling of administrative violations. and Law No. 67/2020/QH14 amending and supplementing some article of the Law No.

15/2012/QH13, Law No. 54/2014/QH13, and Law No. 18/2017/QH14. The Laws regulations on sanctioning administrative violations and administrative handling measures for violations related to wild, endangered, precious and rare animals.

✎ **Decree 35/2019/ND-CP** dated April 25, 2019 of the Government on sanctioning of administrative violations in the field of forestry. This Decree provides administrative penalties towards acts of illegal hunting, trapping, captive breeding, transporting, buying, selling, trading, storing, and processing forest products.

✎ **Decree No. 155/2016/ND-CP** dated November 18, 2016 of the Government on sanctioning of administrative violations in the field of environmental protection. This Decree governs administrative violations on biodiversity: Conservation and sustainable development of natural ecosystems; conservation and sustainable development of biological species and conservation and sustainable development of genetic resources.

#### National and Sector Strategy related to natural resources and biodiversity:

✎ **Decision No. 45/QD-TTg** dated January 8, 2014 of the Prime Minister approving Master plan for National Biodiversity Conservation by 2020, with a vision to 2030. The general objective of Master plan is ensuring important natural ecosystems, the endangered, precious and rare species and genetic resources are conserved and sustainably developed; maintaining and developing the ecosystem services to adapt to climate change in order to promote sustainable development of the country.

✎ **Decision No. 2139/QD-TTg** dated December 5, 2011 of the Prime Minister approving the national Strategy for Climate Change. One of the key strategy tasks is protection and sustainable development of forests, increase of GHG absorption and biodiversity conservation - to conserve biodiversity, attach importance to protecting and developing ecosystems, varieties and species resilient to climate change; to protect and conserve the gene pools and varieties and species endangered by climate change.

✎ **Decision No.1250/QD-TTg** dated July 31, 2013 of the Prime Minister approving the National Biodiversity Strategy to 2020, vision to 2030 (NBSAP 2013). The overall objectives of the strategy are: conservation of important natural ecosystems; endangered, rare and precious species, and genetic resources are preserved and sustainably used, contributing to the development of the green economy, and actively responding to climate change.

✎ **Decision No. 523/QD-TTg** dated April 1, 2021 of the Prime Minister approving Viet Nam's forestry development strategy for the 2021 - 2030 period, with a vision to 2050 (VFDS 2021-2030) with the relevant objective is forest makes an increasingly important contribution to socio-economic development, environmental protection, water security, disaster mitigation, and major response be active and effective with climate change, conserve natural resources and biodiversity, provide a variety of forest environmental services.

✎ **Decision No. 419/QD-TTg** dated 5 April 2017 on Approval of the National Programme on the Reduction of Green-house Gas Emissions through the Reduction of Deforestation and

Forest Degradation, Sustainable Management of Forest Resources, and Conservation and Enhancement of Forest Carbon Stocks (REDD+) by 2030 (NRAP, 2017-2030).

✎ **Decision No. 339/QD-TTg** dated March 11, 2021 of the Prime Minister on approving the Strategy for Development of Viet Nam's Fisheries by 2030 with vision towards 2045. The development's point of view of the Fishery sector is step up industrialization and modernization of the fishery industry in a market-oriented, environmentally friendly manner, to protect, regenerate and develop aquatic resources, and to conserve biodiversity; adaptation to climate change; ensure disease safety, biological safety, social security.

These strategies play as the vital role to address the biodiversity concerns in a long vision.

#### Ministry policies

To perform the state management function of natural resource protection, environmental protection and biodiversity conservation, relevant ministries have issued many policies and documents guiding the implementation of laws and policies of the state in the field of resource protection and biodiversity conservation.

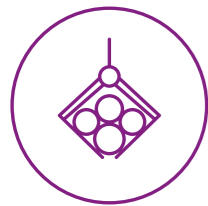
According to the objectives in Laws, National strategies and sector development master plans related to natural resources protection and biodiversity conservation, the related Economic Sectors have been developing the orientation or commitment to achieve the objectives through their relevant policies. (see Annex 7).





Some of the economic development policies of the sectors paid attention to the sustainable use of natural resources and the conservation of biodiversity. However, there are also a number of policies that have indirectly affected forest resource degradation and biodiversity loss. For example:

To help the rubber industry achieve the planning target of 800,000 hectares, the Ministry of Agriculture and Rural Development issued Circular No. 76/2007/TT-BNN dated 21 August, 2007 by MARD guiding the conversion of forests and forestry land to rubber plantations. According to this circular, the types of forestry land that can be arranged to convert to rubber plantations includes i) Forest land has been planned as production forest (natural forest or planted forest); ii) degraded natural forest (poor quality, with targeted tree species of class A and type B accounting for less than 50% of the forest reserve according to Decision 682B/QDKT dated 1/8/1984 by MARD), restored young forest, bamboo forest; low efficiency plantations. The document stated that the converted area needs conformity with master plans and plans on land use; forest protection and development planning and plans approved by competent state agencies; and there is a plan to plant new forests to replace the forest area that will be converted to other uses. These conditions are very important for conversion, but in practice, it is almost of little interest to the locality. According to Clause 6, Section II stipulates that the order and procedures for converting land to rubber plantations of agricultural and forestry farms are very simple, so the result is a lot of forest and forestry land has been converted to rubber plantations from other farmers, forestry farm, and State Forest Enterprises (SFEs) due to lack of strict control and monitor from relevant government agencies.



Forest assessment for conversion only required to assess in terms of area and quality, without information on forest biodiversity. This has led to the conversion of many high conservation value forests.

According to Decree 83/2020/ND-CP dated July 15th, 2020 on amending and supplementing multiple articles of Decree No. 156/2018/ND-CP, dated November 16th, 2018, which details the implementation of several articles covered in the Law on Forestry: Application for forest use conversion only requires forest inventory in requested area, including two criteria naming forest area and forest reserves. Therefore, it is needed to include biodiversity assessment as one of the compulsory requirements for forest assessment for conversion purposes to avoid future loss of high biodiversity areas.



A familiar situation with Shrimp Farming in mangroves forest area that to encourage and support local farmers increased Shrimp farming areas to meet the demand of Shrimp production for export. Ca Mau and Kien Giang province issued Decision No. 19/2010/QD-UBND dated September 22, 2010 by the PPC of Ca Mau province on implementation of some policies for forest protection and development in Ca Mau and Decision No. 25/2011/QD-UBND dated July 28, 2011 by the PPC of Kien Giang on regulations for forest planting, forest protection and use of coastal protection forest in Kien Giang. According to these provincial decisions, local households and individuals that are forest owners and contracted forest protection with the Management Board of Forest Protection to use up to 40% (in Ca Mau) and 30% (in Kien Giang) of non-forested area in the allocated protection forest areas for agricultural and fishery production. Most of the local farmers are preferred to establish the Shrimp farming Pool. The regulated ratio provides the relation between protected mangrove forest area and aquaculture farming in the protection forest zone. There are no sufficient results available on the value of the 70:30 regulation in Kien Giang and the 40:60 regulations in Ca Mau but the consequence is too many ha of mangrove forest was converted to shrimp farming in the region due to a lack of critical monitor and control of the relevant authority agencies.





### 3.6.3. Economic sector assessment

#### FORESTRY

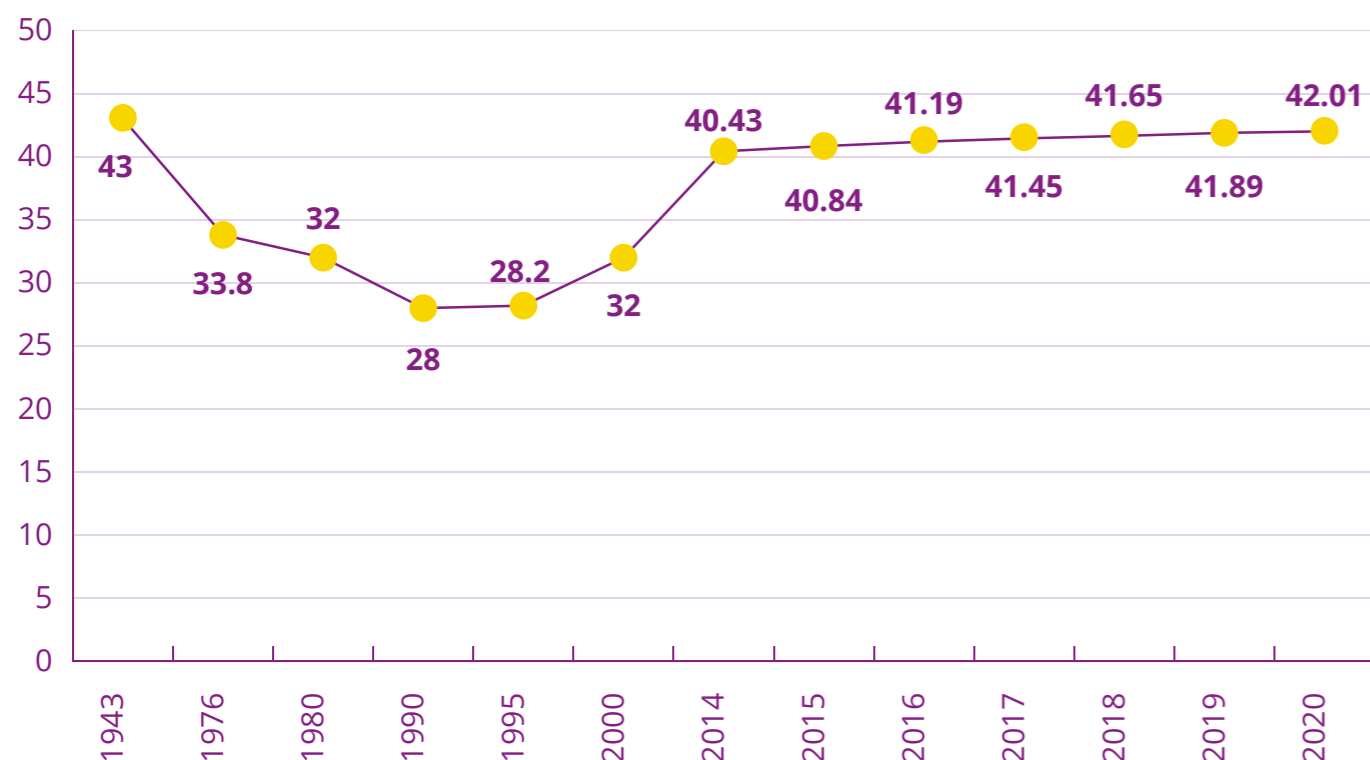


In 1943, Viet Nam had 14.3 million ha of natural forests, covering 43% of the total land area of the country. However, forest area has fallen rapidly and by 1990 was just 9.18 million ha or 27.2% of the total land area (FAO, 2009). After Policy Reform period 1980s – 1990s, forest area has increased as a result of forest rehabilitation and plantation programmes. Forest area was rapidly increased from 10.916 million ha (33.2%) in 2000 to 14.062 million ha (40.84%) by 2000. From 2016 to 2020, forest area has been slightly increased annually and total national forest area was reached 14,677 million ha (42.01%) of which 10,292 million ha of natural forest and 4,398 million ha of plantation forest by the end of 2020<sup>1</sup>, (see Figure 26).

The Figure 25 shows that during the period 1945-1975, the whole country lost about 3 million ha of forest, an average of 100,000 ha per year. The process of deforestation happened faster in the period 1975-1990- about 2.8 million ha was lost, an average of 140,000 ha/year<sup>2</sup>. However, from 1990s, with the synchronous implementation of land and forest allocation policies and encourage mechanisms to forest owner, the forest area had been increased. Forest cover in whole country increase over the last 20 year is remarkable, from 33.2% by 2000 to 42.1% by 2020.

Figure 25: Forest cover change from 1943 to 2020

Source: FPD



<sup>1</sup> [http://www.kiendlam.org.vn/Desktop.aspx/List/So-lieu-dien-bien-rung-hang-nam/NAM\\_2019/](http://www.kiendlam.org.vn/Desktop.aspx/List/So-lieu-dien-bien-rung-hang-nam/NAM_2019/)

<sup>2</sup> FAO 2009. Viet Nam forestry outlook study

Although the forest area has been increased significantly due to expansion a large area of plantation, however, natural forest area increased insignificantly, even with a period of decrease from 10.41 million ha by 2006 was down to 10.1 million ha by 2014<sup>1</sup>. Even in some regions, the planted area of plantation forest increased sharply, but the area of natural forest decreased deeply. Particular in Central Highlands region, according to data from the VNforest, in 2019, the planted forest area in the Central Highlands increased by 18,387 ha compared to 2018, but the area of natural forest decreased by 15,753 ha. Same situation occurs, in 2018 the planted forest area increased by 20,210 ha in comparison to 2017, but the natural forest decreased by 16,708 ha<sup>2</sup>. In the period of implementation of Viet Nam's Forestry Development Strategy 2006-2019, by the end of 2019 in the whole country the total forest area increased by 1.74 million ha, but natural area decreased by 117,707 ha (MARD, 2020).

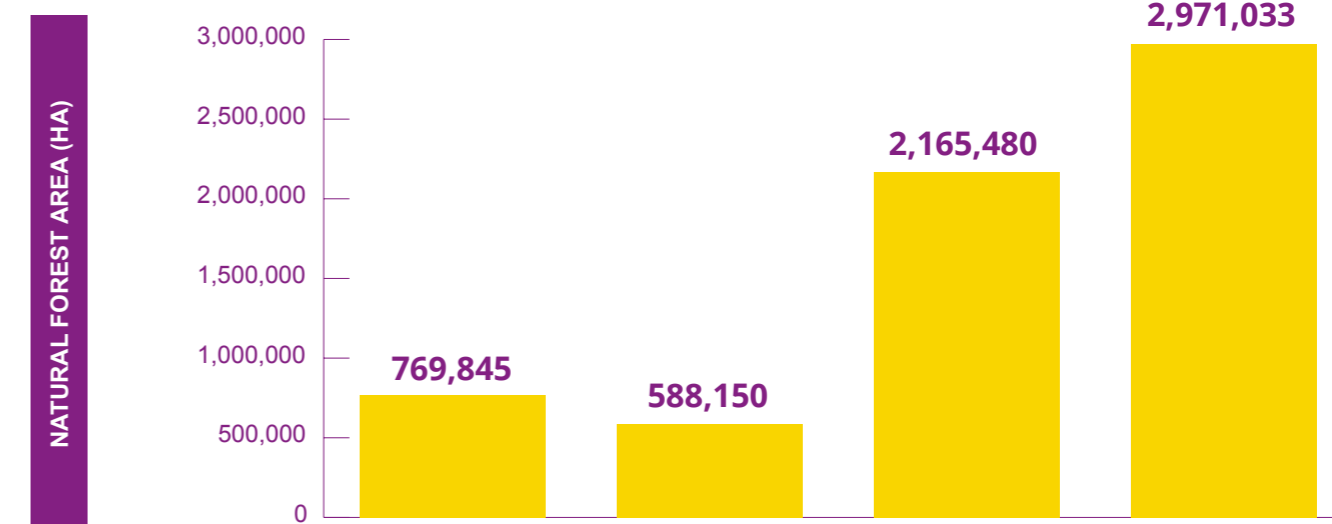
Besides that, quality of natural forests continues to degrade. According to MARD, 2016, total area of poor and extremely poor forests (10-100 m<sup>3</sup>

per ha) is 3.359 million ha (counting for 40.27% of total natural forest areas with at least 10m<sup>3</sup>/ha volume stock) and the rich forest area (over 200 m<sup>3</sup> per ha) is only 769,845 ha (counting for 8.71% of total natural forest areas with at least 10m<sup>3</sup>/ha volume stock) (see Figure 26). Although the natural forests have many valuable species such as Cẩm lai (*Dalbergia bariensis*), Lim xanh (*Erythrophleum fordii*), Sến mật (*Madhuca pasquieri*), Gụ lau (*Sindora tonkinensis*), Cẩm xe (*Sindora tonkinensis*), etc., however a large amount of these native species has been exploited, leaving only trees with a small diameter, curved or with defects.

Natural forest loss and quality of natural forest decline are a result of mixed causes. Illegal and unsustainable logging, forest fires, and weak forest law enforcement are indirect drivers (De Koninck, 1999; Pham et al., 2012). According to the study report by Do Anh Tuan, 2015<sup>3</sup>, illegal logging resulted in 76,557 ha loss (or 7.9% of the total natural forest loss) and legal or unsustainable logging resulted in 32,991 ha loss (or 3.4% of the total natural forest loss).

Figure 26: Natural forest quality by stock volume in 2016

Source: FPD



<sup>1</sup> <http://www.kiendlam.org.vn/Desktop.aspx/List/So-lieu-dien-bien-rung-hang-nam>

<sup>2</sup> <http://www.kiendlam.org.vn/Desktop.aspx/List/So-lieu-dien-bien-rung-hang-nam>

<sup>3</sup> Drivers of Deforestation in the Greater Mekong Subregion Viet Nam Country Report



### Selective logging

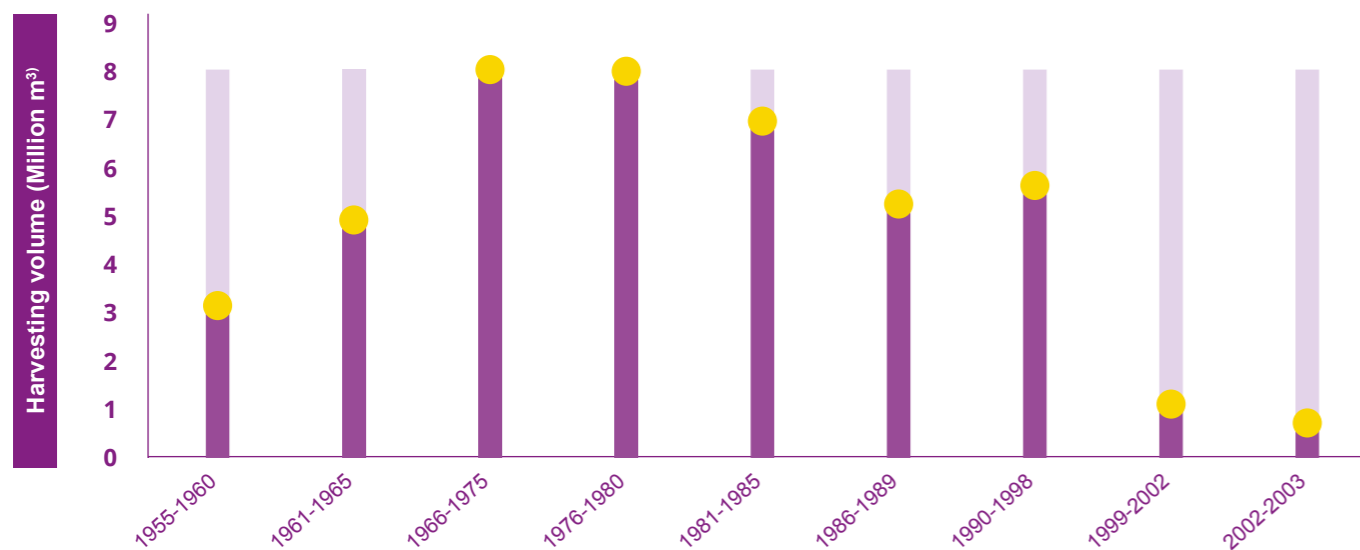
During the 1960-1980 period, the central task of the forestry sector was to harvest timber to create a source of raw materials for national reconstruction and domestic consumption. The Government established a system of State Forest Enterprise (SFE) for harvesting timber from natural forest. By 1975, the whole country had about 200 SFEs established (To Xuan Phuc and Tran Huu Nghi, 2014) and by 1997, there were 422 SFEs established (MARD, 2006). The trend of harvested volumes from natural forest is different in period from 1955 to 2003 presented at Figure 29.

The Figure shows the long-term logging level from natural forests is estimated to settle from 600,000m<sup>3</sup> to 800,000 m<sup>3</sup> per year. The highest

harvest volume per year is 1.6 million m<sup>3</sup> in the period 1976-1980. Since 2000, the management of logging has been tightened, specifically decentralized and sharply reduced the number of SFEs, the government has set up a policy to gradually reduce logging from natural forests, so the amount of timber harvested is only from 200,000-300,000 m<sup>3</sup>/year from 2000- 2008. In 2012, the harvested volume was only about 110,000 m<sup>3</sup>(1). These volumes are exploited from areas with a high volume of standing stock and with too many hard woods tree species. According to this the harvested area of natural forest also reduced from 25,000 ha/year by 1996 down to 12,000 ha/year by 2000 (FAO, 2009). Besides of this, there were a large amount of timber volumes and area of forest lost when harvesting enterprise-built skid trail, forest road and landing areas for harvesting operations which has not yet been included.

Figure 27: Harvested volume from natural forest 1955-2003

Source: MARD, 2006



<sup>1</sup> [http://www.kiemlam.org.vn/PortletBlank.aspx/AB643F9FDB734E16AE8A3AF613D6ADC9/View/Tin-tuc-su-kien/Xem\\_xet\\_kha\\_nang\\_tam\\_dong\\_cua\\_rung\\_tu\\_nhien\\_tren\\_toan\\_quoc/?print=709138485](http://www.kiemlam.org.vn/PortletBlank.aspx/AB643F9FDB734E16AE8A3AF613D6ADC9/View/Tin-tuc-su-kien/Xem_xet_kha_nang_tam_dong_cua_rung_tu_nhien_tren_toan_quoc/?print=709138485)

### Unustainable firewood and NTFPs collection

As a developing country, rural households depend on firewood as a main energy source. With about 66% of the rural population (63,086,436 people)<sup>1</sup> local famers need a huge amount of firewood. Up to date, there is no report that study the comprehensive situation of the firewood collection and use at national wide. However, according to Chien P.D 2001, annually, from 22 to 23 million tons of firewood are harvested<sup>2</sup> and the fact-finding results to prepare the Emission Reduction Program (supported by the World Bank) stated that during time from 2010- 2014, there were on average over 5 million steres of firewood extracted per year in a target province of the program in Nord Central Provinces region (Thanh Hoa, Nghe An, Ha Tinh, Quang Binh, Quang Tri and Thua Thien - Hue Provinces)<sup>3</sup>.

NTFPs to become a primary industry and to make up over 20% of the total forestry production value. The value of exports increased from USD 0.23 billion in 2006 to USD 0.8 billion in 2020<sup>4</sup>. The NTFP groups that include plants with fibers, medicinal plants, essential oils, and plastic and oils have led to the annual harvest of 350 million bamboo plants, 4500 tons of rattan, 1500 tons of bamboo shoots, 300 tons of fruits, 5000 tons of other products for food, 4500 tons of medicinal herbs, and 130,000 tons of essential oils and plastic<sup>5</sup>. However, exploitation of NTFPs mostly operated by household level without any sustainable strategy and harvesting plan and it is still spontaneous, scattered, wasteful, and the economic efficiency is very low. The use of NTFPs is still mainly based on available natural exploitation, with little attention to conservation and development.



<sup>1</sup> Vietnam General Statistic Office, 2020. Completed results of the 2019 Viet Nam population and housing census.

<sup>2</sup> Chien P.D 2001. Demography of threatened tree species in Viet Nam

<sup>3</sup> WB, 2016. Strategic Environmental Social Assessment for the proposed Emission Reduction Program in Nord Central Provinces.

<sup>4</sup> Hung T.V et al, 2020. 2020. Viet Nam Forestry Development Strategy: Implementation results for 2006–2020 and recommendations for the 2021–2030 strategy

<sup>5</sup> Thanh V.N et al, 2020. Determinants of Non-Timber Forest Product Planting, Development, and Trading: Case Study in Central Viet Nam



## Illegal logging

Illegal logging of natural forest continues is a problem in Viet Nam. Up to date no thorough research has been done on the topic. Although the Government of Viet Nam has made many efforts to strengthen law enforcement, However, illegal logging is still a matter of concern first in Viet Nam so far. According to annual report on forest violation by FPD, from 2007 to 2013 there are over 2,000 cases to 4,500 cases of illegal logging<sup>1</sup> in the whole country. (see Figure 28).

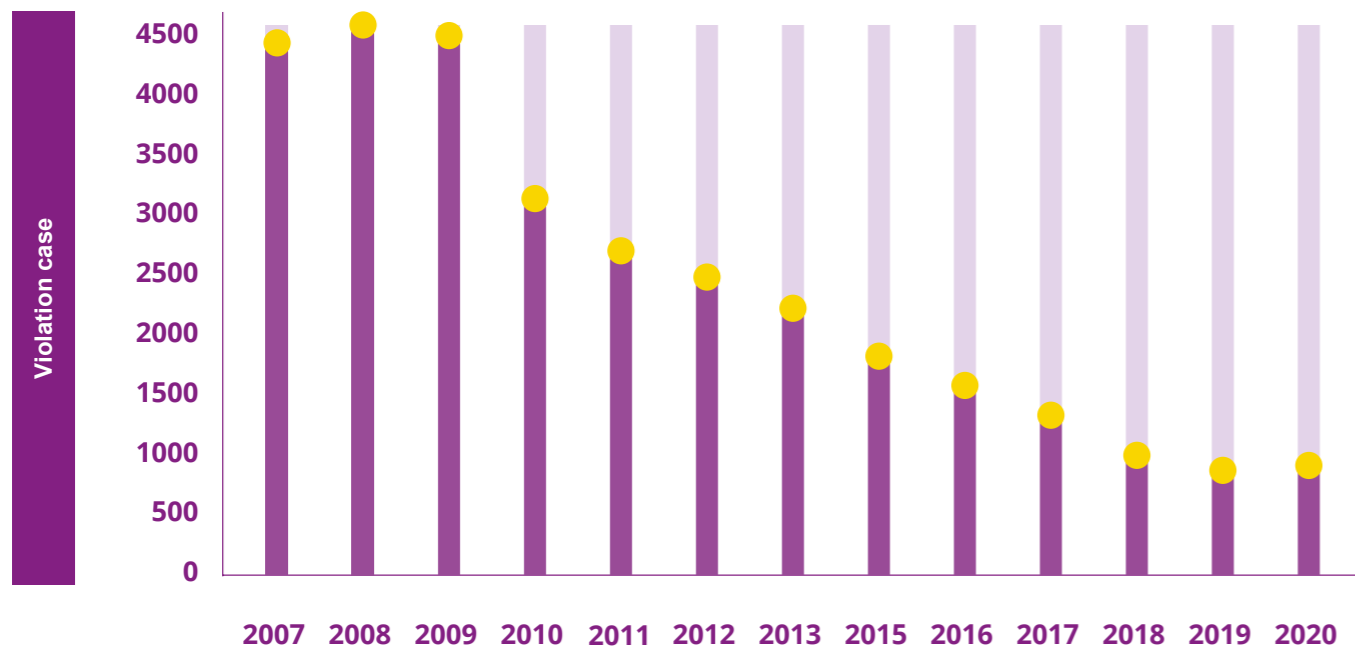
There is no updated statistic figures reflected the total amount of illegal logging at national level, however many high-valued timber species are being degraded rapidly. About 100,000 m<sup>3</sup> of illegally harvested logs are confiscated each year (Vu Huu Tuynh and Pham Xuan Phuong, 2001). The most of violation cases are often occurred in rich forest areas in 8 forestry ecological zones.

The purpose of logging is also diverse: one is for the valuable logs which they sell for money, and the other is the local people who need timber to build houses or clear forest land for cultivation.

Illegal logging threatens natural valuable species and vulnerable protected forest where under management of National Parks, Nature Reserve and Forest Protection Management Board. The 115,000-ha Yok Don National Park in Dak Lak is a hot spot for forest violations because of its prized trees in the forest whose timber fetches high prices<sup>2</sup>. Other hotspots include Phong Nha-Ke Bang National Park in Quang Binh Province<sup>3</sup>, Ba Be National Park and Kim Hy Natural Reserve in Bac Kan Province<sup>4</sup>. Forest located at the border of the Central Highlands provinces of Gia Lai and Dak Lak in Viet Nam is being destroyed at an alarming rate by both loggers and local people<sup>5</sup>.

Figure 28: Violation case of illegal logging for all the country 2007 – 2013

Source: FDP



<sup>1</sup> <http://www.kiemlam.org.vn/Desktop.aspx/List/Hanh-vi-vi-pham-Luat-BV-va-PT-rung/>

<sup>2</sup> <https://nhandan.com.vn/vi-moi-truong-xanh/vuon-quoc-gia-yok-don-dang-bi-xam-hai-nghiem-trong-223221>

<sup>3</sup> <http://baochinhphu.vn/Phap-luat/Pha-rung-nghiem-trong-tai-Phong-Nha-Ke-Bang/361251.vgp>

<sup>4</sup> <https://bnews.vn/pha-rung-o-vuon-quoc-gia-ba-be-da-tro-thanh-diem-nong/93246.html>

<sup>5</sup> <https://vnexplorer.net/illegal-logging-rampant-in-vietnams-central-highlands-a202022393.html>



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## Threats

Overharvesting in a long time by State Forest Enterprise (SFC), unsustainable NTFPs collection and illegal logging are direct drivers to deforestation and forest degradation. Their negative impacts not only the direct damage to the quality of forest resources but also the process in forest conversion and led to biodiversity loss. The major threat of these activities is habitat loss, forest ecosystem fragmentation and low natural regeneration due to reduce of mother trees.

Illegal logging is a serious concern in forest protection and management. Illegal logging and unsustainable collection of NTFPs resulted decline of endemic or high value plant species for both timber and herbal medicinal plants, increasing the number of endangered and extinct plant species, particular in primary forest in protected areas. (e.g. Gõ đỏ (*Azelia xylocarpa*), Gụ mật (*Sindora siamensis*), Thông nước

(*Glyptostrobus pensilis*), Hoàng đàn (*Cupressus torulosa*), Bách xanh (*Calocedrus macrolepis*), Đinh (*Markhamia stipulata Seem*), Lim xanh (*Erythrophleum fordii*) and Gió trầm (*Aquilaria*), Sâm Ngọc Linh (*Panax Vietnamensis*), Lan Kim Tuyến (*Anoetochilus setaceus*).

A research on species composition, diversity and structure of secondary tropical forest following selective logging in Huong Son Forest Company, Ha Tinh Province shows that percent composition of families and number of commercial species found in sample pilot (after 2 years logged) were lower than in comparison with other plots, and the result of basal area of the sample pilot area (after 12 years logged) were lower than others, and slight low diversity indices for commercial species (Hop et al 2004). This leads to the depletion of forest resources, which will inevitably reduce the biodiversity of the forest and negatively affect the lives of people who depend on the forest.

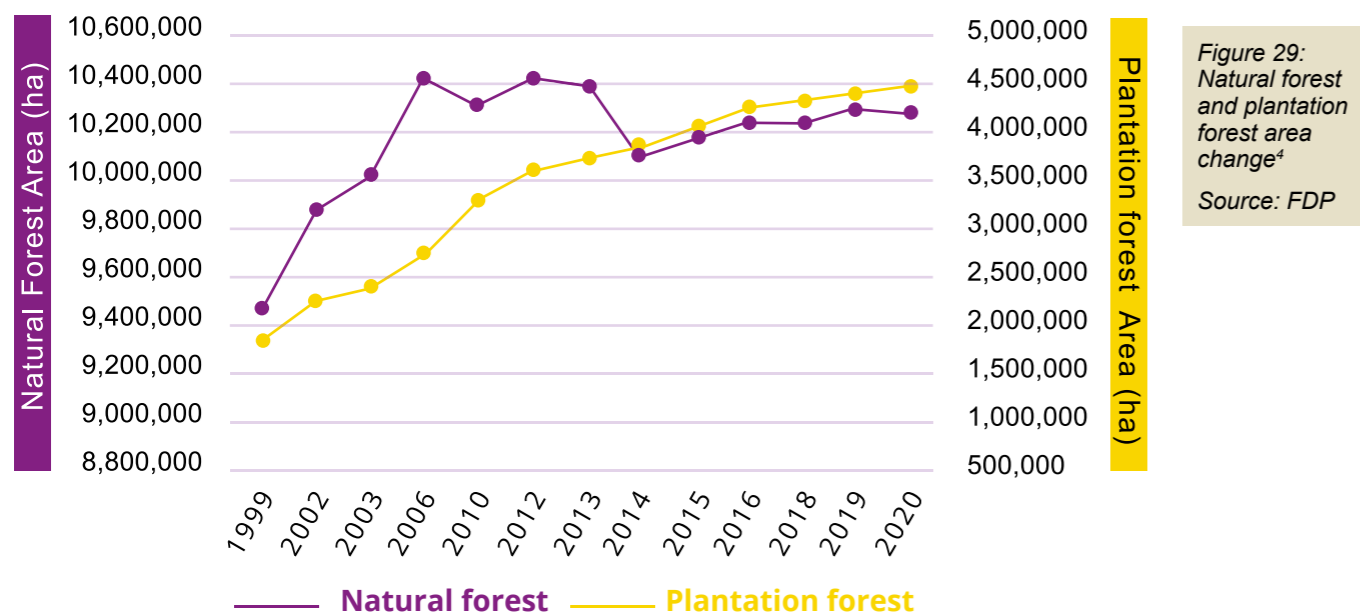


## Plantation

Forest plantations initiatives began since the late 1980s when the start of Doi Moi (Innovation) with land reform policy and have expanded rapidly in the period 1993 – 2010 through two large national programs called 327<sup>1</sup> program and 661 programs<sup>2</sup> with the same objective is to increase the area and forest coverage, and to create conditions for ethnic minorities in remote and disadvantaged areas to benefit from the forest.

According to MARD, 2011, by 2010 up to 2.45 million ha of forest had been planted during 1998-2010, of which 898,000 ha of special-use and protection forest and 1.552 million ha of production forest. Forest plantations have expanded rapidly in response to forest and forestland allocation policies and the financial support from the state and local governments in the period 2011 – 2020. The booming woodchip industry and amazing achievement of wood and timber product export recently (see Box 2)

accelerated the development of plantation in this period, from about 1.92 million ha in 2002 to 4.39 million ha in 2020<sup>3</sup>. According to the Government's report on the implementation of the Forest Protection and Development Plan for the 2011-2020, in the past 10 years, on average, the whole country planted about 230,000 hectares of forest each year contribute to the protection and environment protection functions of forests and creating a source of raw materials from planted forest wood for the wood processing industry. However, the area of natural forest has not increased significantly. Even in some areas, the area of production forest increased sharply, but the area of natural forest decreased deeply. In particular, the Central Highlands region, according to data from the VNFOREST in 2019, the area of planted forests in the Central Highlands increased by 18,387ha compared to 2018, but the area of natural forests However, it decreased by 15,753ha. (see Figure 31)



<sup>1</sup> Decision no. 327-CT dated September 15, 1992 by the Chairman of the Minister Council (Prime Minister) on the policies and objectives in utilization of barren land and hills, alluvial coastal areas and water surface areas.

<sup>2</sup> Decision no. 661/QĐ-TTg dated July 29, 1998 by the Prime Minister on the target, task, policy and organisation for implementation of the project on planting of five million hectares of forest.

<sup>3</sup> <http://www.kiemlam.org.vn/Desktop.aspx/List/So-lieu-dien-bien-rung-hang-nam/>

<sup>4</sup> This increase of natural forest might come from forest rehabilitation efforts by 327, 661 programs (natural regeneration, for example)

## BOX 2

Viet Nam's wood processing and forestry products industry has developed rapidly in recent years. The export turnover of wood and forest products has grown by 12-17% over the years. The amazing exporter of wood and forest products in the world and second in Asia, accounting for 6 percent of the world's market share. (Source: <http://www.mard.gov.vn/en/Pages/prime-minister-calls-for-sustainable-development-of-wood-processing-industry.aspx?item=6>)

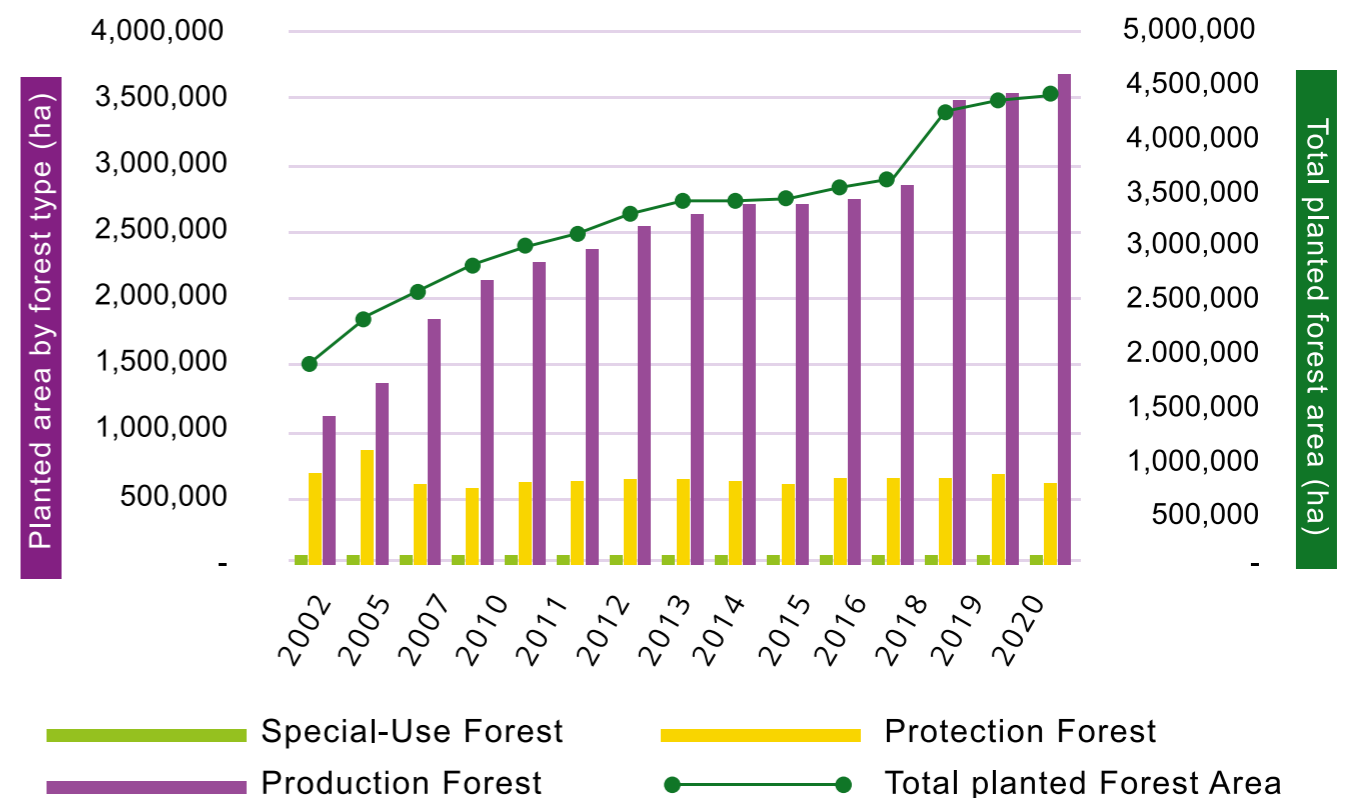
By 2018, the total supply wood materials for the wood processing industry is over 40 million m<sup>3</sup>, of which the amount of harvested timber from domestic plantation is about 30 million m<sup>3</sup> (account for 75% of the total supply wood materials). Source: Quyên N.T 2019. VIFORES's report to the Forum-Wood and forest product export and processing industry 2018-Success, lessons learned and breakthrough solution in 2019).

Despite the impressive increase in planted forest area, however, focus is mainly on the area of commercial plantations (production forest) with fast growing exotic species (*Eucalyptus spp*, *Acacia spp*). As statistic from annual publication of national forest status by MARD, in the period from 2002 to 2020, the planted area of the special-use forest increased on average

about 81,200 ha (account for 2.47% of the total increased plantation area), protection forest increased on average about 657,607 ha (account for 20.04% of the total increased plantation area), the planted production forest increased on average about 2.54 million ha (account for 77.49% of the total increased plantation area) annually (see Figure 30).

Figure 30: Composition of plantation area by 3 types of forest

Source: FPD





## AGRICULTURE

The area of planted forest has increased rapidly in last two decades to meet the demand for raw materials for the wood processing industry, create jobs and contribute to improving the livelihoods of afforestation households. However, due to high demand of timber raw material for wood processing industry and market price of woodchip, many areas of natural forest were encroached for Acacia plantation. In either case, the rate of deforestation associated with Acacia plantations fluctuates annually and is subject to rapid change. Recently, deforestation and encroachment of natural forest for growing Acacia is taking place in all the forested provinces. The most common is the destruction of protection forests for Acacia plantations in the localities with damaged area from a number of ha to hundred ha. For example, Nghe An<sup>1</sup>, Ha Tinh<sup>2</sup>, Quang Binh<sup>3</sup>, Quang Nam<sup>4</sup>, Gia Lai<sup>5</sup>, Kon Tum<sup>6</sup>, Binh Dinh<sup>7</sup>, etc.

Deforestation and forest encroachment is currently a matter of concern in Viet Nam. In the first four months of the year 2021, the damaged forest area was 483.6 hectares, up 19.5% over the same period last year, the area of deforested was 349.5 ha, an increase of 49% over the same period of 2020. Particularly in April 2021 the area of deforested was 99.8 ha, an increase of 72.5%, some provinces with high deforestation area are Dak Lak 46 ha, Dak Nong 11.7 ha, Bac Kan 5, 8 ha, Nghe An 5.2 ha<sup>8</sup>.

<sup>1</sup> [http://congan.com.vn/doi-song/hang-tram-hecta-rung-phong-ho-dau-nguon-bi-cao-troc-de-trong-keo\\_108148.html](http://congan.com.vn/doi-song/hang-tram-hecta-rung-phong-ho-dau-nguon-bi-cao-troc-de-trong-keo_108148.html)

<sup>2</sup> <https://www.moitruongvadothi.vn/moi-truong/ha-tinh-rung-phong-ho-bi-buc-tu-a82030.html>

<sup>3</sup> <https://vov.vn/xa-hoi/rung-phong-ho-o-quang-binh-bi-bam-nat-676225.vov>

<sup>4</sup> <https://vtv.vn/vtv8/bung-phat-nan-pha-rung-lay-dat-trong-keo-o-quang-nam-20190613161217.htm>

<sup>5</sup> <https://laodong.vn/xa-hoi/gia-lai-pha-trang-rung-phong-ho-o-xa-bien-gioi-ia-puch-860903.laod>

<sup>6</sup> <https://vtv.vn/trong-nuoc/pha-rung-chiem-dat-trong-keo-hoat-dong-trai-phep-dien-ra-cong-khai-2019012209231723.htm>

<sup>7</sup> <http://daidoanket.vn/pha-tien-them-mot-vu-pha-rung-phong-ho-de-trong-keo-381817.html>

<sup>8</sup> <http://consosukien.vn/tinh-hinh-kinh-te-xa-hoi-ca-nuoc-4-thang-dau-nam-2021.htm>

<sup>9</sup> Jeremy H. 2008. Monoculture tree plantations are "green deserts" not forests, say activists available at <https://news.mongabay.com/2008/09/monoculture-tree-plantations-are-green-deserts-not-forests-say-activists/>

### Issues



Forest encroachment for monoculture plantation is direct driver of deforestation. Conversion of primary or secondary forest to commercial plantations can lead to significant biodiversity losses, a decrease of general ecosystem productivity,



Recent studies have shown that monoculture tree plantations caused loss of biodiversity and net emitters of carbon. "Tree plantations are not forests. A plantation is a highly uniform agricultural system that replaces natural ecosystems and their rich biodiversity"<sup>9</sup>. Therefore, event expansion rapidly of plantation cannot compensated the biodiversity loss from encroached natural forest areas,



Monocultural exotic plantation less species rich than natural and semi-natural forest (shrublands, mixed stand with native species).



Clear cut harvesting method and burn of harvest residuals made habitat loss and wild animal, insect escape or dead,



Using bulldozers or excavator to build skid trail, forest road in plantation area for planting and harvesting operations and burning harvest residue of acacia plantation destroy soil conditions and other environmental effects.

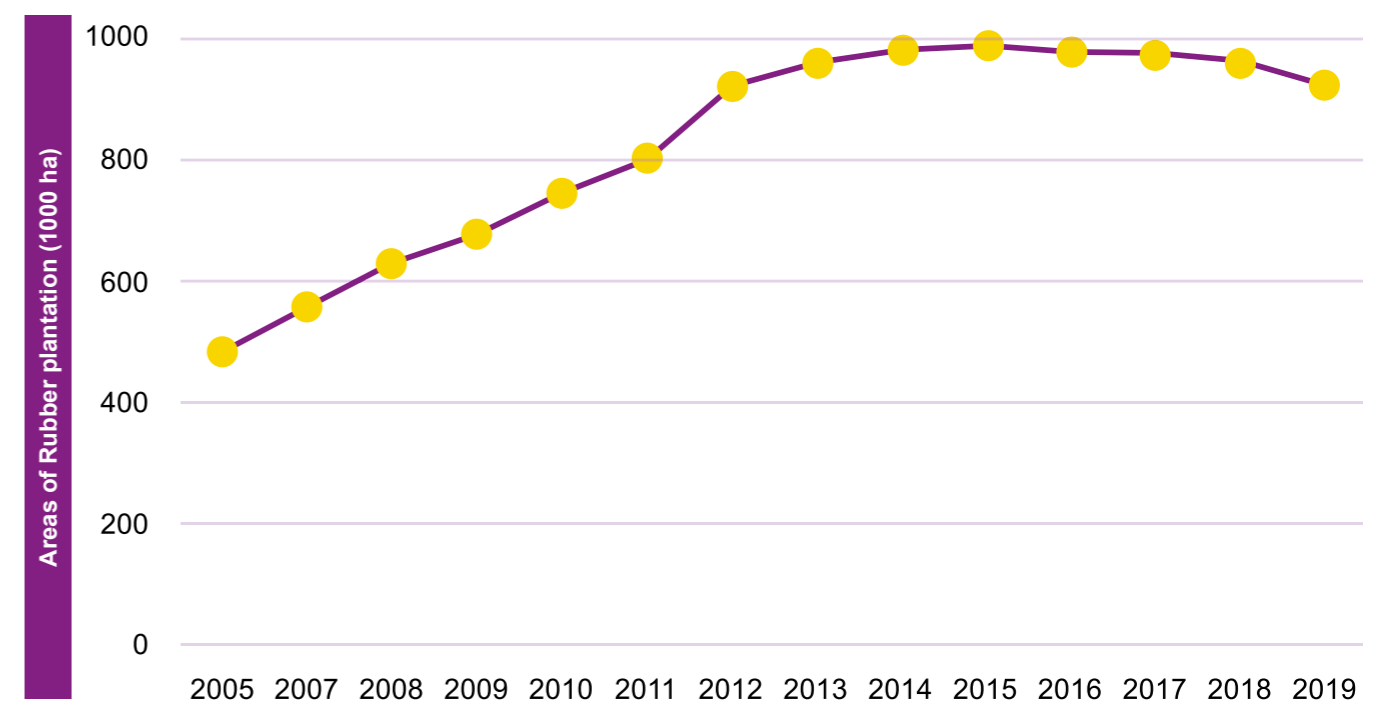
### Rubber

Viet Nam has been a country with rubber production capacity leading in Asia since 2013. Export turnover of natural rubber and rubber products of Viet Nam has increased in recent years. Statistics from the GSO indicate that rubber areas have considerably increased from 482,700 ha in 2005 to 978,900 ha in 2014 and go down to 941,800 ha in 2019 (see Figure 31). Export value of processed rubber products was about \$3.11 billion in 2020, accounting for 39.6 per cent of the total rubber industry. Rubber wood exports was \$2.36 billion, accounting for 30.1 per cent of the total rubber industry<sup>1</sup>. Viet Nam has become the world's fourth largest natural rubber exporter after Thailand, Indonesia and Malaysia.

The rising of the global natural rubber consumption has pushed Viet Nam to expand its area under rubber trees as a multipurpose crop<sup>2</sup> for the national development in economy. Considering the increasing world demand of rubber products, Viet Nam Government has promulgated a decision in 2009<sup>3</sup> to set a target of total domestic rubber area will stabilise at the level of 800,000 ha by 2015. However, as shown in Figure 31, total planted area for rubber plantation covered an area of nearly 1,000,000 ha in 2014, surpassing the plan for 2015.

Figure 31: Areas of Rubber plantation 2005-2018

Source: GSO



<sup>1</sup> <https://vietnamnews.vn/economy/939299/rubber-industry-needs-to-develop-production-chain.html>

<sup>2</sup> Decision 2855/BNN-KHCN dated 17 September, 2008 by MARD announcing the determination of Rubber plant as multi-purpose tree.

<sup>3</sup> Decision 750/QD-TTg, on June 3, 2009 by the Prime Minister approving the rubber development master plan to 2015 with vision to 2020



Land sources for rubber plantation development is to be mobilised from unproductive agricultural land and degraded natural forest. For technical support, MARD issued a technical guidance on how to plant rubber trees on forestland<sup>1</sup>. According the report on situation of conversion of forest to other purposes from 2006 to 2013 there were 260,880 ha of forests were converted to rubber since 2006; of which 231,567 ha were natural forests. The largest planned converted areas occur in the Central Highland, North Central Coast and Southeast regions (see detail at Table 28)<sup>2</sup>.

Table 28 shows that most of converted forest area under production forest type, none of converted area under Special-Use and protection forest. But in total 260,880 ha converted forest; natural forest area is 231,567 ha (accounting

for 88.8%). The largest converted area is in Central Highland (118,702 ha- 36% of total converted area in the country). Most of these forestland in the Central Highlands, including production forest, is managed by State Forest Companies (SFCs) and the availability land for rubber plantation in this area derive from this source. A study report on Rubber expansion and forest in Viet Nam described that forest land area managed by EaHleo SFC has decreased from 27,000 ha in 1980s to 8,000 ha in 2012 for rubber plantation and residential areas in EaHleo district, Daklak province (To Xuan Phuc and Tran Huu Nghi, 2014). In addition, according to Prof. Nguyen Ngoc Lung, former Director of Forestry Department, MARD “More than half of the area converted to rubber plantations is not poor forest, even rich forest”.<sup>3</sup>

### “More than half of the area converted to rubber plantations is not poor forest, even rich forest”

Prof. Nguyen Ngoc Lung, former Director of Forestry Department, MARD

Table 28: Area of forest converted to rubber between 2006 and 2013

Region	Projects	Area (ha)	Forest status				3 types of forest		
			Total	Natural	Planted	Bare	SUF	Prot.	Prodn.
<b>Total</b>	<b>460</b>	<b>327,205</b>	<b>260,880</b>	<b>231,567</b>	<b>29,309</b>	<b>66,329</b>	-	-	<b>327,205</b>
Northern mountainous region	39	37,944	26,388	15,543	10,845	11,556	-	-	37,944
North Central Coast	166	59,921	59,588	54,742	4,846	333	-	-	59,921
South Central Coast	11	60,597	37,117	32,740	4,377	23,480	-	-	60,597
Central Highlands	239	118,702	96,787	94,002	2,785	21,915	-	-	118,702
South East	5	50,041	40,996	34,540	6,456	9,045	-	-	50,041

Source: MARD 2014.

<sup>1</sup> Circular no. 127/2008/TT-BNN dated 31 December 2008 by MARD provided guidance on how to plant rubber trees on forestland

<sup>2</sup> Decision no. 829/QĐ-BNN-TCLN dated 23 April 2014 by MARD

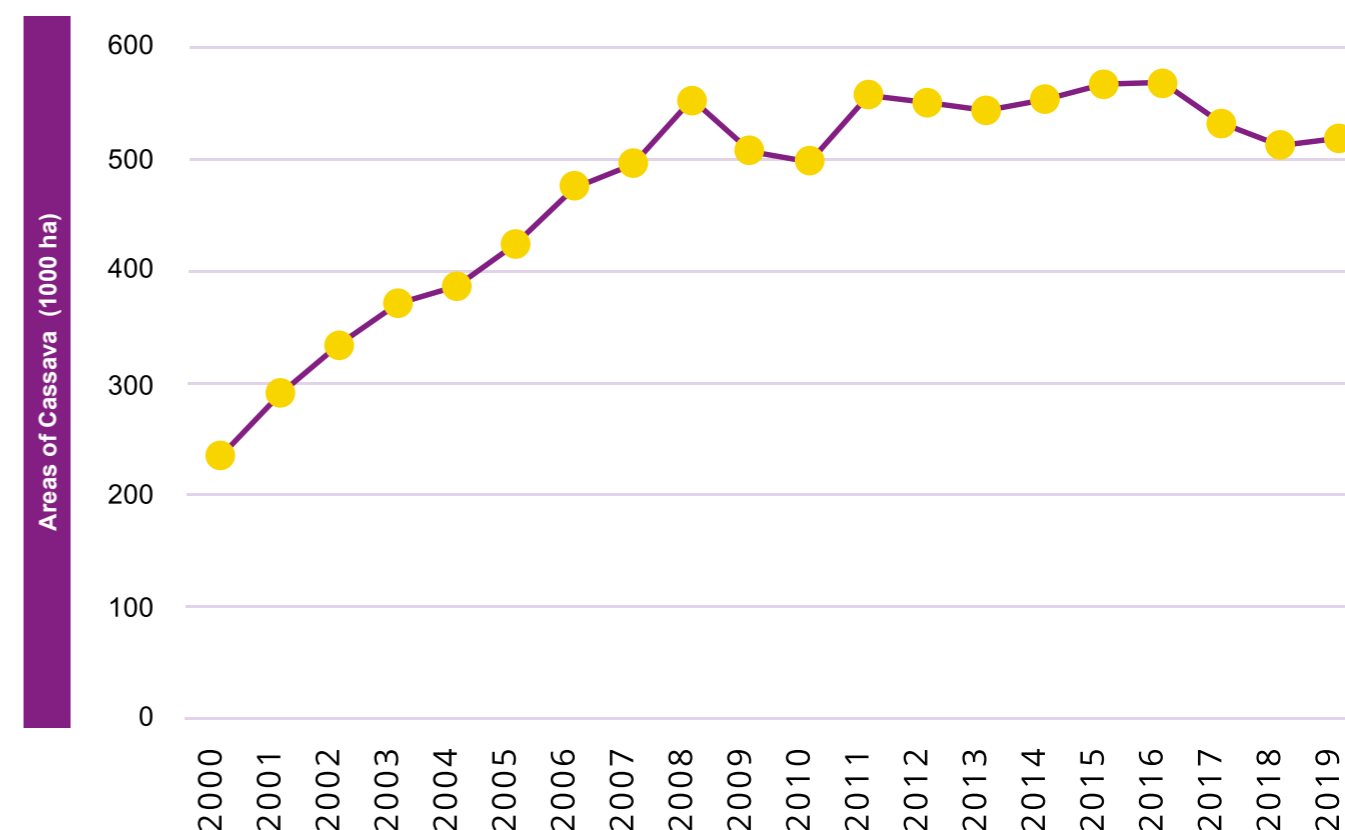
<sup>3</sup> <https://vov.vn/kinh-te/rung-chuyen-sang-trong-cao-su-co-hoan-toanngheo-kiet-330327.vov>

### Cassava

Cassava is one of the four main cash crops in rural area of Viet Nam (rice, maize, sweet potato and cassava). Cassava became a commodity crop since the period 1990-2000 and up to date Cassava is one of Viet Nam’s billion-dollar export products<sup>1</sup>, mostly for export and raw material for ethanol production. The area of cassava cultivation had been rapidly growing and became out of control in many localities. By 2016, the area cassava cultivation had reached 569,000 ha (GSO)<sup>2</sup>, more than double compared to the area in 2000 (237,000 ha), (see Figure 32). Cassava exports in 2020 reached 2.76 million tons, earning US\$ 989 million, an increase of 9% in volume and 2.4% in value compared to 2019<sup>3</sup>. This is partially due to the ease of production and not require high input farming techniques of this crop and its growing use for both domestic animal feed and as an industrial export crop, exported mainly to China.

Figure 32: Planted area of cassava between 2000 and 2019

Source: GSO



The Prime Minister Decision No. 124/QĐ-TTg of February 2, 2012 on approving the master plan on development of agricultural production to 2020 and vision for 2030 states the need to “keep a stable area of 450,000 ha of cassava to 2020: with cassava production of about 11 million tons for animal feed and biofuels in areas of land under 15-degree slopes, mainly in the northern mountains, coastal North Central, South Central, Central Highlands, the South East for cassava production”.

<sup>1</sup> <https://vietnamnews.vn/economy/463982/cassava-struggles-to-reach-export-goal.html>

<sup>2</sup> <https://www.gso.gov.vn/px-web-2/?pxid=V0630&theme=N%3C%B4ng%2C%20I%3%A2m%20nghi%E1%BB%87p%20v%3%A0%20th%E1%BB%A7y%20s%E1%BA%A3n>

<sup>3</sup> <https://en.vcci.com.vn/vietnam-gains-cassava-export-growth-in-2020>

The massive and rapid growth of cassava has shown impacts on forest resources and forest land in the localities. nearly 80% of the land is planted with commodity crops, including cassava, which is forestry land or derived from converted forest land legally or illegally through encroachment (Meyfroidt et al 2013). Rapid expansion of cassava disrupts crop planning as well as land use planning in many localities. In particular, studies on the relationship between expansion of cassava production and forest resources shows that nearly 6,087 ha (73% of allocated forests) of forest and forest land allocated to local households according to Decision no. 304/2005/QD-TTg<sup>1</sup> in Sa Thay District, Kon Tum province and 2,218 ha forest and forest land in Binh Thuan province has lost, but the main cause has encroached forest and forest land for agricultural cultivation including cassava in the period from 2004 - 2013 (Nguyen Hai Van, et al 2016).

### Subsistence agriculture

With subsistence agriculture, local farmers in mountainous focused cultivation crops to feed for their family's subsistence purpose such as dry rice, maize, bean, etc. Poverty and population pressure are threats for food security and environment risk on the existing land resources.

The rapid growth in industrial crops production for export in the last decade has directly impacted subsistence production. The conversion of agricultural lands to commercial crops can cause displacement of poorer households relying on shifting cultivation towards the forest margins. Given that the production of these crops, in particular in upland areas is for subsistence purposes the direct and indirect drivers causing

<sup>1</sup> Decision No. 304/2005/QD-TTg on the pilot allotment of forests and contractual assignment of forests for protection to ethnic minority households and communities in hamlets and villages in the Central Highland provinces.

## Threats



Rapid expansion of rubber plantations and cassava have ignored the targets on stable cultivation areas in their master plans resulted in extensive deforestation. Monocultural rubber plantations and Cassava have replaced tropical forest, causing forest ecosystem fragment and biodiversity loss.



Monoculture rubber plantations showed to harbour less than half of the species richness in various plant and animal groups compared with natural forest, and many of these species are unable to exist permanently in rubber plantations<sup>1</sup>.



Cassava production has had a effect on the biodiversity, however, the actual loss of biodiversity in this process has not been well-documented.

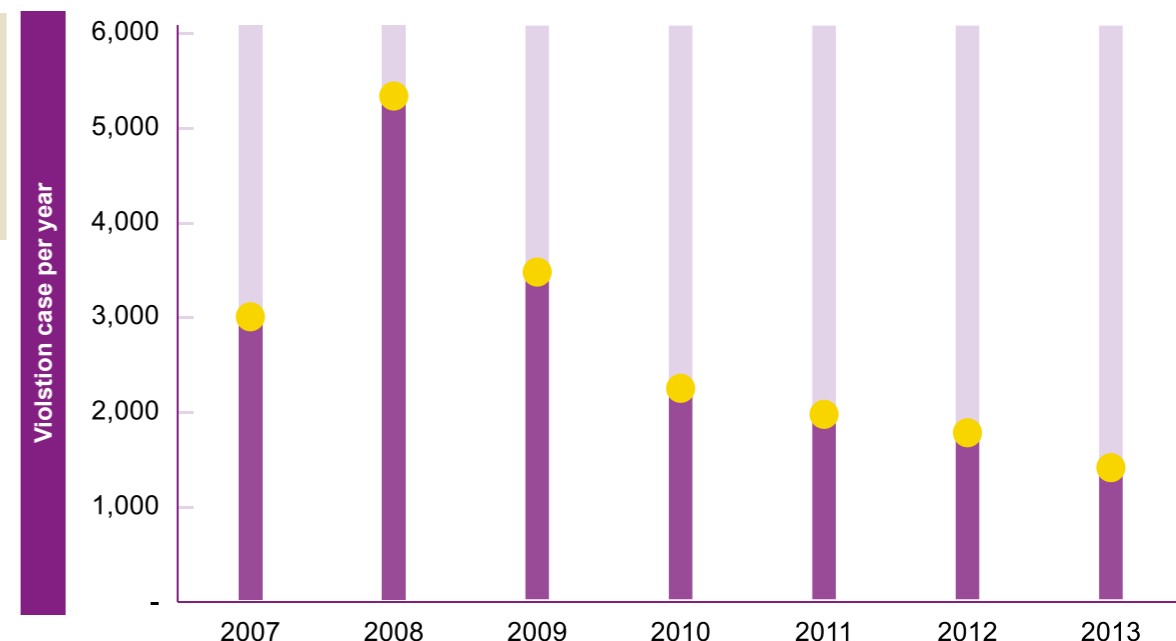
<sup>1</sup> He Pia and Martin, K. 2016. Effects of rubber cultivation on biodiversity in the Mekong Region.

expansion into forested areas which can create the direct impacts to biodiversity.

Although the subsistence crops may be the actual cause of the removal of forests it is as a result of displacement of local crops due to the expansion of commercial crops. This trend has been most clearly seen in the rapid deforestation in the Central Highlands with coffee, pepper, Macadamia production.

Statistic from FPD shows that from 2007 to 2013 the violation case of forest encroachment for shifting cultivation had rapid reduced from 3,105 cases in 2007 down to 1,423 cases by 2013 (see Figure 33).

Figure 33: Encroached forest for shifting cultivation (Source: FPD).



Although the number of violations of deforestation for agricultural cultivation has decreased sharply, however, so far on average, about 200 ha of forest are still encroached annually<sup>1</sup>. The Central Highlands provinces are still hot spots for deforestation for agriculture (from 2010-2016), due to fast growing commercial agriculture, migrant and traditional cultivation of ethnic minorities, e.g. Dak Nong province lost 8,300 ha<sup>2</sup>; in 2019, Krong Bong State Forest Company, Dak Lak province lost 7.7 ha<sup>3</sup>; from 2011-2012 there were 13.3 ha of deforested area in Dak Mon commune, Đăk Glei district, Kon Tum province<sup>4</sup>. According to an inspector official of Gia Lai province, during the inspection (2016-2020) the unit found that the most common point about the loss of natural forest in the long term was clearing for cultivation and it could not prevent<sup>5</sup>.

<sup>1</sup> <http://vacne.org.vn/rung-tay-nguyen-van-bi-tan-pha/23382.html>

<sup>2</sup> <https://baotainguyenmoitruong.vn/rung-tay-nguyen-con-dau-bai-2-rung-bi-tan-pha-chu-rung-vo-can-235756.html>

<sup>3</sup> <http://baodaklak.vn/channel/3481/201904/phant-hien-36-vu-pha-rung-lam-nuong-ray-5628240/>

<sup>4</sup> <https://www.qdnd.vn/kinh-te/cac-van-de/kon-tum-nguoi-dan-van-ngang-nhien-pha-rung-lam-ray-385788>

<sup>5</sup> <https://tuoitre.vn/gia-lai-mat-gan-8-000ha-rung-tu-nhien-nhu-the-nao-20201108080250081.htm>

## Issue/Threat



Agricultural expansion in upland areas is resulting in primary vegetation loss and fragmentation. With the current increase in population and influx of commercial agricultural prospects, the land degradation and fragmentation from agricultural expansion for food will continue to threaten the biodiversity at site level.



Slash and burn practice in agroforestry farming can have devastating effects on the biodiversity in the area. With large areas of land being incinerated, many animal habitats are lost in the fire. This pushes animals out of the forest, decreasing biodiversity, and increasing the number of endangered and extinct animal species.





**Brackish Water Shrimp Farming**

Shrimp farming is an important aquacultural business in Viet Nam, Mekong Delta in particular. This is because shrimp provides close to half of the country's revenue from seafood<sup>1</sup>. The shrimp farming is not only for domestically consumption, either it is exported all over the globe. After a nearly 40 years of development, the farming area has reached over 700,000 thousand ha in 2018, the output is nearly 690,000 tons, shrimp farming has become a key commodity product in aquaculture. Identified as the main aquaculture species, making an important contribution to the country's economic development, brackish water shrimp are being raised in 30 provinces and cities across the country and becoming a major commodity product. In the period 2000-2018, the area of shrimp farming increased from 324,100 ha to 713,100 ha<sup>2</sup> (see Figure 34). In 2020, Viet Nam's shrimp exports reached 3.85 billion USD, up 15% compared to 2019<sup>3</sup>.

Use of mangrove resources is diverse. Local people destroyed a large area of mangrove forest to make way for developments and investments in aquaculture, cutting tree for family use and trade, cutting tree for charcoal, etc. production at district and commune levels. During the period 1980 – 1990, Government of Viet Nam has encouraged Shrimp farming for export, and it became a wide-spread activity. This was often at the expense of mangrove forests, especially in southern Viet Nam (Hong and San, 1993). Expansion of aquaculture in the 1980s and 1990s resulted in the loss of about two-thirds of Viet Nam's remaining mangroves by 2000 (IUCN, 2012).

Aquaculture production has developed rapidly in the period from 2010 to 2015 in Mekong Delta area. In 2015, the total aquaculture area in Ca Mau reached 298,138ha (increasing more than 3 times compared to before), of which 268,500ha of shrimp production and extensive shrimp farming area is estimated at 75,000 ha (7.5 times higher than the end of 2010)<sup>4</sup>.

Figure 34: Brackish Shrimp Farming and Natural Mangrove Forest change 2000-2018 Sources: GSO, FPD



<sup>1</sup> VASEP 2020. Fishery industry report 2020

<sup>2</sup> <https://www.gso.gov.vn/px-web-2/?pxid=V0646&theme=N%C3%B4ng%20l%C3%A0m%20nghi%E1%BB%87p%20v%C3%A0%20th%E1%BB%A7y%20s%E1%BA%A3n>

<sup>3</sup> <https://www.mard.gov.vn/en/Pages/shrimp-exports-in-2020-reached-3-85-billion-usd.aspx>

<sup>4</sup> [https://tcnn.vn/news/detail/37309/Xay\\_dung\\_nong\\_thon\\_moi\\_o\\_tinh\\_Ca\\_Mau\\_giai\\_doan\\_2011\\_2015\\_Thanh\\_tuu\\_va\\_nhung\\_bai\\_hoc\\_kinh\\_nghiemall.html](https://tcnn.vn/news/detail/37309/Xay_dung_nong_thon_moi_o_tinh_Ca_Mau_giai_doan_2011_2015_Thanh_tuu_va_nhung_bai_hoc_kinh_nghiemall.html)

To support farmer increased area of shrimp production, Ca Mau and Kien Giang provinces allowed household and individual that are forest owner and contracted forest protection to use up to 40% (in Ca Mau<sup>1</sup>) and 30% (in Kien Giang<sup>2</sup>) of non-forested area in the allocated or contracted protection forest areas for agricultural and fishery production. This ratio provides the relation between protected mangrove forest area and aquaculture farming in the protection forest zone. There are no sufficient results available on the value of the 70:30 regulation in Kien Giang and the 40:60 regulations in Ca Mau but consequence is too many ha of mangrove forest was converted to shrimp farming in the region.

Expansion of Shrimp farming as a driver of mangrove forest clearance in coastal areas. According to the Forest Inventory and Planning Institute (FIPI), there are 205,900 ha of natural mangrove forest of which 141,700 ha of trees and 64,200 ha of shrub and 73% of the mangrove forest area (149,400 ha) distributed in Southern Delta<sup>3</sup>. However, according to the MARD's report on annual announcement of national forest status annually, the area of natural mangrove forest is only 70,684 ha in 2002 and this area decreased annual slightly to 62,072 ha by 2005 down to 60,882 ha by 2012 and fall down to 19,559 ha by 2015<sup>4</sup> (see Figure 3). To date, there is no any comprehensive study report to present how many ha of mangrove forest were converted to shrimp farming at national level.

**Issue/Threat**

- Mangrove forests play an important role in the life of millions of local people living in coastal areas. They serve as habitat nurturing many marine species that have a high economic value. However, destruction of mangrove forests for primitive extensive shrimp farming has caused harmful impacts on resources and the environment includes biodiversity,
- Rapid expansion of brackish shrimp farming led mangrove deforestation and its consequence is fragmented mangrove forest ecosystem,
- Destruction of mangrove forests for the expansion of shrimp farming has resulted a decline in local biodiversity resources (habitat loss, decrease in species of marine wildlife population such as fish, shrimp, crabs, mollusc and other aquatic organisms),
- Intensive Brackish Shrimp farming in high density in the Mekong Delta is also a cause of organic pollution in many water areas, affecting natural ecosystems and aquatic communities in these areas.

<sup>1</sup> Decision No. 19/2010/QĐ-UBND dated September 22, 2010 by the PPC of Ca Mau province on implementation of some policies for forest protection and development in Ca Mau

<sup>2</sup> Decision No. 25/2011/QĐ-UBND dated July 28, 2011 by the PPC of Kien Giang on regulations for forest planting, forest protection and use of coastal protection forest in Kien Giang

<sup>3</sup> Phan N.H and Hoang T.S Mangrove of Viet Nam 1993

<sup>4</sup> <http://www.kieclam.org.vn/Desktop.aspx/List/So-lieu-dien-bien-rung-hang-nam/>  
From 2016 to date, the term of "mangrove forest" was merged in new term called "Forest on wetland" in annual report on national forest status therefore it is difficult to identify the area of mangrove forest



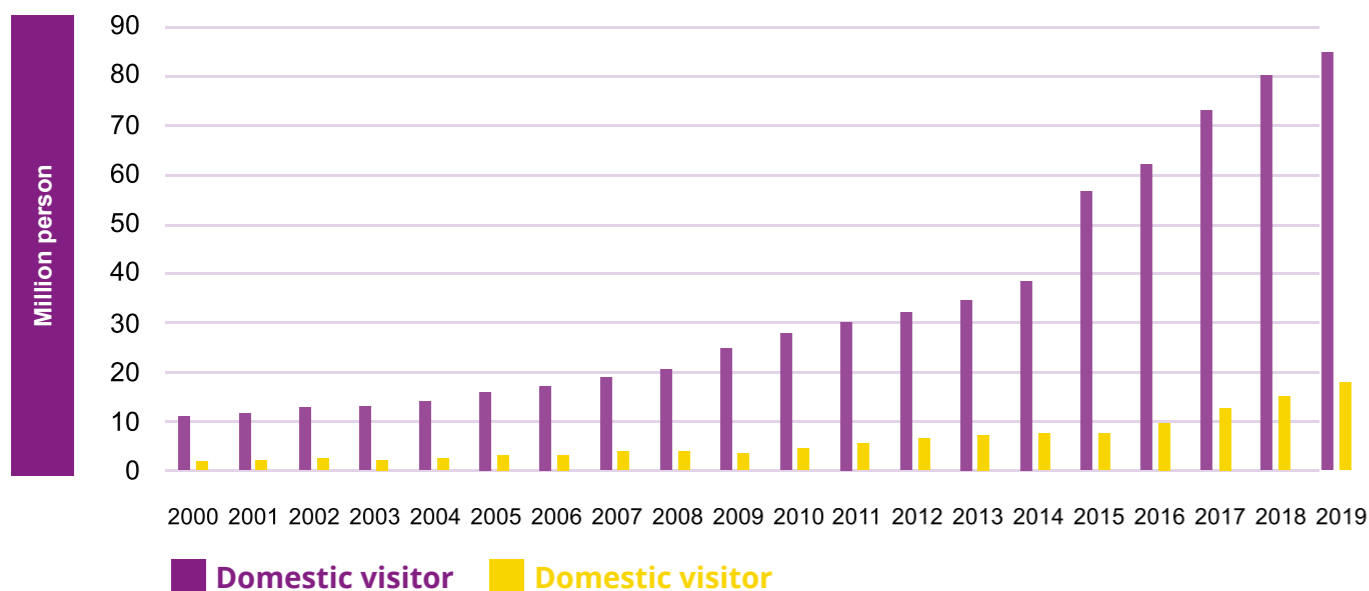
## TOURISM

Viet Nam has been recognized as an emerging tourism market in recent years. Tourism has brought tremendous benefits reflected through socio-economic indicators in this country. Besides, there are many business opportunities coming from the booming of the Vietnamese tourism market. However, the tourism sector is recently facing some huge challenges to maintain sustainable development in the coming time. In this context, a new development strategy is needed to change Viet Nam's tourism forward to a sustainable approach.

Tourism has been increasing in recent years, the statistics have clearly demonstrated a strong increase in the number of foreign and domestic visitors over time and have been particularly prone to a sharp rise over the past ten years. Besides, the growth expands in the number of both domestic and foreign tourists. In the detail, in the past twenty years (2000-2019), Viet Nam has totally welcomed 812 million tourists including 683.2 million domestic visitors (account by 84.1%) and 128.8 million foreign visitors (account by 15.9%; Figure 35).

Figure 35: Annual visitor in Viet Nam from 2000 to 2019

Source: GSO



In parallel with the increased number of visitors, the tourism revenue has also been increasing fast. According to the statistics of GSO, in 2000, the Vietnamese tourism revenue was only VND 17 trillion, it increased to VND 68 trillion in 2009 and then reached VND 726 trillion in 2019. During the period of 2000-2019 (20 years), the tourism revenue of Viet Nam was 42.5 times higher as

compared to the 2000 level<sup>1</sup>. In 2019, the tourism industry welcomed 85 million domestic tourists and 18 million foreign visitors reached a revenue of VND755,000 billion, contribute over 9.2% to the country's GDP. Tourism industry achieved a high growth rate of 22.7% per year in the period of 2015-2020.

<sup>1</sup> <https://www.gso.gov.vn/so-lieu-thong-ke/>

### Eco-tourism in special-use forests

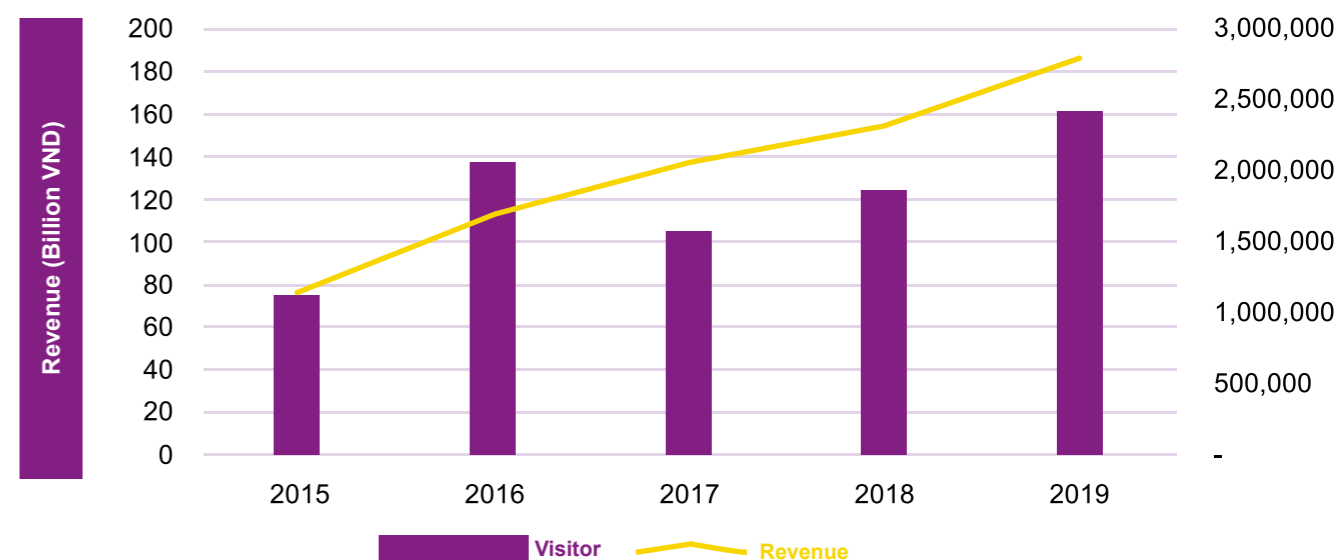
Viet Nam has great potential for ecotourism for its high biodiversity. The unique ecosystems in Viet Nam includes tropical forest ecosystem, mangrove forest ecosystem, coral ecosystem, wetland ecosystem, etc. with a rich biodiversity of fauna, flora and aquatic species.

Eco-tourism in special-use forests has grown rapidly in term of both tourists and tourism revenue recent years. Until 2019, 61 out of 164 special-use forests had organized ecotourism activities, of which 26/33 national parks (accounting for 15.85% of the total number of special-use forests and 78.8% of the national

parks). In 2015, special-use forests nationwide welcomed over 1.15 million visitors with a revenue of nearly 77.3 billion VND. This increased to 114 billion VND in 2016 and 136 billion VND in 2017. In 2019, the number of visitors special-use forests reached 2.42 million visitors (up 53% compared to 2017), revenue reached 185 billion VND, up 36% compared to 2017. National parks attracted 97.5% of total visitors on eco-tourism. National parks with high rates of visitor attraction are Cuc Phuong National Park, Phong Nha-Ke Bang National Park, Cat Tien National Park, Ba Vi National Park, etc.



Figure 36: Ecotourism in special-use forest on the 2015-2019 period (Source: MARD 2019).





## Issue/Threat



Tourism development presents benefits of favorable conditions to a specific location, like jobs, income and the establishment of certain services. However, it has also resulted environmental degradation, biodiversity declination and other adverse impacts,



Massive construction of hotels, resorts build hotels and other tourism serving infrastructure have been negatively changed of natural landscape, deforestation,



Intact forests in protected areas are heavily tramped on, vulnerable ecosystems, sea islands, caves, coral reefs, etc. are damaged by tourist activities,



Liquid and solid waste pollutes seriously the environment at the tourism sites.



High demand of wild consumption at tourism sites (NTFPs, wild animal meat, fresh fish, sea foods).





# 4

## CONCLUSIONS AND RECOMMENDATIONS

Viet Nam, officially the Socialist Republic of Vietnam, is a country of Southeast Asia located at the eastern edge of the Indochinese Peninsula. It is divided into 58 provinces, 5 municipalities, cover 330,000 km<sup>2</sup> and has a population of 96 million inhabitants. This great country also harbours an astonishing range of habitats, from rainforests and dry forests to mangroves and coral reefs and is home to an unusually rich array of plants and animals. At present, over 50,000 species have been identified, consisting of nearly 7,500 microorganisms, 20,000 terrestrial and water plants, 10,500 terrestrial animals, 2,000 invertebrates and freshwater fish, and over 11,000 marine species (UNDP, 2021). The reasons for Viet Nam's extraordinarily rich biodiversity are complex, and that complexity is captivating biodiversity scientists nowadays. As a result of this high biological diversity, the country has been ranked the 16th most biologically diverse country in the world. These diverse ecosystems provide clean water, soil stability, buffers against storms and climate shocks as well as a basis for tourism. Biodiversity conservation is an essential component of achieving sustainable and resilient development.

Although biodiversity brings direct benefits to humans, contributes to the national sustainable development strategy, and protects the environment, Viet Nam is experiencing rapid biodiversity loss due to population growth, overexploitation of natural resources, illegal logging, and the expansion and intensification of agriculture.

Figures speak for themselves: 21% of mammals are endangered, 6.5% for birds, 19% for reptiles, 24% for amphibians, 38% for fishes and 2.5% for vascular plants. No matter which tool is used to assess species and ecosystems threats, human activities always come first. Agricultural, forestry and aquaculture have significantly affected biodiversity loss in Viet Nam. **From the literature review, biological use (hunting and collecting wildlife, timber logging and harvesting aquatic resources) and forested land conversion especially for agriculture and aquaculture, appear to be the most frequent and impacted drivers of biodiversity loss.**

**FROM THE LAND USE CHANGES ANALYSIS,** it turns out that **between 2000 and 2018, forested areas are the most impacted habitat.** More than 10 544 km<sup>2</sup> of forested areas (encompassing the following classes: forest, evergreen broadleaf, mixed forest) have disappeared and they have been **mainly converted into plantations and orchards (4855 km<sup>2</sup>) and croplands (5028 km<sup>2</sup>).** Indeed, during the last 20 years, 2.8 million hectares of natural forests were lost because of land conversion to other commodity areas. Mangroves, lagoons, and coastal tidal flats are habitats impacted because of intensive aquaculture areas. Finally, **STAR analysis** highlights that the two main threats impacting biodiversity in Viet Nam, at the threatened species level, were: (1) **Annual and perennial non timber crops,** (2) **Logging and wood harvesting.**

**Therefore, the agriculture and forestry sectors, including aquaculture, should be selected for an in-depth analysis aiming at developing voluntary national and sectoral commitments to relieve pressures on biodiversity.**



## 4.1. Ten overall recommendations for mainstreaming biodiversity in policies, activities and ensuring its conservation

Numerous recommendations could be listed to address the biodiversity loss by the economic sectors due to the wide diversity of the stakeholders impacting directly and indirectly species and ecosystems. However, no study having comprehensively identified and quantified every single biodiversity economic sectors' impacts, a selection of ten recommendations is provided hereinafter, based on the outcomes from this assignment.

**First, the most prominent threats from the economic sectors to biodiversity is land use conversion.** Therefore, it is critical to enforce sustainable land use planning policies as well as concrete policies and law enforcement to strictly control forest land conversion. This requires: (i) to review and complete regulations and policies on forest inventory for land use conversion purposes; (ii) to provide detailed guides on biodiversity assessment and environmental impact assessment in the documents to apply for land use conversion; (iii) EIA to be strictly enforced in all stages from the assessment itself, consultation, appraisal and making decision for approval to the project implementation of the project owner after being approved. In this process, it is important to provide detailed requirements on biodiversity assessment. As such, it is required to have a much better understanding of biodiversity and biodiversity threats to provide more detailed guidelines, requirements and criteria on biodiversity assessment in EIA (Nguyen and Nguyen, 2016). Similarly, biodiversity assessment must be strictly implemented and the results must be carefully



considered in Strategic Environmental Assessment (SEA). Capacity building in EIA should also be developed to better conduct the assessment. Future policies to promote economic development should be deliberately developed and take into account environmental and biodiversity consequences and strictly and effectively enforced.

**Second, for areas already being converted** to intensive agriculture, aquaculture and monoculture plantations, it is strongly recommended to have policies that promote sustainable management practices e.g., sustainable agriculture, integrated landscape management, sustainable management certifications to improve sustainable biodiversity management and reduce environmental impacts.

**Third, regulations on biodiversity conservation should be specifically targeted in Laws,** yet they remain absent in many laws e.g., Law on Land (2013), Mineral Law (2010), Law on Enterprise (2020), Investment Law (2021), Public Investment Law (2019), Construction Law (2020) and Law on Credit Institutions (2010). More policy documents related to these laws should be issued to integrate biodiversity stakes and regulate biodiversity conservation.

**Fourth, planning for biodiversity conservation** for 2021-2030 with a vision to 2050 needs to address weak points of the previous planning period e.g., the integration, compatibility with the planning of other sectors.

**Fifth, impacts of economic sectors on biodiversity** need to be systematically monitored and documented to regulate appropriate commitments and activities of the economic sectors over biodiversity. Impact indicators should be used for monitoring the economic sectors. Remote sensing tools and STAR metrics can support the determination of values for these indicators.

**Sixth, biodiversity conservation should be mainstreamed** in policies of all government levels and more concrete commitments on biodiversity conservation should be seen in the related policies of economic sectors. Information on biodiversity and threatened species in different locations should be published or shared with relevant agencies to aid the conservation and EIA.

**Seventh, there should be policies to promote the participation** of the private sectors, civil society organizations, and local communities in biodiversity conservation.

**Eighth, there is a need to have better coordination on biodiversity conservation** among organizations of the central government and lower-level management organizations.

**Ninth, biodiversity conservation should be clearly integrated** in strategy and development plans of the private sectors.

**Tenth, more biodiversity related incentives and more financial investments tools** should be mobilized for farmers, fishermen, forest managers, and more broadly for the private sector to ensure a smooth transition from the current business model towards biodiversity-friendly business model.



## 4.2. Specific recommendations for the economic sectors

According to the 5-year social development plan formulation cycle. The period of 2016-2020 has ended, the period of 2021-2025 is beginning. Therefore, too many sector's policies have been expired and the new policies are under development. In the bridging time it is necessary to update the real situation to revise or adjust the new coming policies accordingly. Following are some specific recommendations for sectors.



### Forestry

- It is necessary to update and finalise legal framework on forest assessment for changing forest use purpose. Specifically, biodiversity should be included as one of the requirements of forest inventory for considering the conversion.
- It is necessary to have a policy on Enrichment planting in Special-Use forests. According to current policies, this activity is not allowed implement in protected areas, however, in fact there is a number of endemic native plant species just fits site conditions where they are growth before,
- When developing new policy to support communities in buffer zone of protected area it is need to include communities live in or next to protection forests,
- The supported budget for planting special-use forest, protection forest, coastal protection forest should be an investment amount not a subsidy amount as in existing policies. The investment norms need to update according to the new economic circumstances,
- Update new cost-norm for forest protection. With the current norm VND300,000 – VND 400,000 is low,
- It is necessary to have a guideline for the development of sustainable NTFPs harvesting

planning, including a regime for reporting the annual volume of NTFPs harvested,

- Pay more attention in the allocation of state budget for biodiversity-related such as biodiversity inventory; establishment of the biodiversity database system; scientific research on biodiversity; modern equipment for biodiversity monitoring; and other infrastructural biodiversity facilities,
- Strengthening Political agreements on bilateral and multilateral cooperation and promote cooperation with neighboring countries to control illegal logging and trade (timber and Wildlife) and promote biodiversity conservation,
- Provide the necessary legal education and advice support to communities to be aware of legal rights and available redress mechanisms related biodiversity.
- Need to have more detailed assessments on the scale and impacts of activities in forestry sector on biodiversity at the national scale to develop voluntary commitments to reduced negative impacts on biodiversity and restore nature.



### Agriculture

- It is necessary to have financial mechanisms and related guidelines to promote development of sustainable and deforestation-free agricultural production,
- Introduce and implement policies on promoting

the adoption of Green Agriculture/ organic and ecological-based agriculture through environmental science research and transfer and promote international certification for agricultural commodities,

- Introduce and promoting a Production Traceability System for Agricultural Products to ensure the environment and biodiversity are secured.



### Fishery

- To continue study to better understand the scale and impacts of fishery and aquaculture on biodiversity and from that to develop appropriate models to reduce pollution and negative impacts on biodiversity.
- It is necessary to have a financial mechanisms and related guidelines to promote development of sustainable and deforestation-free aquaculture production,
- It is necessary to have a detail guideline to promote brackish Shrimp farming to get Certification (Natureland, Best Aquaculture Practices (BAP), Aquaculture Stewardship Council (ASC), Global GAP).



### Tourism

- It is necessary to have appropriate mechanism of collaboration between authorities and various sectors in the development of policies and ecotourism planning. Tourism industry is related to numerous sectors, so it requires a close cooperation between stakeholders for its development (Construction, Forestry, Energy),
- It is necessary to develop a mechanism and its guidelines to encourage local communities to invest and participate in Eco-tourism activities

in protected areas. Most of community-based Eco-tourism activities in protected areas are spontaneous without specific products, target markets and region tourism planning,

- Finalization of policies on Capacity building training for staff working in protected areas with Eco-tourism Management and Marketing Skills includes provide visitors with basic knowledge of the ecosystem of forest ecosystem, fauna and flora species particular with the endemic species at the region,
- Need to issue the specific regulation and guidelines for implementation of organizing joint ventures, tourism business association or leasing forest environment makes tourism business in some national parks and protected areas according to Decree 156/ND-CP,
- Promote ecofriendly practices of ecotourism in prioritized sites as a means to address poverty alleviation and biodiversity conservation goals.
- It is recommended that relevant ministries and government agencies need to complete and strongly enforce legal frameworks, tools and guidelines for evaluating ecosystem services and mainstreaming them into integrated Land-Use Planning.
- To further strengthen communication and environmental education about threatened species in protected areas such as the National Parks and Nature Reserves in order to widely publicize and raise awareness on biodiversity stakes and values
- To encourage people and economic sectors to participate in the development and implementation of a more effective plan for natural resource management and biodiversity conservation.

**It is needed to clearly stipulate responsibilities for publishing environmental impact assessment report (EIA) results in accordance with the Law on Environmental Protection 2020, and allow the access to that documents.**



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# ANNEXES





# ANNEX 2

## List of documents for literature review related to Appendix 1 information.

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346. [https://pdf.usaid.gov/pdf\\_docs/PA00TSFQ.pdf](https://pdf.usaid.gov/pdf_docs/PA00TSFQ.pdf)
347. <https://www.thiennhienviet.org.vn/sourcebook/pdf/Tay%20bac/Sop%20Cop.pdf>
348. <http://takou.org.vn/vi-vn/tintuc-4961-khu-bao-ton-thien-nhien-ta-kou-mot-vung-co-tinh-da-dang-sinh-hoc-quan-trong-o-vu.aspx>
349. <https://www.researchgate.net/profil>



# ANNEX 3

## IUCN Threats classification scheme (Version 3.0)

First and second levels	Third level
<b>1. Residential &amp; commercial development</b>	<b>2. Agriculture &amp; Aquaculture</b>
1.1 Housing & urban areas 1.2 Commercial & industrial areas 1.3 Tourism & recreation areas 1.4 Village settlements	2.1.1 Shifting agriculture 2.1.2 Small-holder farming 2.1.3 Agro-industry farming 2.1.4 Scale Unknown/Unrecorded 2.2.1 Small-holder plantations 2.2.2 Agro-industry plantations 2.2.3 Scale unknown/unrecorded 2.3.1 Nomadic grazing 2.3.2 Small-holder grazing, ranching or farming 2.3.3 Agro-industry grazing, ranching or farming 2.3.4 Scale Unknown/Unrecorded 2.4.1 Subsistence/artisanal aquaculture 2.4.2 Industrial aquaculture 2.4.3 Scale Unknown/Unrecored
<b>2. Agriculture &amp; aquaculture</b>	
2.1 Annual & perennial non-timber crops 2.2 Wood and pulp plantations 2.3 Livestock farming & ranching 2.4 Marine & freshwater aquaculture	
<b>3. Energy production &amp; mining</b>	
3.1 Oil & gas drilling 3.2 Mining & quarrying 3.3 Renewable energy	
<b>4. Transportation &amp; service corridors</b>	
4.1 Roads & railroads 4.2 Utility & service lines 4.3 Shipping lanes 4.4 Flight paths	
<b>5. Biological resource use</b>	<b>5. Biological resource use</b>
5.1. Hunting & trapping terrestrial animals 5.2. Gathering terrestrial plants 5.3. Logging & wood harvesting 5.4. Fishing & harvesting aquatic resources	5.1.1 Intentional use (species in the target) 5.1.2. Unintentional effects (species is not the target) 5.1.3 Persecution/control 5.1.4 Motivation Unknown/Unrecorded 5.2.1 Intentional use (species in the target) 5.2.2 Unintentional effects (species is not the target) 5.2.3 Persecution/control 5.2.4 Motivation Unknown/Unrecorded 5.3.1. Intentional use (subsistence/small scale) 5.3.2 Intentional use (large scale) 5.3.3 Unintentional effects (subsistence/small scale) 5.3.4 Unintentional effects (large scale) 5.3.5 Motivation Unknown/ Unrecorded 5.4.1 Intentional use (subsistence/small scale) 5.4.2 Intentional use (large scale) 5.4.3 Unintentional effects (subsistence/small scale) 5.4.4 Intentional use (large scale) 5.4.5 Persecution/ control 5.4.6 Motivation Unknown/ Unrecorded
<b>6. Human intrusion &amp; disturbance</b>	
6.1 Recreation activities 6.2 War, civil unrest & military exercises 6.3 Work & other activities	
<b>7. Natural system modifications</b>	
7.1 Fire & fire suppression 7.2 Dams & water management/ use 7.3 Other ecosystem modifications	
<b>8. Invasive non-native/alien species</b>	
8.1 Invasive non-native/alien species 8.2 Problematic native species 8.3 Introduced genetic material 8.4 Problematic species/diseases of unknown origin 8.5 Viral/prion-induced diseases 8.6. Diseases of unknown cause	
<b>9. Pollution</b>	<b>7. Natural system modifications</b>

- 9.1 Domestic & urban waste water
- 9.2 Industrial military effluents
- 9.3 Agricultural & forestry effluents
- 9.4 Garbage & solid waste
- 9.5 Air-bone pollutants
- 9.6 Excess energy

### 10. Geological events

- 10.1 Volcanoes
- 10.2 Earthquakes/ tsunamis
- 10.3 Avalanches/landslides

### 11. Climate change & severe weather

- 11.1 Habitat shifting & alternation
- 11.2 Droughts
- 11.3 Temperature extremes
- 11.4 Storms & flooding
- 11.5 Other impacts

- 7.1.1 Increase in fire frequency/intensity
- 7.1.2 Suppression in fire frequency/intensity
- 7.1.3 Trend Unknown/ Unrecorded
- 7.2.1 Abstraction of surface water (domestic use)
- 7.2.10 Large dams
- 7.2.11 Abstraction of surface water (commercial use)
- 7.2.2 Abstraction of surface water (commercial use)
- 7.2.3 Abstraction of surface water (agriculture use)
- 7.2.4 Abstraction of surface water (unknown use)
- 7.2.5 Abstraction of ground water (domestic use)
- 7.2.6 Abstraction of ground water (commercial use)
- 7.2.7 Abstraction of ground water (agriculture use)
- 7.2.8 Abstraction of ground water (unknown use)
- 7.2.9 Small dams

# ANNEX 4

## Detailed land cover and land use changes analysis between 2000 and 2018 at country level

Area in Km <sup>2</sup>	In 2018																
	Surface Water	Mangroves	Flooded Forest	Forest	Orchard or Plantation Forest	Evergreen Broadleaf	Mixed Forest	Urban and Built Up	Cropland	Rice	Mining	Barren	Wetlands	Grassland	Shrubland	Aquaculture	Total
<b>In 2000</b>																	
<b>Surface Water</b>	6 617.28	6.72	1.92	9.60	96.64	12.16	1.60	56.32	44.16	140.48	-	15.36	102.72	-	-	42.24	7 147.20
<b>Mangroves</b>	16.32	1 101.12	0.96	-	1.60	0.32	-	6.72	-	20.16	-	0.64	6.40	-	-	185.28	1 339.52
<b>Flooded Forest</b>	8.64	0.96	787.52	0.64	122.24	9.60	-	8.32	0.32	888.96	-	1.60	384.96	-	-	397.44	2 611.20
<b>Forest</b>	117.12	-	0.96	35 516.48	2 597.76	731.20	825.92	25.60	3 240.96	2.24	0.96	6.40	6.72	1 427.20	18.24	1.28	44 519.04
<b>Orchard or Plantation Forest</b>	154.24	1.60	14.40	966.08	54 231.04	130.56 <sup>2</sup>	200.96	114.24	975.04	34.24	0.32	45.12	19.20	4.80	2.24	0.64	58 894.72
<b>Evergreen Broadleaf</b>	23.68	1.28	2.24	1 247.04	1 389.76	815.68 <sup>69</sup>	638.72	10.56	81.92	2.88	0.64	7.04	1.28	504.96	8.00	-	73 735.68
<b>Mixed Forest</b>	47.68	-	-	4 655.04	2 257.28	388.80 <sup>3</sup>	21 472.64	8.64	1 787.84	-	0.64	3.52	0.64	660.48	32.96	-	34 316.16
<b>Urban and Built Up</b>	7.68	-	-	3.84	66.88	3.52	0.32	2 926.08	25.60	41.28	-	15.36	1.28	2.88	-	3.20	3 097.92
<b>Cropland</b>	99.84	-	1.28	141.44	7 205.76	137.28	79.04	261.76	25 360.00	246.40	-	89.60	12.80	32.32	3.52	0.32	33 671.36
<b>Rice</b>	112.00	1.92	15.04	1.28	1 168.32	7.04	-	1 227.52	468.16	52 421.12	-	206.72	33.92	-	-	377.92	56 040.96
<b>Mining</b>	-	-	-	0.32	0.64	-	0.32	0.32	-	-	159.36	-	-	-	-	-	160.96
<b>Barren</b>	17.60	0.32	-	1.60	84.48	2.88	-	118.08	78.72	206.72	-	1 744.32	4.80	-	1.28	3.52	2 264.32
<b>Wetlands</b>	26.88	0.32	2.24	0.96	32.32	0.96	0.32	0.96	10.88	52.80	-	3.84	490.24	-	-	7.68	630.40
<b>Grassland</b>	-	-	-	6.08	-	13.12	0.32	0.32	3.84	-	-	-	-	2 501.12	-	-	2 524.80
<b>Shrubland</b>	-	-	-	0.64	3.52	0.64	0.32	-	0.64	-	-	1.92	-	-	24.96	-	32.64
<b>Aquaculture</b>	18.56	8.00	0.64	-	3.52	0.96	-	13.44	0.64	162.24	-	2.88	8.32	-	-	7 928.64	8 147.84
<b>Total</b>	7 267.52	1 185.60	827.20	42 551.04	69 261.76	254.72 <sup>76</sup>	23 220.48	4 778.88	32 078.72	54 219.52	161.92	2 144.32	1 073.28	5 133.76	91.20	8 948.16	329 212.48
<b>% Evolution</b>	0.02	-	0.11	-	0.18	0.03	-	0.54	-	0.05	0.03	0.01	0.05	0.70	1.03	1.79	0.10



# ANNEX 5

Detailed land cover and land use changes analysis between 2000 and 2010 at country level.

Area in Km <sup>2</sup>	In 2018																
	Surface Water	Mangroves	Flooded Forest	Forest	Orchard or Plantation Forest	Evergreen Broadleaf	Mixed Forest	Urban and Built Up	Cropland	Rice	Mining	Barren	Wetlands	Grassland	Shrubland	Aquaculture	Total
<b>In 2000</b>																	
<b>Surface Water</b>	6 617.28	6.72	1.92	9.60	96.64	12.16	1.60	56.32	44.16	140.48	-	15.36	102.72	-	-	42.24	7 147.20
<b>Mangroves</b>	16.32	1 101.12	0.96	-	1.60	0.32	-	6.72	-	20.16	-	0.64	6.40	-	-	185.28	1 339.52
<b>Flooded Forest</b>	8.64	0.96	787.52	0.64	122.24	9.60	-	8.32	0.32	888.96	-	1.60	384.96	-	-	397.44	2 611.20
<b>Forest</b>	117.12	-	0.96	35 516.48	2 597.76	731.20	825.92	25.60	3 240.96	2.24	0.96	6.40	6.72	1 427.20	18.24	1.28	44 519.04
<b>Orchard or Plantation Forest</b>	154.24	1.60	14.40	966.08	54 231.04	2 130.56	200.96	114.24	975.04	34.24	0.32	45.12	19.20	4.80	2.24	0.64	58 894.72
<b>Evergreen Broadleaf</b>	23.68	1.28	2.24	1 247.04	1 389.76	69 815.68	638.72	10.56	81.92	2.88	0.64	7.04	1.28	504.96	8.00	-	73 735.68
<b>Mixed Forest</b>	47.68	-	-	4 655.04	2 257.28	3 388.80	21 472.64	8.64	1 787.84	-	0.64	3.52	0.64	660.48	32.96	-	34 316.16
<b>Urban and Built Up</b>	7.68	-	-	3.84	66.88	3.52	0.32	2 926.08	25.60	41.28	-	15.36	1.28	2.88	-	3.20	3 097.92
<b>Cropland</b>	99.84	-	1.28	141.44	7 205.76	137.28	79.04	261.76	25 360.00	246.40	-	89.60	12.80	32.32	3.52	0.32	33 671.36
<b>Rice</b>	112.00	1.92	15.04	1.28	1 168.32	7.04	-	1 227.52	468.16	52 421.12	-	206.72	33.92	-	-	377.92	56 040.96
<b>Mining</b>	-	-	-	0.32	0.64	-	0.32	0.32	-	-	159.36	-	-	-	-	-	160.96
<b>Barren</b>	17.60	0.32	-	1.60	84.48	2.88	-	118.08	78.72	206.72	-	1 744.32	4.80	-	1.28	3.52	2 264.32
<b>Wetlands</b>	26.88	0.32	2.24	0.96	32.32	0.96	0.32	0.96	10.88	52.80	-	3.84	490.24	-	-	7.68	630.40
<b>Grassland</b>	-	-	-	6.08	-	13.12	0.32	0.32	3.84	-	-	-	-	2 501.12	-	-	2 524.80
<b>Shrubland</b>	-	-	-	0.64	3.52	0.64	0.32	-	0.64	-	-	1.92	-	-	24.96	-	32.64
<b>Aquaculture</b>	18.56	8.00	0.64	-	3.52	0.96	-	13.44	0.64	162.24	-	2.88	8.32	-	-	7 928.64	8 147.84
<b>Total</b>	7 267.52	1 185.60	827.20	42 551.04	69 261.76	76 254.72	23 220.48	4 778.88	32 078.72	54 219.52	161.92	2 144.32	1 073.28	5 133.76	91.20	8 948.16	329 212.48
<b>% Evolution</b>	0.02	- 0.11	- 0.68	- 0.04	0.18	0.03	- 0.32	0.54	- 0.05	- 0.03	0.01	- 0.05	0.70	1.03	1.79	0.10	

# ANNEX 6

Detailed land cover and land use changes analysis between 2010 and 2018 at country level.

EVOLUTION 2010 - 2018																	
Area in Km2	in 2018																
	Surface Water	Mangroves	Flooded Forest	Forest	Orchard or Plantation Forest	Evergreen Broadleaf	Mixed Forest	Urban and Built Up	Cropland	Rice	Mining	Barren	Wetlands	Grassland	Shrubland	Aquaculture	TOTAL
<b>in 2010</b>																	
<b>Surface Water</b>	6845.44	5.76	1.6	7.04	83.52	10.56	2.88	30.4	31.68	63.36	0	8.32	72.32	0	0	24.64	7187.52
<b>Mangroves</b>	6.4	1097.92	0.64	0	1.92	0.32	0	1.28	0	7.36	0	0.32	3.2	0	0	47.36	1166.72
<b>Flooded Forest</b>	5.12	0.96	784	0.64	104.96	9.28	0	6.4	0.64	100.48	0	1.28	29.12	0	0	14.72	1057.6
<b>Forest</b>	72.64	0	0.96	40125.12	1430.72	806.08	1259.52	10.56	975.36	1.92	0.96	4.8	5.12	623.04	10.24	0.96	45328
<b>Orchard or Plantation Forest</b>	78.72	1.6	16	708.16	59100.16	1723.52	189.76	36.16	414.08	25.6	0.32	28.16	22.4	4.48	2.24	0.64	62352
<b>Evergreen Broadleaf</b>	21.76	1.28	2.24	401.28	1187.2	72554.56	801.28	6.4	50.88	2.24	0.32	5.76	0.64	264.64	7.68	0	75308.16
<b>Mixed Forest</b>	29.44	0	0	1139.84	1535.04	1004.48	20843.52	3.84	400	0	0	1.28	0.32	224.96	20.16	0	25202.88
<b>Urban and Built Up</b>	10.56	0	0.96	2.88	78.72	2.88	0.64	4137.92	33.92	83.2	0	24.64	1.92	2.88	0	3.84	4384.96
<b>Cropland</b>	83.2	0	0.64	151.68	4832.64	120	115.2	121.92	29650.24	77.44	0	57.6	11.2	28.48	3.52	0	35253.76
<b>Rice</b>	69.12	1.6	13.44	0.96	792.64	7.04	0	379.52	468.16	53682.56	0	168.32	23.04	0	0	165.44	55771.84
<b>Mining</b>	0	0	0	0	0.32	0	0.32	0.64	0	0	160.32	0	0	0	0	0	161.6
<b>Barren</b>	8.96	0.32	0	0.96	81.6	3.52	0	35.84	40	54.4	0	1838.72	3.84	0	0.96	0.96	2070.08
<b>Wetlands</b>	20.16	0	5.76	0.64	24.96	0.64	0.32	0.96	4.8	54.4	0	2.24	891.2	0	0	13.12	1019.2
<b>Grassland</b>	0	0	0	10.88	0	8	4.8	0	8	0	0	0	0	3985.28	0	0	4016.96
<b>Shrubland</b>	0	0	0	0.96	3.84	2.88	2.24	0	0.32	0	0	1.28	0	0	46.4	0	57.92
<b>Aquaculture</b>	16	12.8	0.96	0	3.52	0.96	0	7.04	0.64	66.56	0	1.6	8.96	0	0	8676.48	8795.52
<b>TOTAL</b>	7267.52	1185.6	827.2	42551.04	69261.76	76254.72	23220.48	4778.88	32078.72	54219.52	161.92	2144.32	1073.28	5133.76	91.2	8948.16	329212.48
<b>% Evolution</b>	1.11%	1.62%	-21.79%	-6.13%	11.08%	1.26%	-7.87%	8.98%	631.56%	-2.78%	0.20%	3.59%	5.31%	27.80%	57.46%	1.74%	



# ANNEX 7

## Economic sectors commitments on biodiversity and possibility of achievement.

Orientation/Commitment	Primary source	Regulatory and resource framework	Possibility of achievement or obstacle
<p><b>Forestry</b></p> <ul style="list-style-type: none"> <li>- Establishing, managing, protecting, sustainable development and use of 16.24 million ha of land planned for forestry; increase the percentage of forested land to 42–43% by 2010 and 47% by 2020; ensure broad participation of economic sectors and social organizations in forestry development to contribute increasingly to socio-economic development, protect ecological environment, conserve biodiversity and provide environmental services, contribute to poverty reduction, improve living standards for rural mountainous people and maintain national security and defense’.</li> <li>- By 2030, 100% of forest areas of forest owners will be organizations and sustainably managed; in a period of 2021 - 2025, 10% and a period of 2026 - 2030, 20% of the natural forest area will be upgraded with quality; improve efficiency of biodiversity conservation and protection capacity of forests, minimize violations of forestry law, ensure environmental security</li> <li>- To conserve and sustainably develop important natural eco-systems, values of natural landscapes, historical and cultural relics and scenic places and endangered, precious and rare species in the special-use forest system- to increase the total area of special-use forests from 2.2 million ha.</li> <li>- To complete mechanisms and policies on organizational structure, human resource and financial development to meet the requirements of sustainable management and development of natural resources in protected areas;</li> <li>- Minimize the conversion of natural forest use purposes to non-forestry purposes</li> <li>- Promote the link between conservation and development with the active participation of stakeholders in forest management.</li> <li>- Strengthen and develop special-use forests system, conserve and promote the value of forest tree genetic resources, forest resources and biodiversity, meeting the requirements of maintaining ecological balance and sustainable forestry development.</li> </ul>	<ul style="list-style-type: none"> <li>- Forestry Law 2017</li> <li>- Directive 13-CT/TW dated January 12, 2017 —Enhancing Party's leadership in forest management, protection and development</li> <li>- Decision No. 1976/QĐ-TTg dated 30/10/2014 by the prime Minister approving special-use forest system of the country by 2020 vision to 2030.</li> <li>- Resolution No. 71/NQ-CP of August 8, 2017, promulgating the Government's Program of Action for implementation of Directive No.13-CT/TW of January 12, 2017</li> <li>- Decision No. 523/QĐ-TTg dated April 1, 2021 of the Prime Minister approving Viet Nam's forestry development strategy for the 2021 - 2030 period, with a vision to 2050 (VFDS 2021-2030)</li> </ul>	<ul style="list-style-type: none"> <li>- Decision No. 523/QĐ-TTg dated April 1, 2021 by the PM approving VFDS 2021-2030 with a vision to 2050.</li> <li>- Decision No. 419/QĐ-TTg of Prime Minister dated 5 April 2017 on the Reduction of Green-house Gas Emissions through the reduction of Deforestation and Forest Degradation, Sustainable Management of Forest Resources, and Conservation and Enhancement of Forest Carbon Stocks (NRAP).</li> <li>- Decision No. 626/QĐ-TTg dated 10/5/2017 by the Prime Minister, on approving the Plan to Strengthen Management Capacity of the Protected Area System to 2025, Vision to 2030;</li> </ul> <p><b>Forest protection:</b> Contracted forest protection: - VND300,000/ha/year (Decision No. 38/2016/QĐ-TTg dated September 14, 2016) - 400,000 VND/ha/year up to 30 ha per household for ethnic minority household located in remote mountainous area (Decree No. 75/2015/ND-CP dated September 9, 2015) - VND100.000/ha/year for the entire forested area of the forest owner (Decision No. 24/2012/QĐ-TTg dated June 01, 2012). - VND 100,000/ha/year for natural forest area under temporary management of the Communal People Committee (CPC)- (Decision No. 07/2012/QĐ-TTg dated 08/02/2012)</p> <p><b>Forest development:</b> <u>Planting protection and special-use forests:</u> - VND 30 million/ha (Decision No. 38/2016/QĐ-TTg dated September 14, 2016); (Decree No. 75/2015/ND-CP dated September 9, 2015) <u>Assisted natural regeneration:</u> - VND 3 million/ha/6 years (without additional planting) - VND 6.6 million/ha/6 years (with additional planting) (Decision No. 38/2016/QĐ-TTg dated September 14, 2016) <u>Buffer zone development:</u> - VND 40 million/village/year for community living in buffer zone implementing co-management of special-use forest (Decision No. 24/2012/QĐ-TTg dated June 01, 2012). <u>Infrastructural investment for Management Board of Special-use forest.</u> - State budget fund invested to MB of special-use forest in building essential infrastructure facilities and physical foundation for operation of the forest management and protection and for biodiversity monitoring.</p>	<ul style="list-style-type: none"> <li>- The state budget only secured the payment of salaries to the forest management and protection forces in the management boards of special-use forests and protection forests. There is a number of localities can't allocate fund for silviculture activities and biodiversity conservation in protected areas.</li> <li>- Supported fund for silvicultural activities (Decision No. 38/2016/QĐ-TTg) such as planting special-use and protection forests, assisted natural regeneration is low (it is a subsidy, not an investment), so many protected areas cannot be deployed these activities.</li> <li>- The policy on buffer zone development (Decision No. 24/QĐ-TTg) was terminated in 2020, therefore, it is necessary to have a new policy replaces Decision No. 24/QĐ-TTg).</li> <li>- The Decision No.24/QĐ-TTg did not mention community living in or next to protection forests.</li> <li>- Lack of funds to protect protection forests managed by the Commune People's Committee or the forest owner is individual household.</li> <li>- Lack of policies to invest in forest enrichment planting in protected areas.</li> <li>- Need to strengthen Strengthen law enforcement</li> </ul>

Orientation/Commitment	Primary source	Regulatory and resource framework	Possibility of achievement or obstacle
<ul style="list-style-type: none"> <li>- Conserve existing natural forests of 2.25 Mha and no conversion allowed, and no conversion of poor natural forests to industrial crops such as rubber or coffee in Central Highland</li> <li>- To manage, protect, develop and rationally use forest resources, contribute to socioeconomic development, ecological environment protection, biodiversity conservation, environmental services, hunger eradication, poverty alleviation, improvement of living standards for people and contributing to maintaining security, defence, social order and safety of the Central Highlands.</li> </ul>	<p>Notice No. 191/TB-VPCP dated 22/7/2016 Conclusion of the Prime Minister at the Conference on Sustainable Forest Restoration Solutions in the Central Highlands (2016-2020)</p>	<ul style="list-style-type: none"> <li>- Decision No. 297/QĐ-TTg dated March 18 2019 of the Prime Minister of Viet Nam approving the Scheme "forest protection, restoration and sustainable development in the Central Highlands Viet Nam in the 2016-2030 period"</li> </ul> <p>Estimated investment demand up to VND19,856,000 million from state budget; VND 7,346,100 million from ODA, and VND9, 171,700 million from outside state budget sources (VND 5, 150,000 million from PFES and VND 4,021,700 million from other sources).</p>	<ul style="list-style-type: none"> <li>- Strengthen law enforcement.</li> <li>- Supported fund for silvicultural activities such as planting special-use and protection forests, assisted natural regeneration is low (it is a subsidy, not an investment), so many protected areas cannot be deployed these activities.</li> <li>- The policy on buffer zone development (Decision No. 24/QĐ-TTg) was terminated in 2020, therefore, it is necessary to have a new policy replaces Decision No. 24/QĐ-TTg).</li> </ul>
<ul style="list-style-type: none"> <li>- Stopping the exploitation of timber of natural forests nationwide.</li> <li>- Strictly controlling the stages of processing and trading of timber to prevent the illegal consumption and use,</li> <li>- Strictly monitoring the exploitation of timber of natural forests of households and individuals that are allocated with forests:</li> </ul>	<p>Decision No. 2242 /QĐ-TTg issued 11/12/2014 on Approving the scheme for strengthening the management of exploitation of timber of natural forest for the period 2014-2020</p>	<p>The central budget provides financial support VND 200,000/ha/year forestry companies that have to suspend exploitation according to Decision No. 2242/QĐ-TTg. (Circular No. 330/2016/TT-BTC, dated 26 December 2016).</p> <p>From 2015-2018 a total budget of VND 332.18 billion had been supported<sup>1</sup>.</p>	<p>100% SFCs closed their natural forests from July 2016 including those certified by the Forest Stewardship Council (FSC).</p>
<p>Protect the existing coastal forest area of 310,695 ha</p> <ul style="list-style-type: none"> <li>- Newly planted 46,058 ha, increasing the total coastal forest area to 356,753 ha by 2020 and coastal forest coverage from 16.9% (2014) to 19.5% in 2020</li> </ul>	<p>Decision No. 120/QĐ-TTg dated January 22, 2015, approving the project on protection and development of coastal forests to cope with climate change</p>	<p>Contracted forest protection:</p> <ul style="list-style-type: none"> <li>- VND450.000/ha/year (Decree No. 119/2016/ND-CP August 23, 2016)</li> </ul> <p>Natural regeneration:</p> <ul style="list-style-type: none"> <li>- VND 4 million/ha for 5 years</li> </ul>	<ul style="list-style-type: none"> <li>- Investment capital from the state budget for the protection and development of coastal forests is still limited. Lack of specific investment norms for afforestation and additional planting and restoration of coastal protection and special-use forests. It depend on the available fund from localities;</li> <li>- Supported fund for forest protection and silvicultural activities (Decree No. 119/2016/ND-CP August 23, 2016) such as protection forests, assisted natural regeneration is low (it is a subsidy, not an investment).</li> <li>- need to have a new programme on protection and development of coastal forest replaces Decision No. 120/QĐ-TTg dated January 22, 2015,</li> </ul>
<ul style="list-style-type: none"> <li>- To conserve and sustainably develop important natural eco-systems, values of natural landscapes, historical and cultural relics and scenic places and endangered, precious and rare species in the special-use forest system- to increase the total area of special-use forests from 2.2 million ha.</li> <li>- To complete mechanisms and policies on organizational structure, human resource and financial development to meet the requirements of sustainable management and development of natural resources in protected areas.</li> </ul>	<p>Decision No. 626/QĐ-TTg dated 10/5/2017 by the Prime Minister, on approving the Plan to Strengthen Management Capacity of the Protected Area System to 2025, Vision to 2030</p>	<ul style="list-style-type: none"> <li>- The Management Board of Special-Use forest and Protection forest have been developing their Sustainable Forest Management Planning for the period 2021-2030 according to Circular 28/2018/TT-BNN.</li> </ul>	<ul style="list-style-type: none"> <li>- Need to provide an efficient policy on financial autonomy in protected areas according to Decree 141/2016/ND-CP.</li> <li>- Need to have a new policy on buffer zone development (replaced Decision No. 24/QĐ-TTg)</li> <li>- Need to strengthen law enforcement</li> </ul>
<ul style="list-style-type: none"> <li>- Provide a list of endangered, precious and rare species of forest fauna and flora; management and protection of and procedures for exploitation endangered, precious and rare species of forest fauna and flora; nurture of usual forest fauna; observation of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (hereinafter referred to as "CITES") in Viet Nam.</li> </ul>	<ul style="list-style-type: none"> <li>- Decree 06/2019 /ND-CP on management of endangered, precious and rare forest plants and animals and implementation of the Convention on international trade in endangered wild animals and plants</li> <li>- Decree 160/2013 /ND-CP dated 12/11/2013 of the Government on criteria for identifying species and management regime of species on the list of endangered precious and rare species prioritized for protection</li> </ul>		<ul style="list-style-type: none"> <li>- Need to strengthen law enforcement</li> <li>- Capacity building for forest rangers.</li> <li>- Awareness-raising</li> </ul>

<sup>1</sup> <http://thoibaotaichinhvietnam.vn/pages/nhip-song-tai-chinh/2019-03-07/ho-tro-hon-332-ty-dong-dung-khai-thac-go-rung-tu-nhien-68583.aspx>



Orientation/Commitment	Primary source	Regulatory and resource framework	Possibility of achievement or obstacle
<b>Agriculture</b>			
<p>Keep a stable area of 450,000 ha of cassava to 2020; with cassava production of about 11 million tons for animal feed and biofuels in areas of land under 15 degree slopes, mainly in the northern mountains, coastal North Central, South Central, Central Highlands, the South East for cassava production".</p>	<p>Decision No. 124/QĐ-TTg of February 2, 2012 by Prime Minister on approving the master plan on development of agricultural production to 2020 and vision for 2030</p>	<p>N/A</p>	<p>- Even though the Law on Crop Production (Law Crop Production No. 31/2018/QH14) was passed by the National Assembly in 2018 but the Law did not mention about free-deforestation agriculture.</p>
<p>By 2020, the rubber area will be stable at 800,000 ha, Rubber's latex yield will reach 1.2 million tons, and export turnover will reach 2 billion USD.</p> <ul style="list-style-type: none"> <li>- Commitment of no significant conversion of natural forest to afforestation or bare land,</li> <li>- Commitment to comply with FSC policies on forest management and forest certification,</li> <li>- Commitment not to destroy high conservation values (HCV) in forestry activities.</li> </ul> <p>Prohibited acts:</p> <ul style="list-style-type: none"> <li>- Logging, encroachment of forestland</li> <li>- Bring waste, toxic chemicals into the forest</li> <li>- Hunting, captivity, transporting, trading and using forest animals; Exploiting and collecting specimens of forest plants</li> <li>- Violation of regulations on forest fire prevention</li> <li>- Violation of regulation on prevention of harmful organisms and management of harmful alien organisms</li> </ul>	<p>Decision 750/QĐ-TTg, on June 3, 2009 by the Prime Minister approving the rubber development master plan to 2015 with vision to 2020</p> <p><a href="https://vnrubbergroup.com/media/phattrienbenvung/VRG%20tuyen%20bo%20cam%20ket%20thuc%20hien%20lo%20trin%20FSC.pdf">https://vnrubbergroup.com/media/phattrienbenvung/VRG%20tuyen%20bo%20cam%20ket%20thuc%20hien%20lo%20trin%20FSC.pdf</a></p> <ul style="list-style-type: none"> <li>- Decision No. 25/QĐ-HDQTCSVN dated 19/2/2021 by the Viet Nam Rubber Group promulgation of ban on exploitation of forest plants, wildlife animals and forest fire prevention in the areas of rubber development projects.</li> </ul>	<p>N/A</p>	<ul style="list-style-type: none"> <li>- Need to have an efficient Monitoring and Evaluation plan/tool (M&amp;E)</li> </ul>
<b>Fishery</b>			
<ul style="list-style-type: none"> <li>- Exploitation of aquatic resources must be based on reserves of aquatic resources associated with the protection, regeneration and development of aquatic resources, not depleting aquatic resources, and not affecting biodiversity; Conservative approach, based on ecosystems and scientific indicators in fisheries operations management to ensure sustainable development.</li> <li>- Contributing at least 1% of the total revenue made in the period of the aquaculture enterprise or businesses associated with individuals and aquaculture households.</li> </ul>	<ul style="list-style-type: none"> <li>- Fisheries Law 2017</li> <li>- Decree No. 156/2018/ND-CP dated November 16, 2018 by the PM detailing the implementation of a number of articles of the Forest Law.</li> </ul>	<p>N/A</p>	<p>Need to have an efficient Monitoring and Evaluation plan/tool (M&amp;E)</p>
<b>Tourism</b>			
<ul style="list-style-type: none"> <li>- To protect natural landscape and environment protection in tourism development</li> <li>- Support eco-tourism development</li> <li>- Contributing at least 1% of the total revenue from ecotourism activities in the period</li> </ul>	<ul style="list-style-type: none"> <li>- Law on Tourism No. 09/2017/QH14, dated 19 June 2017)</li> <li>Decree No. 99/2010/ND-CP and Decree 147/2016/ND-CP replaced decree 99/2010/ND-CP</li> <li>- Decree No. 156/2018/ND-CP dated November 16, 2018 by the PM detailing the implementation of a number of articles of the Forest Law.</li> </ul>	<p>N/A</p>	<ul style="list-style-type: none"> <li>- There is no policy to support initial investment/infrastructure for eco-tourism in protection forest.</li> <li>- Awareness-raising on eco-tourism</li> <li>- Need to have an efficient Monitoring and Evaluation plan/tool (M&amp;E)</li> </ul>



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